

## DOCUMENT RESUME

ED 246 909

IR 050 794

AUTHOR Simmons, Peter, Ed.; Hopkinson, Alan, Ed.  
TITLE CCF: The Common Communication Format.  
INSTITUTION United Nations Educational, Scientific and Cultural Organization, Paris (France). General Information Programme.  
REPORT NO PGI-84/WS/4  
PUB DATE 84  
NOTE 183p.  
PUB TYPE Legal/Legislative/Regulatory Materials (090). -- Reports - Descriptive (141)  
EDRS PRICE MF01 Plus Postage. PC Not Available from EDRS.  
DESCRIPTORS \*Citations (References); \*Computer Software; Databases; Data Processing; Methods; Online Systems; \*Organizational Communication; \*Systems Development  
IDENTIFIERS \*Common Communication Format; Information Interchange; \*Machine Readable Bibliographic Data Bases

## ABSTRACT

The purpose of the Common Communication Format (CCF) is to provide a detailed and structured method for recording a number of mandatory and optional data elements in a computer-readable bibliographic record for exchange purposes between two or more computer-based systems. However, it can also be useful within non-computerized bibliographic systems. Not designed to be used as a manual by staff responsible for coding or otherwise preparing bibliographic descriptions for input to a computer system, this document is meant to be a specification to assist systems designers in devising local procedures and computer programs so that they can exchange files in either direction with other organizations which may use the CCF. It is neither complete nor final, as much work remains to be done to test the current version of the CCF and to provide assistance in its implementation. It is also expected that the scope of the CCF data elements, now confined to descriptions of monographs and serial publications, will be expanded. This manual is divided into five parts: introduction, use of the format, data elements, codes used in the data elements, and examples of complete records. (DMC)

\*\*\*\*\*  
\* Reproductions supplied by EDRS are the best that can be made. \*  
\* from the original document. \*  
\*\*\*\*\*

Paris, 1984

4 This document has been reproduced as  
received from the person or organization  
originating it.

☐ Minor changes have been made to improve  
reproduction quality.

• Points of view or opinions stated in this docu-  
ment do not necessarily represent official NIE  
position or policy.

ED246909

## CCF: The Common Communication Format

edited by

Peter Simmons

and

Alan Hopkinson

"PERMISSION TO REPRODUCE THIS  
MATERIAL IN MICROFICHE ONLY  
HAS BEEN GRANTED BY

C. Coudert Schlowski

TO THE EDUCATIONAL RESOURCES  
INFORMATION CENTER (ERIC)."

General Information Programme and UNISIST

United Nations Educational,  
Scientific and Cultural Organization

Reproduced by permission of  
Unesco

Recommended catalogue entry :

CCF : The Common Communication Format / edited by Peter Simmons and Alan Hopkinson  
/ for / the General Information Programme and UNISIST. - Paris : Unesco, 1984. -  
iv, 185p. ; 30 cm. - (PGI-84/WS/4)

- I - Editions
- II - Unesco - General Information Programme and UNISIST

© Unesco

## CONTENTS

	<u>Page</u>
PREFACE .....	iii
1 INTRODUCTION	
1.1 History and methodology .....	3
1.2 Rationale .....	5
2 THE USE OF THE FORMAT	
2.1 Scope and use .....	9
2.2 Definitions .....	10
2.3 Standards and references .....	13
2.4 Structure .....	15
2.5 Links and levels .....	19
2.6 Character sets .....	25
2.7 Format modification .....	31
3 DATA ELEMENTS	
3.1 List of data elements .....	35
3.2 Treatment of data elements .....	41
3.3 Data elements and examples of their use .....	44
4 CODES USED IN THE DATA ELEMENTS	
4.1 Record status codes .....	141
4.2 Bibliographic level codes .....	142
4.3 Character set codes .....	143
4.4 Language codes .....	144
4.5 Physical medium codes .....	157
4.6 Script code .....	158
4.7 Codes for names of countries .....	159
4.8 Role codes .....	164
4.9 Organization codes .....	167
4.10 Field linkage codes .....	168
4.11 National bibliography and legal deposit agency codes .....	169
4.12 Vertical relationship codes .....	170
4.13 Horizontal relationship codes .....	171
4.14 Completeness of record codes .....	172
4.15 Type of material codes .....	173
5 EXAMPLES OF COMPLETE RECORDS	
5.1 Introduction .....	177
5.2 Serial .....	178
5.3 Monograph .....	179
5.4 Component part in a serial .....	180
5.5 Component part in a monograph .....	181
5.6 Monograph with component parts .....	182
5.7 Component part in a volume of a multi-volume monograph which is in a series .....	184

## PREFACE

This First Edition of the CCF has been prepared with the support of Unesco, within the framework of the General Information Programme, by the Ad-Hoc Group on the Establishment of a Common Communication Format. The following experts participated in this work:

Dorothy Anderson	IFLA International Office for UBC
Henriette Avram	Library of Congress and IFLA
Edwin Buchinski	National Library of Canada and ISO
Hope E. A. Clement	National Library of Canada and MARC Network Study Team
Harold Dierickx	Institute of Social Studies and UNIBID
Nathalie Dusoulier (Chairman)	United Nations, Dag Hammarskjöld Library
Alan Hopkinson	British Library
Sally McCallum	Library of Congress
Marie Rosenbaum	International Centre of the International Serials Data System
Peter Simmons	University of British Columbia School of Librarianship
Erik Vajda	National Technical Information Centre and Library (OMIKK), Hungary
James L. Wood	Chemical Abstracts Service and ICSU-AB

The purpose of the CCF is to provide a detailed and structured method for recording a number of mandatory and optional data elements in a computer-readable bibliographic record for exchange purposes between two or more computer-based systems. However, it can also be useful within non-computerized bibliographic systems. Use of the data elements embodied in the CCF in such systems will simplify computerization of their activities at a later date.

Unlike some other standard format specifications, this document was not designed to be used as a manual by staff responsible for coding or otherwise preparing bibliographic descriptions for input to a computer system. Rather, it is meant to be a specification to assist systems designers in devising local procedures and computer programs so that they can exchange files in either direction with other organizations which may use the CCF. It is expected that the CCF will become the source for

many locally-produced input manuals and other specific kinds of staff aids.

Finally, it must be emphasized that the content of this document is meant to be neither complete nor final. Much work remains to be done to test the current version of the CCF, as well as to provide assistance in its implementation. It is expected that in time, the scope of the CCF data elements, now confined to descriptions of monographs and serial publications, will be expanded. In any case, since the data elements listed within this document define a minimum set, they must be regarded as a core to be supplemented by additional elements required by special situations, institutions, or materials.

Wolfgang Löhner  
Chief, Section for Promotion of  
Methods, Norms and Standards  
General Information Programme  
Unesco

## 1 INTRODUCTION

1.1 History and Methodology

1.2 Rationale

## HISTORY AND METHODOLOGY

In April 1978 the Unesco General Information Programme (Unesco/GIP) sponsored an International Symposium on Bibliographic Exchange Formats, which was held in Taormina, Sicily. Organized by the UNISIST International Centre for Bibliographic Descriptions (UNIBID) in co-operation with the International Council of Scientific Unions Abstracting Board (ICSU-AB), the International Federation of Library Associations and Institutions (IFLA), and the International Organization for Standardization (ISO), the Symposium was convened "to study the desirability and feasibility of establishing maximum compatibility between existing bibliographic exchange formats."\*

Out of the Taormina Symposium a number of recommendations emerged, the most important of which was that the development of a common bibliographic exchange format that would be useful to both libraries and other information services was desirable and probably feasible, and that the development of this format should be treated as a high priority item.

Immediately following the Symposium and as a direct result of it, Unesco/GIP formed the UNISIST Ad Hoc Group on the Establishment of a Common Communication Format (CCF), which included, as the Symposium recommended, experts able to present the views of a broad spectrum of the information community. Members of this Group worked at meetings and through correspondence to produce the format as it appears in this document.

The Group's work was based on a number of major decisions which were taken at the start of its deliberations. These were the following:

- That the structure of the new format would conform to the international standard ISO 2709.\*\*
- That the core record would consist of those data elements essential to bibliographic description, identified in a standard manner.

---

\* International Symposium on Bibliographic Exchange Formats, Taormina, Sicily 27-29 April 1978. Within the text of the CCF, bibliographic references are given in brief form. Accompanying reference numbers refer to the complete citation, which is in Section 2.3 'Standards and references'.

\*\* Within the text of the CCF, references to ISO standards are given in brief form. Full citations are given in Section 2.3 'Standards and references'.



- That the core record would be augmented by additional relevant descriptive elements, amenable to international standardization, and identified in a standard manner.

- That data elements not amenable to international standardization (e.g. classification numbers, index terms) would be identified by a standard technique.

- That a standard technique would be devised for accommodating levels, relationships, and links between bibliographic entities.

In addition to these general principles, it was affirmed that the CCF should be not merely a new format but must be based on, and provide a bridge between, the major international exchange formats, while taking into account the International Standard Bibliographic Descriptions (ISBD) developed by IFLA.

The first work undertaken was a comparison of all of the data elements in six existing bibliographic exchange formats, to determine which elements were held in common. These formats were The Reference Manual,<sup>8</sup> UNIMARC,<sup>9</sup> the Guidelines for ISDS,<sup>7</sup> MEKOF-2,<sup>2</sup> the ASIDIC/EUSIDIC/ICSU-AB/NFAIS Interchange Specifications,<sup>1</sup> and the USSR-US Common Communication Format.<sup>10</sup>

The comparison of these six standard formats resulted in the production of a highly detailed directory showing how elements of bibliographic description were handled in each of the formats studied. With the directory as a guide, the group was able to discover a small number of data elements which were used by virtually all information-handling communities, including both libraries and abstracting and indexing organizations. These commonly used data elements form the core of the CCF. Discussions were held within the Group to determine which elements should be declared 'Mandatory', and what the definition of the word 'mandatory' should be, as well as which other data elements should be included as 'Optional'. Lists of proposed CCF data elements were elaborated, re-drafted a number of times, discussed in great detail, and finally agreed upon by the entire Group.

At the same time, a sub-group was developing a technique to show relationships between bibliographic records, and between elements within bibliographic records. The various kinds of possible relationships were listed and examined, the concept of the 'record segment' was developed and refined, and a method for designating relationships between records, segments, and fields was accepted by the group. The results of this aspect of the work appear in Section 2.5 'Links and Levels'.

By the end of 1982, the Group had completed the initial design stages of the CCF. Final editing took place during 1983.

RATIONALE

Within an information system, information will usually at one time or another exist in a number of separate but highly compatible formats. At the very least there will be one format in which records will be input to the system, one format that dictates how records are stored for archival purposes, possibly a different format in which records are stored for retrieval purposes, and one format (though more often, several) in which records will be displayed. In addition, if an organization wishes to exchange records with another, it will be necessary for each of these organizations to agree first upon a common standard format for exchange purposes, and then be able to create an exchange-format record from their internal-format record, and vice versa. In each of these cases, computer programs must be written to translate from one format to another.

If in each country there is a single national standard exchange format, information interchange within that country will be greatly facilitated, both technically and economically. But if every one of the national standard formats is different from all others, then international information interchange between national bibliographic agencies will still be so complex as to be uneconomical, because of the number of computer programs that must be written to accommodate the translation of records from one format to another.

At the present time many national standard exchange formats exist. Although a number of these formats are very similar to one another, many are similar only superficially while others differ from one another significantly. In any case, rarely if ever are two national formats so completely alike that their records can be handled by the same computer programs.

The bibliographic descriptions carried by these formats also differ widely, according to their source. Many different kinds of agencies create bibliographic records. The goals of some of these differ significantly from the goals of others. Abstracting and indexing agencies, for example, operate in a manner quite different from that of most libraries, and must work within different limits and constraints. As a result, various kinds of rules for bibliographic description have come into common use, resulting in the creation and distribution of widely varying and, for the most part, incompatible bibliographic records contained within equally varied and incompatible formats.

In order to resolve the lack of uniformity among national standard formats, international standard exchange formats have been developed. Within the community of national libraries, the UNIMARC format, which was developed to provide a single common

denominator for exchange purposes, assumes that ISBD is the standard for the form of those data elements which describe the item. It gives specifications for the content designators (tags, indicators, and subfield identifiers) to be assigned to bibliographic records for a variety of types of materials. Similarly, the community of abstracting and indexing (a&i) services is served by the UNISIST Reference Manual, which prescribes its own content designators to be assigned to bibliographic descriptions of various types of materials. Both of these formats were designed to serve a limited range of institutions, a fact which is also true of the other international exchange formats listed in Section 1.1, as well as formats not listed but in active use for international exchange.

Several of the most commonly used existing exchange formats, including the UNISIST Reference Manual and UNIMARC, have the potential to be modified for use by any type of bibliographic agency. But it remains true that the Reference Manual tends to be used by a&i services, while the users of UNIMARC are libraries and national bibliographic agencies. In many cases these two major formats define, organize and identify data elements in quite different ways, and rely on different sets of codes for use in their coded elements. Thus it has not been practical to mix in a single file bibliographic records from these different types of organizations and services. Therefore a&i services have tended to exchange records only with other a&i services, and libraries only with other libraries. Moreover, an organization receiving records from these two types of agencies has needed a distinct and separate set of computer programs to handle each type of record.

Clearly this historical division within the information community into two separate groups is detrimental to the progress that is rapidly being made towards building international networks of various types of bibliographic agencies, as well as standing in the way of programmes aimed at providing users with easy access to recorded information from a variety of sources. The CCF aims to facilitate the communication of bibliographic information among sectors of the information community in order to facilitate the communication of bibliographic information among computer-based systems, large and small, around the world.

## 2 THE USE OF THE FORMAT

- 2.1 Scope and use
- 2.2 Definitions
- 2.3 Standards and references
- 2.4 Structure
- 2.5 Links and levels
- 2.6 Character sets
- 2.7 Format modification

SCOPE AND USE

The CCF is designed to provide a standard format for three major purposes:

- To permit the exchange of bibliographic records between groups of libraries and a&i services.
- To permit a bibliographic agency to manipulate with a single set of computer programs bibliographic records received from both libraries and a&i services.
- To serve as the basis of a format for a bibliographic agency's own bibliographic database.

The CCF has been designed with the aim of retaining the highest degree of flexibility for deriving a number of different types and arrangements of output. Therefore a bibliographic agency may choose to use for local implementation any format or formats which are convertible by computer program to the CCF. Alternately, an agency may wish to use the CCF directly, to contain the bibliographic records that it creates. This was one goal in creating the CCF; it was acknowledged that such practice might take place and attempts have been made to facilitate it, while recognizing that bibliographic data exchange is its primary purpose.

These uses have been accommodated in the following ways:

- By specifying a small number of mandatory data elements which are recognized by all sectors of the information community as essential in order to identify an item.
- By providing a list of mandatory data elements sufficiently flexible to accommodate varying descriptive practices.
- By providing a number of optional elements which may be useful to describe an item further according to the practices of the agency which creates the record.
- By permitting the originating agency to include non-standard elements which are considered useful within its system even though they are not used by other agencies.
- By providing a mechanism for linking records and segments of records without imposing on the originating agency any uniform practice regarding the treatment of related groups of records or data elements.

DEFINITIONS

For the purposes of this format, the following definitions apply:

bibliographic item - see item.

bibliographic level - see level.

bibliographic record - see record.

character set - a set of characters (i.e. control characters and graphic characters such as letters, digits, and symbols) with their coded representation.

chronological relationship - the relationship in time between bibliographic items, such as the relationship of a serial to its predecessors and successors.

content designator - a code (i.e. tag, indicator, subfield identifier, occurrence identifier, etc.) which identifies or describes some attribute of a data element or group of data elements.

data element - the smallest unit of information that is explicitly identified. Within a datafield, a data element forms a subfield and is identified with a subfield identifier. Within the record label and directory, the data elements are identified by their character positions.

datafield - a variable-length portion of the bibliographic record containing a particular category of data, following the directory and associated with one entry in the directory. A datafield may contain one or more subfields.

directory - a table of entries, each of which gives the tag, length, location within the record, segment identifier, and occurrence identifier of a datafield.

field - see datafield.

horizontal relationship - the relationship between versions of an item in different languages, formats, media, etc.

indicator - the first content designator in each datafield, associated with that datafield and supplying further information about the contents of the field, about the relationship between the field and



other fields in the record, or about the action required in certain data manipulation processes.

item - the physical object which is described by the data contained in a record segment. See also target item, related item.

label - the first 24 characters of each bibliographic record, providing parameters for the processing of the record.

level - a designation assigned to a bibliographic item that indicates the placement of the item in a structure based on vertical relationships.

link or linkage - a means of establishing and defining a relationship between fields, between record segments, or between separate records.

mandatory field - a field designated 'Mandatory' should appear in the primary segment when the relevant information appears on the item or is otherwise known to the creator of the record.

mandatory subfield - a subfield designated 'Mandatory' should appear in the record when the field within which it is found appears.

occurrence identifier - a single character which differentiates multiple occurrences of fields within the same record segment that carry the same tag.

primary segment - those fields in the record which carry the bibliographic data for the target item. Every record must contain one, and only one, primary segment.

record - a collection of specifically defined character strings, including a record label, a directory and bibliographic data describing one or more bibliographic items treated as one entity. A record may contain one or more record segments.

record label - see label.

related item - a bibliographic item that has either a vertical, chronological, or horizontal relationship with another item identified or described in a segment in a record.

secondary segment - any segment contained in a record other than the primary segment.

repeatable - a field or subfield which is repeatable may appear more than once in the same segment. A field which is not repeatable may not appear more than once in the same segment.

segment - a group of fields within a record, all carrying the same segment identifier, which may be treated as an entity. All fields belonging to the same segment describe the same item.

set - a logical grouping or cluster of fields within a segment that are related to one another and require explicit indication or linkage to designate the member fields.

source format - the rules and/or conventions governing the representation in machine form of a record prior to its conversion to the CCF.

structure - the arrangement of the parts constituting a bibliographic record.

subfield - a separately identified part of a field containing a defined element of information.

subfield identifier - one or more characters immediately preceding and identifying a subfield. Its first character must always be IS, of ISO 646.

tag - three characters associated with a field and used to identify it.

target item - the bibliographic item that is principal or primary for the description of which the record was constructed. The target item is the one to which the bibliographic level code in character 7 of the label applies.

vertical relationship - the hierarchical relationship of the whole to its parts and the parts to the whole, such as an individual item to its series or a journal article to the journal.



STANDARDS AND REFERENCES

As far as possible, codes and other elements amenable to standardization which appear in the CCF incorporate standards issued by the International Organization for Standardization (ISO). Below are listed the standards, manuals, codes of practice, handbooks, and other standardizing publications to which reference is made in the CCF. They are divided into two sections:

- ISO standards, in numerical order
- Other references, in alphabetical order

Standards published by the International Organization for Standardization should be ordered from national standards organizations, or from the ISO Central Secretariat, Case postale 56, CH-1211 Genève 20, Switzerland. Many of the standards listed below appear in Information Transfer : ISO Standards Handbook 1, Second edition. Geneva: ISO; [Paris]: Unesco, 1982. This volume is available in either French or English from both ISO and Unesco.

2.3.1 ISO standards

- ISO 4-1972. International Code for the Abbreviation of Titles of Periodicals. (Currently under revision)
- ISO 646-1983. 7-Bit Coded Character Set for Information Processing Interchange.
- ISO 2014-1976. Writing of Calendar Dates in All Numeric Form.
- ISO 2022-1983. 7-bit and 8-bit Coded Character Sets - Code Extension Techniques.
- ISO 2108-1978. International Standard Book Numbering.
- ISO 2375-1980. Procedure for Registration of Escape Sequences.
- ISO 2709-1981. Format for Bibliographic Information Interchange on Magnetic Tape.
- ISO 3166-1981. Codes for the Representation of Names of Countries.
- ISO 3297-1975. International Standard Serial Numbering (ISSN). (Currently under revision)

### 2.3.2 Other references

1. ASIDIC/EUSIDIC/ICSU-AB/NFAIS. Recommended Interchange Specifications for Computer Readable Bibliographic Data Bases. April 1978.
2. International Centre for Scientific and Technical Information. (Communicative Format of Data Recording on Magnetic Tape. International Exchange Format; MEKOF-2.) Moscow: International Centre for Scientific and Technical Information, 1979.
3. International CODEN Service. International CODEN Directory. [Microfiche] Columbus, Ohio: American Chemical Society, 1978.
4. International Federation of Library Associations and Institutions. UNIMARC: Universal MARC Format, 2nd rev. ed. London: IFLA International Office for UBC, 1980.
5. International ISBN Agency. The ISBN System: Users' Manual. 2nd. ed. Berlin: The Agency, 1978.
6. Towards a Common Bibliographic Exchange Format? International Symposium on Bibliographic Exchange Formats, Taormina, Sicily, 27-29 April 1978. Proceedings edited by H. Dierickx and A. Hopkinson. Budapest: OMKDK-Technoinform; London: UNIBID, 1978.
7. Unesco. Guidelines for ISDS, prepared by the International Centre for the Registration of Serial Publications. Paris: Unesco, 1973. This will soon be replaced by the ISDS Manual.
8. Unesco. UNISIST Reference Manual for Machine-readable Bibliographic Descriptions, compiled by M. D. Martin. Paris: Unesco, 1974. This has been replaced by a Second revised edition, compiled and edited by H. Dierickx and A. Hopkinson. Paris: Unesco, 1981.
9. U.S. Library of Congress. List of Languages and Language Codes. Washington, D.C.: Catalog Distribution Service, Library of Congress, n.d.
10. USSR Council of Ministers, State Committee on Science and Technology (and) USSR State Public Library for Science and Technology. Draft Implementation of the USSR-US Common Communication Format. Moscow: 1978.

STRUCTURE

The Common Communication Format constitutes a specific implementation of the international standard ISO 2709. That standard specifies the structure for a generalized machine format that will hold any type of bibliographic record. The details of how it has been implemented in the CCF are set out below, followed by a diagrammatic representation of the CCF record structure.

Each CCF record consists of four major parts:

- Record label
- Directory
- Datafields
- Record separator

2.4.1 Record label

Each CCF record begins with a fixed-length label of 24 characters, the contents of which are as follows:

<u>Character Position(s)</u>	<u>Contents</u>
0 to 4	Record length.
5	Record status. Indicates the status of the record using a code taken from the list of Record Status Codes shown in Section 4.1.
6	Reserved for future use. Enter '*' (blank).
7	Bibliographic level. A designation assigned to the target item which indicates its role in a hierarchical structure, using a code taken from the list of Bibliographic Level Codes shown in Section 4.2.
8	Reserved for future use. Enter * (blank).
9	Reserved for future use. Enter * (blank).
10	Indicator length. CCF records use 2 characters for each indicator.
11	Subfield identifier length. CCF records use 2 bytes for each subfield identifier, the first character of which must be character 15, of ISO 646. This character is always shown in this document as '@'.

<u>Character Position(s)</u>	<u>Contents</u>
12 to 16	Base address of data. The location within the record at which the first datafield begins, relative to the first character in the record.
17 to 19	For user systems. If not used, enter * (blank).
20	Length of 'Length of Datafield' in the directory. CCF records use 4 characters for each datafield length, permitting fields as long as 9,999 characters.
21	Length of 'Starting Character Position' in the directory. CCF records use 5 characters for each starting character position address, permitting records as long as 99,999 characters.
22	Length of implementation-defined section of each entry in the directory. CCF records use 2 characters in this area: one for the Segment Identifier, the other for the Occurrence Identifier, both of which are explained in Section 2.4.2 below.
23	Reserved for future use. Enter * (blank).

#### 2.4.2 Directory

The directory is a table containing a variable number of fourteen-character entries, terminated by a field separator character. Each directory entry corresponds to a specific field in the record, and is divided into four parts:

- Tag
- Length of datafield
- Starting character position
- Implementation defined section

**Tag** A three-character code identifying the name of the datafield which corresponds to the directory entry. In Section 3.3, the datafields are described; each is preceded by its tag.

**Length of Datafield** The number of characters occupied by the field, including indicators and field separator but excluding the record separator code if the data field is the last field in the record. The length of this element is shown in character position 20 of the record label. For the CCF, four characters are used.

**Starting Character Position** A decimal number giving the position of the first character of the data field relative to the base address of data, i.e. the first character of the

Implement-  
ation  
Defined  
Section

first of the datafields. The length of this element is shown in character position 21 of the record label. For the CCF, five characters are used.

The length of this element is shown in character 22 of the record label. Two characters are used:

Character 1: Segment Identifier. A single character (chosen from 0-9 and/or A-Z) which designates the field as being a member of particular segment. For a discussion of record segmentation, see Section 2.5 'Links and levels'.

Character 2: Occurrence Identifier. A single character (chosen from 0-9) which differentiates multiple occurrences of fields within the same record segment that carry the same tag.

### 2.4.3 Datafields

In the CCF implementation of the ISO standard record format, a datafield is defined as consisting of:

- Indicators
- One or more subfields each of which is preceded by a subfield identifier
- A field separator

Indicators

CCF records use two bytes for this purpose, and these two are reserved for use as defined for each data field in Section 3.3. The indicator length is shown in character position 10 of the record label.

Subfields

A subfield consists of a subfield identifier followed by a data string, which is terminated by either another subfield identifier or a field separator. In CCF records, a subfield identifier consists of a subfield identifier flag (character IS, of ISO 646) followed by one other character. The subfield identifier length is shown in character position 11 of the record label.

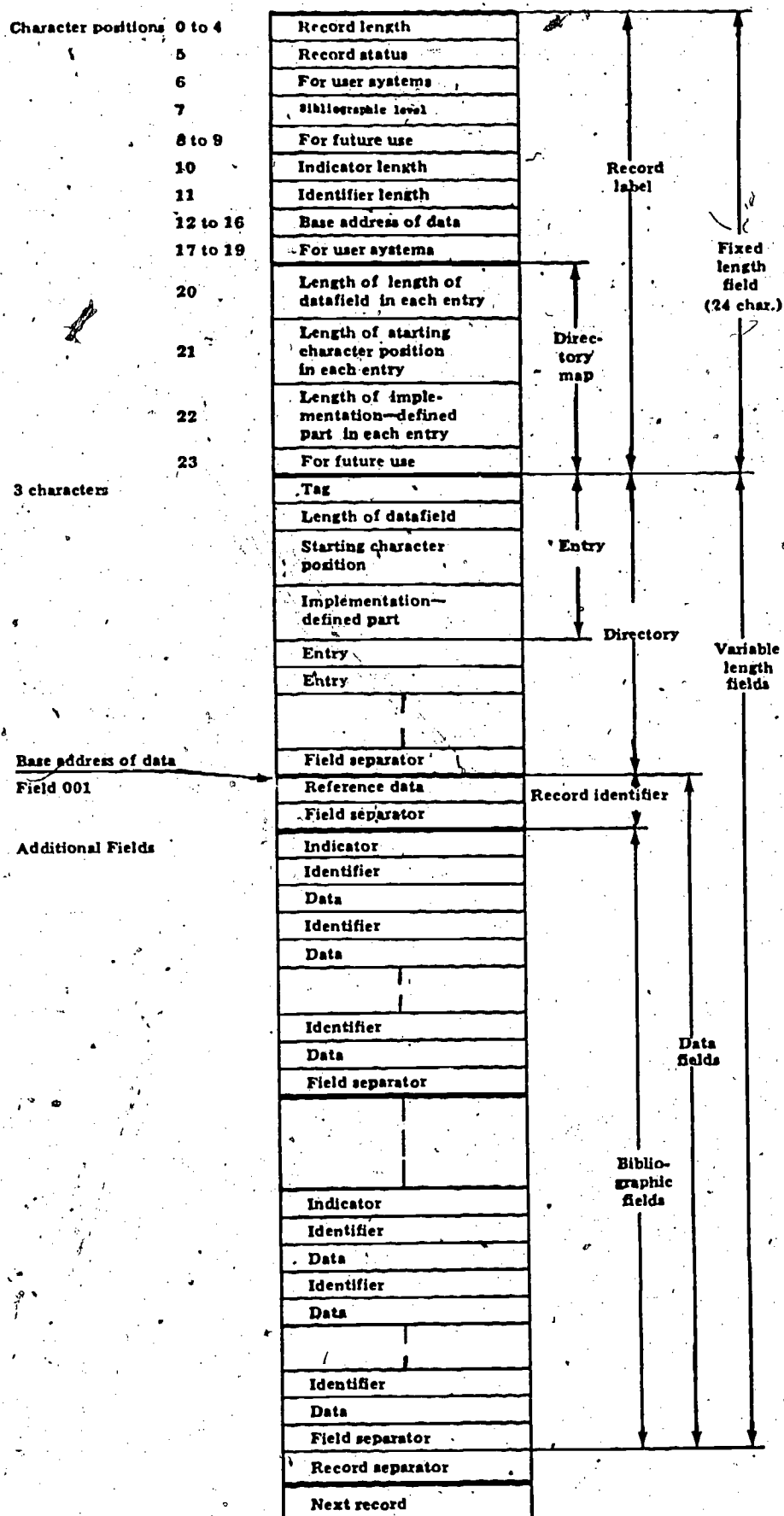
Field  
Separator

The field separator is that character which constitutes the final character of every datafield except for the final datafield in the record. This separator will always be character IS<sub>2</sub> of ISO 646.

### 2.4.4 Record separator

The record separator is that character which marks the end of the final datafield in the record, and constitutes the final character of the record. This separator will always be character IS<sub>3</sub> of ISO 646.

# DIAGRAMMATIC REPRESENTATION OF THE CCF RECORD STRUCTURE



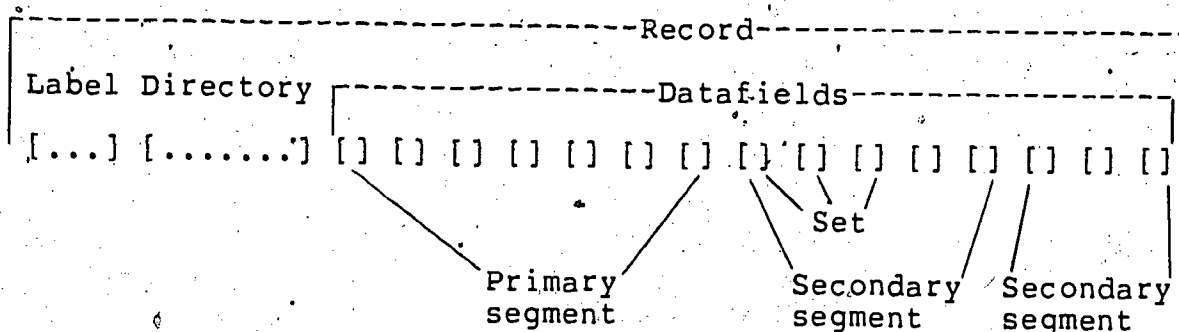


## 2.5

### LINKS AND LEVELS

The CCF permits a single bibliographic record to contain descriptions of more than one item. These items may exist at various bibliographic levels, and the relationships among the items described or identified are conveyed through segment linkage. In addition, within the description of one item, related fields may be linked through field linkage.

The following diagram illustrates the concepts of the record, segments, and sets of fields that are used in segment linkage and field linkage. Fields comprising a single segment or a single set are shown here to be contiguous in the record, although this is not a requirement.



#### 2.5.1 Segment Linkage

Segments within a record are used to describe or identify related bibliographic items. In all cases the primary segment contains the bibliographic description for the target item, while each secondary segment contains information concerning a bibliographic item that has a horizontal, vertical or chronological relationship to the target item.

The secondary segments may contain data describing the related item and/or the record number of another record in which the item is described.

##### 2.5.1.1 Linkage mechanism

The mechanism for linking one record segment to another within the same record consists of:

- A single character position in the directory entry, the 'Segment Identifier', which designates each field

as being a member of a particular segment. The primary segment is always identified by the value '0' (zero), secondary segments by a value from 1-9, A-Z.

- The segment linkage field which links the record segments. The segment linkage field denotes the relationship between items in different segments. It consists of:

(i) A subfield containing a segment relationship code denoting the specific nature of the relationship between the two segments.

(ii) A subfield containing a segment identifier code identifying the segment to which the link is being made. This is the value used in the directory entry to identify the fields belonging to that segment.

(iii) A subfield containing a bibliographic level code, normally carried as character 7 in the record label, which applies to the item described in the record segment.

#### 2.5.1.2 Types of bibliographic relationships

Three general classes of relationships have been identified:

- Chronological relationship - the relationship in time between bibliographic items, e.g. variant editions, reprints.
- Horizontal relationship - the relationship between versions of a work in different languages, formats, media, etc.
- Vertical relationship - the hierarchical relationship of a bibliographic item to its parts, and the parts to a larger item.

#### 2.5.1.3 The segment linkage fields

Five segment linkage fields have been defined for use with CCF. Two are for general use:-

Field 080. General Vertical Relationship

Field 085 Horizontal or Chronological Relationship

These two fields should be used in segments to define relationships that are of the respective classes indicated by their titles. In addition, the following three special segment linkage fields are defined for use in specific relationships, so that the nature of the relationship will be apparent in the directory entry. Since they constitute a special subset of



relationships identified in Field 080, in all cases Field 080 may be used instead of the following:

Field 081 Vertical Relationship from Monograph

Field 082 Vertical Relationship from Multi-Volume Monograph

Field 083 Vertical Relationship from Serial

The segment linkage field is normally contained in only one of the two segments between which the relationship is to be established. In such cases, when the relationship is between the primary segment and a secondary segment, the linkage field is contained in the secondary segment. When the relationship is between two secondary segments, the linkage field is normally contained in the segment that, in either a direct or indirect line of chronological, horizontal, or vertical relationship to the primary segment, is the further removed of the two from the primary segment. Circumstances may require this pattern to vary or that reciprocal linkages be established in both of the related segments.

Segment linkage fields may occur more than once within the same segment to indicate multiple relationships between segments.

#### 2.5.1.4 Other fields in secondary segments

Any defined fields may occur in a secondary segment except for Field 001. When the record identifier (control number) of the related item is included either with or in lieu of descriptive data for that item, Field 010 is used for that control number.

When a secondary segment identifies the related bibliographic item by a record identifier, the segment normally contains only two fields: the segment linkage field (Field 080, 081, 082, 083, or 085) and the record identifier field (Field 010) for the linked record. In addition, however, the segment may contain:

- Fields identifying the author, title, etc. of the related item.
- Fields qualifying the relationship between the two bibliographic items (e.g. details on the location of a component part within a host item - Field 490).

A Note on Bibliographic Relationship (Field 510) containing a free-text expression of the relationship between the two items may occur in the segment linked to.

### 2.5.2 Field linkage

Two or more fields in a segment may be linked together in a set, or cluster. The mechanism for linking two or more fields consists of:

- A single character in the directory entry, the 'Occurrence Identifier', which differentiates multiple occurrences of fields within the same record segment that carry the same tag value.

The value '0' (zero) is reserved to identify the first occurrence of a field with a given tag value within the record segment. The field occurrence value may be 1 to 9 and/or A to Z for each subsequent occurrence of a field with the same tag value.

- A field linkage field (Field 086) which links the fields that belong to a given set. Variable in length, the field linkage field consists of:

(i) A subfield containing a five-character code consisting of the tag, segment identifier, and field occurrence identifier assigned to the first field in the set;

(ii) A subfield containing a field relationship code denoting the specific nature of the relationships between that field and the other field(s) in the set;

(iii) A subfield containing one or more five-character codes (repeated as required to accommodate multiple fields in the set), each consisting of a tag, segment identifier, and field occurrence identifier, identifying the remaining fields that comprise the set.

### 2.5.3 Examples

Each of the following examples consists only of the data required to illustrate linkage, i.e. the tags, field occurrence indicator and segment indicator. Each record is taken from a real bibliographic item. However, since the fields shown here were not created by a real bibliographic agency, in each case the source of record field (Field 020) has been replaced by dashes.

## 2 THE USE OF THE FORMAT

- 2.1 Scope and use
- 2.2 Definitions
- 2.3 Standards and references
- 2.4 Structure
- 2.5 Links and levels
- 2.6 Character sets
- 2.7 Format modification

EXAMPLE 1. A record for a journal article, which appears in the primary segment, with information about the journal in a secondary segment. Although both the title of the article and the title of the journal are given in two languages on the item, the text of the item is in English only. Bibliographic level code in record label: a.

DIRECTORY ENTRIES				RECORD CONTENT
Tag	Length & Start	Seg Iden	Field Occur	Data Fields
001	.....	0	0	6042284259
020	.....	0	0	00@-----
021	.....	0	0	00@AC
022	.....	0	0	00@A19830713
040	.....	0	0	00@Aeng@Bfre
086	.....	0	0	00@A30000@BAA@C33000@C33001
086	.....	0	1	00@A30001@BAA@C33000@C33001
086	.....	0	2	00@A30002@BAA@C33000@C33001
200	.....	0	0	01@AiNet and Canadian Libraries: New Telecommunications Facilities for Library and Information Services@Bby C.J. Durance, E.J. Buchinski and D.A. Guenter
210	.....	0	0	01@AiNet et les bibliothèques canadiennes: nouvelle installations télématiques comme support des services de bibliothèque et d'information@Bpar C.J. Durance, E.J. Buchinski et D.A. Guenter
300	.....	0	0	11@ADurance@BCynthia
300	.....	0	1	21@ABuchinski@BEDwin J.
300	.....	0	2	21@AGuenter@BD. A.
330	.....	0	0	00@ANational Library of Canada@Leng
330	.....	0	1	00@ABibliothèque nationale du Canada@Lfre
010	.....	1	0	00@A2284259
083	.....	1	0	00@A02@B0@Cs
101	.....	1	0	00@A0380-9218
102	.....	1	0	00@ACJISDE
201	.....	1	0	00@AThe Canadian Journal of Information Science
210	.....	1	0	01@AREvue canadienne des sciences de l'information
490	.....	1	0	00@AVolume 7 Tome@B1-10@CJune 1982 Juin

EXAMPLE 2. A record for a conference proceedings and two contributions. The proceedings of all the meetings of the organization have been catalogued as a serial; they form the primary segment, Segment 0. The proceedings of the 1982 meeting

have been catalogued as a monograph, and appear in Segment 1. -  
The contributions from the 1982 meeting appear in Segments 2 and  
3. Bibliographic level code in record label: s.

DIRECTORY ENTRIES				RECORD CONTENT
Tag	Length & Start	Seg Iden	Field Occur	Data Fields
001	.....	0	0	82-8303
020	.....	0	0	00@-----
021	.....	0	0	00@AB
022	.....	0	0	00@A19830527
101	.....	0	0	00@A0044-7870
102	.....	0	0	00@APAISDQ
200	.....	0	0	00@AInformation interaction
201	.....	0	0	00@AProceedings of the ASIS Annual Meeting
310	.....	0	0	11@AAmerican Society for Information Science@ZA127490
320	.....	0	0	10@AASIS Annual Meeting@GColumbus, Ohio@IOctober 17-21, 1982@J45th
080	.....	1	0	00@A01@B0@Cm
100	.....	1	0	00@A0-86729-038-2
200	.....	1	0	01@AInformation interaction. Columbus, Ohio. October 17-21, 1982.@BEdited by Anthony E. Petrarca, Celianna I. Taylor, Robert S. Kohn
400	.....	1	0	00@AWhite Plains, N.Y.@BPublished for the American Society for Information Science by Knowledge Industry Publications
440	.....	1	0	10@A19820000
080	.....	2	0	00@A01@B1@Ca
200	.....	2	0	01@AConsiderations in the design of subject displays for the online catalog@Bby Pauline A. Cochrane
300	.....	2	0	11@ACochrane@BPauline@D1929-@ZA303749
490	.....	2	0	00@BPage 359
080	.....	3	0	00@A01@B1@Ca
086	.....	3	0	00@A30030@BAA@C33031
086	.....	3	1	00@A30031@BAA@C33030
200	.....	3	0	01@ADevelopment of an intensive short course in library automation for practicing librarians@Bby James E. Rush and Charles H. Davis
300	.....	3	0	21@ARush@BJames E.@ZA689042
300	.....	3	1	21@ADavis@BCharles Hargis@D1938@ZA207816
330	.....	3	0	00@BGraduate School of Library and Information Science @AUniversity of Illinois@D410 David Kinley Hall, 1407 W. Gregory, Urbana, IL 61801@EUS
330	.....	3	1	00@D2223 Carriage Road, Powell, OH 43065@EUS
490	.....	3	0	00@BPage 250

CHARACTER SETS

The numbers, letters, and other symbols that are found in bibliographic records are represented in computers and on magnetic storage media as units of 7 or 8 bits (each bit having a 0 or 1 value) whose representation is governed by a variety of standards. The basic standard for the roman alphabet character set is ISO 646, which lists codes for most of the characters required for the roman alphabet-based languages, including a number of combinations of bits which are left free for different national agencies to assign according to their own requirements. Usually 7 bits are used to define each character, which gives a possibility of 128 unique combinations; alternatively, 8 bits are used permitting 256 combinations. In either case, a number of the combinations are reserved for special purposes and cannot be used for graphic characters. These reserved combinations are called 'control functions'. The first 32 character positions are reserved for control functions, along with the 33rd and 128th positions, leaving 94 combinations for the representation of graphic characters. See, for example, the 7-bit (128-combination) character set shown at the end of this section.

A 7-bit set is conventionally displayed in 8 columns of 16 rows. An 8-bit set is displayed with two such tables side by side, the tables being designated as left-hand page and right-hand page.

The first two columns of the right-hand page are identical to the first two columns of the left-hand page, since these are reserved for control functions, leaving 94 combinations on each page that can be allocated to graphic characters. Each standard character set is registered with ISO according to ISO 2375 and given a unique identification code by which the computer may identify it.

Use of code sets according to the procedures specified in ISO 2022 requires first the designation of the sets, then the invocation of a designated set as the working set. For both 7-bit and 8-bit codes, two sets of control functions and four graphic character sets may be in a designated status at any given time. The two designated control sets are called the C0 and C1 sets, while the designated graphic sets are called the G0, G1, G2, and G3 sets. In 7-bits, two C sets and one G set may have working status at a given time. The following sections specify the designation and invocation of code sets in the CCF.

2.6.1 Control Function Sets

The C0 control function set is fixed for the CCF. Thus it does not need to be designated or invoked in the record.



The C0 set is the set of 32 control functions defined in ISO 646. This set contains the basic transmission controls and the subfield terminator, field terminator, and record terminator. One additional control function set may be designated as C1 in Field 030, Subfield A. Because designation and invocation are carried out with a single procedure for control functions, the C1 set is then available for use throughout a CCF record.

In a 7-bit record, the characters from the C1 set are represented by the two characters 'ESC F' where ESC is the 1/11 control set function in the C0 set and F is a bit combination from columns 4 and 5. The F bit combinations associated with each of the functions are specified by ISO at the time the set is registered. Note especially that in a 7-bit environment the 'ESC F' substitutes for the code table bit combinations.

In an 8-bit record, the C1 set resides in columns 08 and 09, and the functions are represented by their code table bit combinations.

### 2.6.2 Additional Control Function Sets

In some records more than the two control sets may be required. While the additional control sets are identified in Subfield F of Field 030, they are not designated there. Thus, when used they require designation prior to invocation. Additional control sets are designated and invoked as C1 sets through an escape sequence in the form 'ESC 2/2 F' where F is a bit combination from columns 2-3 that is assigned by ISO when the set is registered.

When in a field an additional control set has been designated and invoked, the original default C1 control set specified in Subfield A of Field 030 should be redesignated and invoked at the end of that field prior to the field terminator via the 'ESC 2/2 F' escape sequence, where F is associated with the default set.

### 2.6.3 Graphic Character Sets

The G0 graphic set for the CCF is always ISO 646. All of the characters in the record label and the directory, and the coded data values, are from ISO 646, as are the field indicators and subfield codes. Thus a record always begins with ISO 646 as the working set, and this is verified by the designation of ISO 646 in Subfield B of Field 030. Up to three additional graphic sets may be designated as G1, G2, and G3 in Subfields C, D, and E of Field 030. If no more than four sets are used in a record, the contents of Field 030 are sufficient to designate the graphic sets. Note that since the record label and directory are coded using ISO 646, the G1, G2, and G3 designations in Field 030 can be accessed before any additional graphic sets are

encountered in the record.

#### 2.6.4 Graphic Characters in a 7-bit Environment

In a 7-bit record, the four designated sets are invoked using the following locking shifts:

<u>Acronym</u>	<u>Full Name</u>	<u>Bit Combination</u>	<u>Set Invoked</u>
SI	Shift in	0/14	G0
SO	Shift out	0/15	G1
LS2	Locking shift two	ESC 6/14	G2
LS3	Locking shift three	ESC 6/15	G3

These shifts are locking, so the set invoked remains the working set until another set is specified by a shift function.

The G0 (ISO 646) set must be the working set at the end of each subfield and field, since the succeeding subfield codes or directory processing require ISO 646 as the working graphic set. This shift 'back in' to the G0 set should take place before the subfield code or field terminator.

In 7-bits, a non-locking invocation of single characters from the designated G2 or G3 set is also possible. The following non-locking shifts are defined:

<u>Acronym</u>	<u>Full Name</u>	<u>Bit Combinations</u>	<u>Set invoked From</u>
SS2	Single shift two	ESC 4/14	G2
SS3	Single shift three	ESC 4/15	G3

There is no need to reinvoke the working set after the single shifts as it is automatically reinstated after one character from the G2 or G3 set.

#### 2.6.5 Graphic Characters in an 8-bit Environment

In an 8-bit code record the four designated sets are invoked using the following locking shifts:

<u>Acronym</u>	<u>Full Name</u>	<u>Bit Combinations</u>	<u>Set Invoked Into Columns</u>
LS10	Locking shift zero	00/15	G0/02-07
LS1	Locking shift one	00/14	G1/02-07
LS1R	Locking shift one right	ESC 7/14	G1/10-15
LS2	Locking shift two	ESC 6/14	G2/02-07
LS2R	Locking shift two right	ESC 7/13	G2/10-15
LS3	Locking shift three	ESC 6/15	G3/02-07
LS3R	Locking shift three right	ESC 7/12	G3/10-15

Since these shifts are locking, the set invoked remains the



working set until another set is invoked by a shift function.

The G0 set must be the working set in columns 02-07 at the end of each subfield and each field. The shift back to the G0 set when it has temporarily been displaced should occur before the subfield delimiter or field terminator. The G1 set designated in Subfield C of Field 030 is considered the default set for columns 10-15; thus it should always be restored at the end of a field that has shifted another set into those columns.

In 8-bits, a non-locking invocation of single characters from the designated G2 and G3 sets is possible. The following non-locking sets are defined:

<u>Acronym</u>	<u>Full Name</u>	<u>Bit Combinations</u>	<u>Set Invoked Into Columns</u>
SS2	Single Shift Two	08/14	G2
SS3	Single Shift Three	08/15	G3

There is no need to reinvoke the appropriate working set after the single shifts as it is automatically reinstated after one character from the G2 or G3 set. Single graphic characters are always invoked into columns 02-07.

#### 2.6.6 Additional Graphic Sets

In some instances more than the four graphic sets designated in Field 030 may be required in a record. While those additional sets are identified in Subfield G of Field 030, they are not designated there; thus when used they require designation before invocation. Additional sets may be substituted for the sets designated in Field 030 through an escape sequence in the form 'ESC I F'. I, which may be one or more characters in length, indicates the G designation of the set according to the following values:

<u>Single Byte per Character</u>	<u>I. Multiple Bytes per Character</u>	<u>Designation</u>
2/8 or 2/12	2/4 or 2/4 2/12	G0
2/9 or 2/13	2/4 2/9 or 2/4 2/13	G1
2/10 or 2/14	2/4 2/10 or 2/4 2/14	G2
2/11 or 2/15	2/4 2/11 or 2/4 2/15	G3

F, the final character, indicates the graphic set being designated. It is a bit combination that is assigned by ISO when the set is registered.

If a fifth, or further, graphic set is needed in a CCF field, it must first be designated through the escape sequence, then invoked with shift functions as specified in previous sections. When in a field an additional set has been designated and invoked, the original set specified in the field should be

redesignated via an escape sequence prior to the field terminator. When a field ends, the G0, G1, G2, and G3 designated sets must be those specified in Field 030.

ISO 646  
International Reference Version Graphic Character Set  
(Registration Number 2)

					b.	0	0	0	0	1	1	1	1
					b.	0	0	1	1	0	0	1	1
					b.	0	1	0	1	0	1	0	1
					column	0	1	2	3	4	5	6	7
b.	b.	b.	b.	row	0	NUL	TC. (DLE)	SP	0	@	P		p
0	0	0	0	0	1	TC. (SOH)	DC.	!	1	A	Q	a	q
0	0	1	0	2	TC. (STX)	DC.	"	2	B	R	b	r	
0	0	1	1	3	TC. (ETX)	DC.	#	3	C	S	c	s	
0	1	0	0	4	TC. (EOT)	DC.	¤	4	D	T	d	t	
0	1	0	1	5	TC. (ENQ)	TC. (NAK)	%	5	E	U	e	u	
0	1	1	0	6	TC. (ACK)	TC. (SYN)	&	6	F	V	f	v	
0	1	1	1	7	BEL	TC. (ETB)	'	7	G	W	g	w	
1	0	0	0	8	FE. (BS)	CAN	(	8	H	X	h	x	
1	0	0	1	9	FE. (HT)	EM	)	9	I	Y	i	y	
1	0	1	0	10	FE. (LF)	SUB	*	:	J	Z	j	z	
1	0	1	1	11	FE. (VT)	ESC	+	;	K	[	k	{	
1	1	0	0	12	FE. (FF)	IS. (FS)	,	<	L	\	l		
1	1	0	1	13	FE. (CR)	IS. (GS)	-	=	M	]	m	}	
1	1	1	0	14	SO	IS. (RS)	.	>	N	^	n	-	
1	1	1	1	15	SI	IS. (US)	/	?	O	_	o	DEL	

# Basic Cyrillic Graphic Character Set

(Registration Number 37)

				b.	0	0	0	0	1	1	1	1
				b.	0	0	1	1	0	0	1	1
				b.	0	1	0	1	0	1	0	1
					0	1	2	3	4	5	6	7
b.	b.	b.	b.	0				0	ю	п	Ю	П
0	0	0	1	1			!	1	а	я	А	Я
0	0	1	0	2			"	2	б	р	Б	Р
0	0	1	1	3			#	3	ц	с	Ц	С
0	1	0	0	4			п	4	д	т	Д	Т
0	1	0	1	5			%	5	е	у	Е	У
0	1	1	0	6			&	6	ф	ж	Ф	Ж
0	1	1	1	7			'	7	г	з	Г	З
1	0	0	0	8			(	8	х	ь	Х	Ь
1	0	0	1	9			)	9	к	ы	К	Ы
1	0	1	0	10			*	:	я	э	Й	Э
1	0	1	1	11			+	;	к	ш	К	Ш
1	1	0	0	12			,	<	л	э	Л	Э
1	1	0	1	13			-	=	м	щ	М	Щ
1	1	1	0	14			.	>	н	ч	Н	Ч
1	1	1	1	15			/	?	о	ъ	О	

FORMAT MODIFICATION

The CCF constitutes a valid implementation of ISO 2709, the standard that governs the structure of formats for international bibliographic data exchange. Thus, in order to facilitate data exchange, the CCF implements in specific ways certain options permitted by ISO 2709. It has been decided, for example, that CCF records will use 2-digit field indicators, and that a single digit will denote the segment indicator; ISO 2709 permits field indicators to be as long as 9 digits, or not to be used at all, while segment indicators are permitted by but not explicitly mentioned in the standard.

Although it is expected that the CCF as it presently stands will be suitable for the records of virtually all kinds of bibliographic agencies, two or more organizations exchanging CCF records might agree to modify some of the CCF's implementations of options permitted by ISO 2709. It should be noted that any of these modifications undertaken unilaterally may make it impossible to exchange bibliographic records with other CCF users. Bibliographic agencies wishing to make proposals regarding the CCF are encouraged to write to the General Information Programme, Unesco.

### 3 DATA ELEMENTS

3.1 List of data elements

3.2 Treatment of data elements

3.3 Data elements and examples of their use

LIST OF DATA ELEMENTS

<u>Tag</u>	<u>Name</u>
001	RECORD IDENTIFIER
010	RECORD IDENTIFIER FOR SECONDARY SEGMENTS
010A	Control number
011	ALTERNATIVE RECORD CONTROL NUMBER
011A	Alternative control number
011B	Identification of agency in coded form
020	SOURCE OF RECORD
020A	Identification of agency in coded form
020B	Name of agency
020L	Language of name of agency
021	COMPLETENESS OF RECORD
021A	Level of completeness code
022	DATE ENTERED ON FILE
022A	Date
030	CHARACTER SETS USED IN RECORD
030A	Alternative Control Set (C1)
030B	Default Graphic Set (G0)
030C	Second Graphic Set (G1)
030D	Third Graphic Set (G2)
030E	Fourth Graphic Set (G3)
030F	Additional Control Set
030G	Additional Graphic Set
031	LANGUAGE OF RECORD
031A	Language of the record
040	LANGUAGE AND SCRIPT OF ITEM
040A	Language of item
040B	Script of item
050	PHYSICAL MEDIUM
050A	Physical medium code
060	TYPE OF MATERIAL
060A	Type of material code
080	SEGMENT LINKAGE FIELD: GENERAL VERTICAL RELATIONSHIP
080A	Segment relationship code
080B	Segment indicator code
080C	Bibliographic level code

<u>Tag</u>	<u>Name</u>
081	SEGMENT LINKAGE FIELD: VERTICAL RELATIONSHIP FROM MONOGRAPH
081A	Segment relationship code
080B	Segment indicator code
081C	Bibliographic level code
082	SEGMENT LINKAGE FIELD: VERTICAL RELATIONSHIP FROM MULTI-VOLUME MONOGRAPH
082A	Segment relationship code
082B	Segment indicator code
082C	Bibliographic level code
083	SEGMENT LINKAGE FIELD: -VERTICAL RELATIONSHIP FROM SERIAL
083A	Segment relationship code
083B	Segment indicator code
083C	Bibliographic level code
085	SEGMENT LINKAGE FIELD: HORIZONTAL OR CHRONOLOGICAL RELATIONSHIP
085A	Segment relationship code
085B	Segment indicator code
085C	Bibliographic level code
086	FIELD TO FIELD LINKAGE
086A	Identification of field linked from
086B	Field relationship code
086C	Identification of field linked to
100	INTERNATIONAL STANDARD BOOK NUMBER (ISBN)
100A	ISBN
100B	Invalid ISBN
100C	Qualification
101	INTERNATIONAL STANDARD SERIAL NUMBER (ISSN)
101A	ISSN
101B	Invalid ISSN
101C	Cancelled ISSN
102	CODEN
102A	Coden
110	NATIONAL BIBLIOGRAPHY NUMBER
110A	National bibliography number
101B	National bibliographic agency code
111	LEGAL DEPOSIT NUMBER
111A	Legal deposit number
111B	Legal deposit agency
120	DOCUMENT IDENTIFICATION NUMBER
120A	Document identification number
120B	Type of number

<u>Tag</u>	<u>Name</u>
200	TITLE AND ASSOCIATED STATEMENT(S) OF RESPONSIBILITY
200A	Title
200B	Statement of responsibility associated with title
200L	Language of title
200S	Script of title
201	KEY TITLE
201A	Key title
201B	Abbreviated key title
201L	Language of key title
201S	Script of key title
210	PARALLEL TITLE AND ASSOCIATED STATEMENT(S) OF RESPONSIBILITY
210A	Parallel title
210B	Statement of responsibility associated with parallel title
210L	Language of parallel title
210S	Script of parallel title
220	SPINE TITLE
220A	Spine title
220L	Language of spine title
221	COVER TITLE
221A	Cover title
221L	Language of cover title
222	ADDED TITLE PAGE TITLE
222A	Added title page title
222L	Language of added title page title
223	RUNNING TITLE
223A	Running title
223L	Language of running title
230	OTHER VARIANT TITLE
230A	Other variant title
230L	Language of title
240	UNIFORM TITLE
240A	Uniform title
240B	Number of part(s)
240C	Name of part(s)
240D	Form subheading
240E	Language of item (as part of uniform title)
240F	Version
240G	Date of version
240L	Language of uniform title
240Z	Authority number



Tag	Name
260	EDITION-STATEMENT AND ASSOCIATED STATEMENT(S) OF RESPONSIBILITY
260A	Edition statement
260B	Statement of responsibility associated with edition
260L	Language of edition statement
300	NAME OF PERSON
300A	Entry element
300B	Other name elements
300C	Additional elements to name
300D	Date(s)
300E	Role (coded)
300F	Role (non-coded)
300Z	Authority number
310	NAME OF CORPORATE BODY
310A	Entry element
310B	Other parts of name
310C	Qualifier
310D	Address of corporate body
310E	Country of corporate body
310F	Role (coded)
310G	Role (non-coded)
310L	Language of entry element
310S	Script of entry element
310Z	Authority number
320	NAME OF MEETING
320A	Entry element
320B	Other parts of name
320C	Qualifier
320E	Country
320G	Location of meeting
320H	Date of meeting (in ISO format)
320I	Date of meeting (in free format)
320J	Number of meeting
320L	Language of entry element
320S	Script of entry element
320Z	Authority number
330	AFFILIATION
330A	Entry element
330B	Other parts of the name
330C	Qualifier
330D	Address
330E	Country of affiliation
330L	Language of entry element
400	PLACE OF PUBLICATION AND PUBLISHER
400A	Place of publication
400B	Name of publisher
400C	Full address of publisher
400D	Country of publisher

<u>Tag</u>	<u>Name</u>
410	PLACE OF MANUFACTURE AND NAME OF MANUFACTURER
410A	Place of manufacture
410B	Name of manufacturer
410C	Full address of manufacturer
410D	Country of manufacturer
420	PLACE AND NAME OF DISTRIBUTOR
420A	Place of distributor
420B	Name of distributor
420C	Full address of distributor
420D	Country of distributor
440	DATE OF PUBLICATION
440A	Date in formalized form
440B	Date in non-formalized form
441	DATE OF LEGAL DEPOSIT
441A	Date of legal deposit
450	SERIAL NUMBERING
450A	Serial numbering and date
460	PHYSICAL DESCRIPTION
460A	Number of pieces and designation
460B	Other descriptive details
460C	Dimensions
460D	Accompanying material
480	SERIES STATEMENT AND ASSOCIATED STATEMENT(S) OF RESPONSIBILITY
480A	Series statement
480B	Statement of responsibility associated with series statement
480C	Part statement
480D	ISSN
480L	Language of title
480S	Script of title
490	PART STATEMENT
490A	Volume/part numeration and designation
490B	Pagination defining a part
490C	Other identifying data defining a part
500	NOTE
500A	Note
510	NOTE ON BIBLIOGRAPHICAL RELATIONSHIP
510A	Note
520	SERIAL FREQUENCY NOTE
520A	Frequency
520B	Dates of frequency

<u>Tag</u>	<u>Name</u>
530	CONTENTS NOTE
530A	Note
600	ABSTRACT
600A	Abstract
600L	Language of abstract
610	CLASSIFICATION SCHEME NOTATION
610A	Notation
610B	Identification of classification scheme
620	SUBJECT DESCRIPTOR
620A	Subject descriptor
620B	Identification of subject system

### TREATMENT OF DATA ELEMENTS

The data elements presented in this document represent only those required for printed materials. They are a subset of the total number which have been identified in international formats, but are the set which must be present in an international format which is to handle records prepared by any agency. For exchange of records describing specialized kinds of materials (e.g. films, computer software, patents) other data elements will be needed in addition to these. They can be agreed on individually by parties to an exchange agreement until such time as they may be incorporated into the CCF.

The data elements shown in Section 3.3 are presented in numerical order by three-digit tag, in a consistent style using the following headings:

FIELD - the three-digit tag which identifies the field, shown in a box.

NAME - the name of the field.

DEFINITION - a definition of the field.

REPRESENTATION - the form in which the field will be represented in the record. This is an area where standardization is lacking; database producers have adopted conventions based in many instances on long standing practices which would be difficult to standardize. In the case of certain elements, standards do exist but have not been formally accepted throughout the entire information community. Nevertheless the same practices can be found in databases which are aimed at different audiences. For example, most agencies preparing records take the title from the item itself; when for one reason or another they do not, they indicate this, typically by the use of square brackets.

The International Standard Bibliographic Description (ISBD), which is a de facto standard, prescribes (1) content, (2) form, and (3) punctuation for the descriptive elements of records. Content consists of a set of data elements which should be present in the record when available on the item; form usually means the data as it is found on the item; punctuation is prescribed so as to facilitate the identification of each separate data element in the record. The content of ISBD has been taken into account by including in the CCF the ISBD data elements necessary for books and serials. The form has been taken into account by employing an indicator to show that the form of a data element is as on the item, as prescribed by ISBD.

Generally, no prescription is made in the CCF for punctuation.

Since punctuation in any bibliographic record, whether using ISBD or not, is mainly used to indicate boundaries between data elements, it is recommended that it should be omitted in the CCF at the end of a field or subfield, since the end of the field or subfield indicates the boundary. Thus, appropriate punctuation can be added by computer program when the record is displayed.

In certain fields, detailed instructions as to form of data are given. These are fields where standards exist (e.g. international standard numbers) or where processing requirements demand a specific form, such as the linking fields (Fields 080 to 086). In those fields which will contain data formulated according to the rules and practices used by the agency creating the record, a standard instruction is used to indicate this.

USE - whether the field is mandatory or optional, whether it can be repeated in the record, whether it may occur only in certain parts of the record, etc. The terms 'not repeatable' and 'mandatory' apply to the segment rather than the entire record, unless stated otherwise. Elements designated 'not repeatable' can nevertheless occur once in each relevant segment.

INDICATORS - how the indicators associated with the field are to be used. Indicators appear in the record as the first two bytes of each data field. When no specific value is assigned to an indicator, '0' (zero) is entered.

SUBFIELDS - the subfield(s) that form elements within the field. The subfields, lettered from A to Z, are presented in sections in the same way as each field: Name, Definition, Representation and Use. Each subfield identifier consists of the bit pattern equivalent to decimal 31 (hexadecimal 1F), in accordance with the stipulations of ISO 2709. In the CCF, this is represented by the '@' sign. Throughout the fields, Subfield L has been reserved for language codes and Subfield S for script codes. Even when these are not listed they may be used in any particular field. Subfields can be entered in any order. However, in certain fields which prescribe that the data should be arranged in the form and sequence as on the item, the subfields should be arranged to reflect that sequence.

EXAMPLES - examples of the data in most subfields. Although in many cases real bibliographic items have been used as examples, all coding shown has been created for use in these examples. Because the CCF is intended primarily as a format into which records will be reformatted from other formats, most examples refer to the data as it is found on the item and where it has already been

presented in a manner prescribed by the source format. In addition, some examples illustrate data as it is found on the item and as it may be transcribed directly into the CCF, without reference to any other source format.

NOTE ON LINKS - any comments which are required to explain the ways in which this field is involved in linking relationships between fields or segments. This heading appears in only a few field descriptions.

3.3

DATA ELEMENTS AND EXAMPLES OF THEIR USE



## 0. NAME

## RECORD IDENTIFIER

## 1. DEFINITION

Characters uniquely associated with the record and assigned by the agency preparing the bibliographic record.

## 2. REPRESENTATION

As assigned.

## 3. USE

Mandatory. Not repeatable. May occur only within the primary segment.

## 4. INDICATORS

As specified by ISO 2709, the record identifier field does not contain indicators.

## 5. SUBFIELDS

As specified by ISO 2709, the record identifier field does not contain subfields.

## EXAMPLES

Example 1

80-12345

Example 2

1234-83

Example 3

C83-1248

Example 4

6042284259

Example 5

963-592-149-7

FIELD 010
-----------

- |                  |  |
|------------------|--|
| 0 NAME           | RECORD IDENTIFIER FOR SECONDARY SEGMENTS   |
| 1 DEFINITION     | The record identifier (see Field 001 for definition) of the record identified in the secondary segment.  |
| 2 REPRESENTATION | As assigned.   |
| 3 USE            | Optional. Repeatable within the record. The field will occur only once in a secondary segment linking to another record. It cannot occur in a primary segment. |
| 4 INDICATORS     | 00   |
| 5 SUBFIELDS      |  |
| 5.A.0 NAME       | <u>Control number.</u>   |
| 5.A.1 DEFN       | The control number of the record representing the item specified in a secondary segment.   |
| 5.A.2 REPR       | As assigned.   |
| 5 A.3 USE        | Mandatory. Not repeatable.   |

EXAMPLES

When a record is identified in a secondary segment by means of its identifier (control number), the control number is entered in the secondary segment in Field 010. This breaks the convention that data in secondary segments is entered in the same field as it would be in a primary segment. That convention would require the control number to appear in Field 001; however, ISO 2709 permits an 001 field to appear only once in each record.

Example 1

A secondary segment refers to an item identified by the number 78/12345. Contents of Field 010 in the secondary segment:

00@A78/12345

FIELD 011

- 0 NAME ALTERNATIVE RECORD CONTROL NUMBER
- 1 DEFINITION Characters uniquely associated with the record but not used as the control number by the agency preparing the record.
- 2 REPRESENTATION As assigned.
- 3 USE Optional. Repeatable.
- 4 INDICATORS 00
- 5 SUBFIELDS

- 5.A.0 NAME Alternative control number.
- 5.A.1 DEFN The control number for the record as used by the agency in Subfield B.
- 5.A.2 REPR As assigned.
- 5.A.3 USE Mandatory. Not repeatable.

- 5.B.0 NAME Identification of agency in coded form.
- 5.B.1 DEFN The identification of the organization that assigned the control number in Subfield A.
- 5.B.2 REPR A code taken from the list of National Bibliography and Legal Deposit Agency Codes shown in Section 4.11.
- 5.B.3 USE Mandatory. Not repeatable.

EXAMPLES

When an agency takes records from the database of another agency, it may wish to assign each record its own record identifier. If it wishes to retain the original record identifier, that may be entered in Field 011.

Example 1

An agency has taken a record from the BLAISE database; since there is no official code for British bibliographic agencies, it enters the name of the source in Field 011. Contents of Field 011:

00@ABLN7013009@BGBBLAISE

0 NAME SOURCE OF RECORD

1 DEFINITION Identification of the agency preparing the record.

2 REPRESENTATION Preferably in coded form.

3 USE Mandatory. Not repeatable.

4 INDICATORS 00

5 SUBFIELDS

5.A.0 NAME Identification of agency in coded form.

5.A.1 DEFN See 1 above.

5.A.2 REPR A code taken from the list of Organization Codes shown in Section 4.9.

5.A.3 USE Preferred form. Not repeatable.

5.B.0 NAME Name of agency.

5.B.1 DEFN See 1 above.

5.B.2 REPR In a form full enough to enable the agency to be identified uniquely.

5.B.3 USE To be used only in the absence of a set of coded forms. Not repeatable.

5.L.0 NAME Language of name of agency.

5.L.1 DEFN The language of the name of the agency in Subfield B.

5.L.2 REPR A code taken from the list of Language Codes shown in Section 4.4.

5.L.3 USE Optional. Not repeatable.

EXAMPLES The organization codes have not yet been established.

Example 1 Subfield A cannot be used. The name of the organization is stated in Subfield B. Contents of Field 020:

00@BUniversity of British Columbia@Ceng

Example 2 The agency originating the record is the International Federation for Documentation, commonly known as FID. It is unnecessary to enter the language of the abbreviation. Contents of Field 020:

00@BFID

- 0 NAME COMPLETENESS OF RECORD
- 1 DEFINITION An indication of whether the record includes mandatory, optional or local data elements; whether it is a CIP record, or it has been prepared using the published item.
- 2 REPRESENTATION In coded form, as specified below.
- 3 USE Mandatory. Not repeatable.
- 4 INDICATORS
- 1: 1 = Only standard (i.e. mandatory or optional) data elements present in the record.
  - 2 = Local data elements present in the record.
  - 0 = Not specified.
  - 2: 1 = Bibliographic data based on published item.
  - 2 = Record is a CIP record.
  - 0 = Not specified.

## 5 SUBFIELDS

- 5.A.0 NAME Level of completeness code.
- 5.A.1 DEFN A code defining the completeness of the record.
- 5.A.2 REPR A code taken from the list of Completeness of Record Codes shown in Section 4.14. The following codes are used:  
 A = All mandatory and optional elements provided.  
 B = All mandatory elements provided.  
 C = Less than all mandatory elements provided.
- 5.A.3 USE Mandatory. Not repeatable.

## EXAMPLES

## Example 1

The record contains private data elements and does not contain all of the mandatory data elements. Contents of Field 021:

21@AC

## Example 2

The record is a CIP (Cataloguing in Publication) record which contains only the mandatory elements. Contents of Field 021:

12@AB

FIELD 022

- 0 NAME DATE ENTERED ON FILE
- 1 DEFINITION The date when the record was prepared by the agency and/or entered on its file.
- 2 REPRESENTATION In accordance with ISO 2014.
- 3 USE Mandatory. Not repeatable.
- 4 INDICATORS 00
- 5 SUBFIELD
- 5.A.0 NAME Date.
- 5.A.1 DEFN See 1 above.
- 5.A.2 REPR See 2 above.
- 5.A.3 USE Mandatory. Not repeatable.

EXAMPLES

There is no consistent policy among record-providing organizations as to whether this date refers to the original creation date of the record, or to being entered in the file of the exchanging organization. Users of records will therefore have to ascertain for themselves the precise meaning of this date, depending on the origin of the record.

Example 1

The record was made available on 17 September 1983. Contents of Field 022:

00@A19830917

0 NAME CHARACTER SETS USED IN RECORD

1 DEFINITION Designation of the C1, G0, G1, G2, and G3 control and graphic sets used in the record. Also used to identify additional sets that may be designated in a field in the record.

2 REPRODUCTION Sets are identified by their ISO registration number. The most commonly used sets are shown in Section 4.3. Lists of character sets are shown with their registration numbers in the ISO International Register of Coded Character Sets To Be Used With Escape Sequences which is compiled in accordance with the provisions of ISO 2375. The Register is administered by the European Computer Manufacturers Association (114 rue du Rhone, CH-1204 Genève, Switzerland).

3 USE Mandatory. Not repeatable.

4 INDICATORS 00

5 SUBFIELDS

5.A.0 NAME Alternative Control Set (C1)

5.A.1 DEFN The designation and invocation of the default additional C1 control function set used in the record.

5.A.2 REPR See 2 above.

5.A.3 USE Mandatory when an alternative control set is used. Not repeatable.

5.B.0 NAME Default Graphic Set (G0).

5.B.1 DEFN The designation of the default G0 set used in the record.

5.B.2 REPR See 2 above.

5.B.3 USE Mandatory when an alternative control set is used. Not repeatable.

5.C.0 NAME Second Graphic Set (G1).

5.C.1 DEFN The designation of the default G1 set used in the record.

5.C.2 REPR See 2 above.

5.C.3 USE Mandatory when a G1 set is used. Not repeatable.

5.D.0 NAME Third Graphic Set (G2).

5.D.1 DEFN The designation of the default G2 set used in the record.

5.D.2 REPR See 2 above.



5.D.3 USE

Mandatory when a G2 set is used. Not repeatable.

5.E.0 NAME

Fourth Graphic Set (G3).

5.E.1 DEFN

The designation of the default G3 set used in the record.

5.E.2 REPR

See 2 above.

5.E.3 USE

Mandatory when a G3 set is used. Not repeatable.

5.F.0 NAME

Additional Control Set.

5.F.1 DEFN

The identification of C1 control function sets, additional to that designated in Subfield A, that are used in the record.

5.F.2 REPR

See 2 above.

5.F.3 USE

Mandatory when additional control sets are used. Repeatable.

5.G.0 NAME

Additional Graphic Set.

5.G.1 DEFN

The identification of graphic character sets, additional to those designated in Subfield B, C, D, and E, that are used in the record.

5.G.2 REPR

See 2 above.

5.G.3 USE

Mandatory when additional graphic sets are used. Repeatable.

EXAMPLES

Example 1

The record is for a Russian language item and is described by an agency that works in Russian. A C1 set of bibliographic control characters is also used in the record. Since the 8-bit environment is used, the left-hand page graphic set is ISO 646 and the right-hand page graphic set is Registration Number 37 throughout the record. Contents of Field 030:

00@A67@B2@C37

Example 2

The record is for a multi-lingual thesaurus and the title page of the item carries information in six languages that require, in addition to extended Roman characters, Greek, Cyrillic, and extended Cyrillic characters. Contents of Field 030:

00@B2@C53@D37@E54@G55

- 0 NAME LANGUAGE OF RECORD
- 1 DEFINITION Identification of the language used in those elements of the record which are not transcribed from the item, but which have been added by the agency preparing the record, e.g. the language of the notes.
- 2 REPRESENTATION In coded form, as specified below.
- 3 USE Mandatory when the language of the record differs from the language of the item (Field 040). Not repeatable.
- 4 INDICATORS 00
- 5 SUBFIELD
- 5.A.0 NAME Language of the record.
- 5.A.1 DEFN See 1, above.
- 5.A.2 REPR A code taken from the list of Language Codes shown in Section 4.4.
- 5.A.3 USE Mandatory. Repeatable when the record contains more than one language. Alternately, the code 'mul' may be used when an agency produces a record with notes in more than one language. In this case, each of the notes fields should contain a Subfield L showing the code for the language.

## EXAMPLES

Example 1 The language of the record is German. Contents of Field 031:

00@Ager

Example 2 The record is given in both English and French. Contents of Field 031:

00@Aeng@Afre

- 0 NAME LANGUAGE AND SCRIPT OF ITEM
- 1 DEFINITION Identification of the language and script of the item.
- 2 REPRESENTATION In coded form, as specified below.
- 3 USE Mandatory when the item includes language material. Not repeatable.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Language of the item.
- 5.A.1 DEFN Identification of the language of the item.
- 5.A.2 REPR A code taken from the list of Language Codes shown in Section 4.4.
- 5.A.3 USE Mandatory. Repeatable when there is more than one language.
- 5.B.0 NAME Script of the item.
- 5.B.1 NAME Identification of the script of the item.
- 5.B.2 REPR A code taken from the list of Script Codes shown in Section 4.6.
- 5.B.3 USE Mandatory when the script is not the script usually associated with the language shown in Subfield A, e.g. Russian in roman script, Spanish in Cyrillic script. Otherwise, optional. Repeatable; when a code for the script of the item is included, it refers only to the immediately preceding language code.

## EXAMPLES

Example 1 The document is in English. No script is given, since roman is the expected script for English. Contents of Field 040:

00@Aeng

Example 2 The document is in Sanscrit converted to roman script. The script is indicated. Contents of Field 040:

00@Asan@Ba

Example 3 The document is in French and modern Greek. Optional script codes are given. Contents of Field 040:

00@Afre@Ba@Agre@Bg

FIELD 050

- 0 NAME PHYSICAL MEDIUM
- 1 DEFINITION Identification of the physical medium in which the item is produced, e.g. microform, Braille, machine-readable, print, photographic.
- 2 REPRESENTATION In coded form, as specified below.
- 3 USE Optional. Not repeatable.
- 4 INDICATORS 00
- 5 SUBFIELDS

- 5.A.0 NAME Physical medium code.
- 5.A.1 DEFN See 1 above.
- 5.A.2 REPR A code taken from the list of Physical Medium Codes shown in Section 4.5.
- 5.A.3 USE Repeatable for each medium included in the item.

EXAMPLES

Example 1 The record describes a book. The physical medium is print on paper, which is coded 010. Contents of Field 050:

00@A010

Example 2 The record describes a book (coded 010) which contains a microfiche in a pocket. The code for microform is 020. Contents of Field 050:

00@A010@A020

FIELD 060
-----------

0 NAME	TYPE OF MATERIAL
1 DEFINITION	Identification of the intellectual form or presentation of the item.
2 REPRESENTATION	In coded form, as specified below.
3 USE	Mandatory. Not repeatable.
4 INDICATORS	00
5 SUBFIELDS	
5.A.0 NAME	<u>Type of material code.</u>
5.A.1 DEFN	Identification of the intellectual form of presentation of the item.
5.A.2 REPR	A code taken from the list of Type of Material Codes shown in Section 4.15.
5.A.3 USE	Mandatory. Repeatable.

#### EXAMPLES

##### Example 1

The proceedings of the conference of a learned society are published as a serial in microform. In general terms the type of material is textual (code 100) and specifically it is a meeting document (code 115). Contents of Field 060:

00@A100@A115

##### Example 2

The item is a thesis, which is coded 110. Contents of Field 060:

00@A110

- 0 NAME SEGMENT LINKAGE FIELD: GENERAL VERTICAL RELATIONSHIP
- 1 DEFINITION Information to link a segment of a record in which this field occurs with another segment in the same record.
- 2 REPRESENTATION See subfields.
- 3 USE Mandatory when the record contains more than one segment, unless one of Fields 081, 082 and 083 is used. Repeatable.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Segment relationship code.
- 5.A.1 DEFN A code defining the specific nature of the relationship between the two segments.
- 5.A.2 REPR A code taken from the list of Vertical Relationship Codes shown in Section 4.12.
- 5.A.3 USE Mandatory. Not repeatable.
- 5.B.0 NAME Segment indicator code.
- 5.B.1 DEFN A code identifying the segment to which the link is being made.
- 5.B.2 REPR One digit: the value used in the directory entry to identify the fields belonging to that segment, i.e. 0 to 9, A to Z.
- 5.B.3 USE Mandatory. Not repeatable.
- 5.C.0 NAME Bibliographic level code.
- 5.C.1 DEFN The bibliographic level code value relating to the item specified by the segment in which this field resides.
- 5.C.2 REPR A code taken from the list of Bibliographic Level Codes shown in Section 4.2.
- 5.C.3 USE Mandatory. Not repeatable.

## EXAMPLES

- Example 1 The record (hence the primary segment) is for a monograph. A segment linkage field provides a link from a secondary segment representing the monograph's series to the monograph described in the primary segment. Thus the segment identifier code in Subfield B is '0'. The segment linkage field is part of the

secondary segment. The segment relationship code in Subfield A is '02' since the series is higher in the vertical hierarchy than the monograph. The bibliographic level code for the item from which the link is being made is optional and not included in this example. Contents of Field 080:

00@A02@B0

## Example 2

A segment linkage in Field 080 provides a link from a secondary segment identifying a serial to the primary segment that describes an article which the serial contains. The segment relationship code is '02' since the segment in which the code occurs is higher in the hierarchy. The segment to which the link is made is Segment 0. The bibliographic level code for the item from which the link is being made is included in this example. Field 080 in the segment recording the serial will contain the following:

00@A02@B0@Cs



- 0 NAME SEGMENT LINKAGE FIELD: VERTICAL RELATIONSHIP FROM MONOGRAPH
- 1 DEFINITION Information to link a segment in which this field occurs, when this segment is at the monographic level, to another segment in the same record which is at the component part level.
- 2 REPRESENTATION See subfields.
- 3 USE Mandatory, alternative to Field 080 'Segment Linkage Field: General Vertical Relationship'. Not repeatable. Used only when (1) the item in the segment being linked from is at the monograph level and is the only segment of that level in the record, and (2) the item in the segment being linked to is at the analytic level and is the only segment of that level in the record.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Segment relationship code.
- 5.A.1 DEFN A code defining the specific nature of the relationship between the two segments.
- 5.A.2 REPR '02' (A code taken from the list of Vertical Relationship Codes shown in Section 4.12.)
- 5.A.3 USE Optional. Not repeatable.
- 5.B.0 NAME Segment indicator code.
- 5.B.1 DEFN A code identifying the segment to which the link is being made.
- 5.B.2 REPR One digit: the value used in the directory entry to identify the fields belonging to that segment, i.e., 0 to 9, A to Z.
- 5.B.3 USE Mandatory. Not repeatable.
- 5.C.0 NAME Bibliographic level code.
- 5.C.1 DEFN The bibliographic level code value relating to the item specified by the segment in which this field resides.
- 5.C.2 REPR 'm' (A code taken from the list of Bibliographic Level Codes in Section 4.2.)
- 5.C.3 USE Optional. Not repeatable.

## EXAMPLES

### Example 1

A segment linkage field provides a link from a secondary segment that identifies a monograph to a the primary segment, in which there is a component part of the monograph. The segment to which the link is made will be Segment 0. The bibliographic level code of the monographic item is 'm'. Contents of Field 081:

00@A02@B0@Cm

### Example 2

The relationship in the first example (above) could have been specified in the secondary segment using Field 080, in which case the contents of Field 080 would have been the same:

00@A02@B0@Cs

## 0 NAME

SEGMENT LINKAGE FIELD: VERTICAL RELATIONSHIP  
FROM MULTI-VOLUME MONOGRAPH

## 1 DEFINITION

Information to link the segment in the record in which this field occurs, when this is at the multi-volume monographic level, to another segment in the record which is at the single-volume monographic level.

## 2 REPRESENTATION

See subfields.

## 3 USE

Mandatory alternative to Field 080 'Segment Linkage Field: General Vertical Relationship'. Not repeatable. Used only when (1) the item in the segment being linked from is at the multi-volume monograph bibliographic level and is the only segment of that level in the record, and (2) the item in the segment being linked to is either a single-volume monograph or an article, and is the only segment of that level in the record.

## 4 INDICATORS

00

## 5 SUBFIELDS

## 5.A.0 NAME

Segment relationship code.

## 5.A.1 DEFN

A code defining the specific nature of the relationship between the two segments.

## 5.A.2 REPR

'02' (A code taken from the list of Vertical Relationship Codes shown in Section 4.12.)

## 5.A.3 USE

Optional. Not repeatable.

## 5.B.0 NAME

Segment indicator code.

## 5.B.1 DEFN

A code identifying the segment to which the link is being made.

## 5.B.2 REPR

One digit: the value used in the directory entry to identify the fields belonging to that segment, i.e. 0 to 9, A to Z.

## 5.B.3 USE

Mandatory. Not repeatable.

## 5.C.0 NAME

Bibliographic level code.

## 5.C.1 DEFN

The bibliographic level code value relating to the item specified by the segment in which this field resides.

## 5.C.2 REPR

'c' (A code taken from the list of Bibliographic Level Codes shown in Section 4.2.)

## 5.C.3 USE

Optional. Not repeatable.

## EXAMPLES

### Example 1

A segment linkage field links from a secondary segment that identifies a multi-volume monograph to the primary segment representing a single volume monograph. The segment relationship code is '02' since the segment in which the code occurs is higher in the hierarchy. The segment to which the link is made will be Segment 0. The bibliographic level code of the item identified in the secondary segment is 'c'. Contents of Field 082:

00@A02@B0@Cc

### Example 2

The relationship in the first example (above) could have been specified in the secondary segment using Field 080, in which case the contents of Field 080 would have been the same:

00@A02@B0@Cs

**FIELD 083**

**0 NAME**

**SEGMENT LINKAGE FIELD: VERTICAL RELATIONSHIP FROM SERIAL**

**1 DEFINITION**

Information to link a segment in which this field occurs, when this segment is at the serial level, to another segment in the record which is at a lower level in the hierarchy.

**2 REPRESENTATION**

See subfields.

**3 USE**

Mandatory alternative to Field 080. Not repeatable. Used only when (1) the item in the segment being linked from is at the serial level and is the only segment of that level in the record, and (2) the item in the segment being linked to is either at the analytic level, single-volume monographic level or multi-volume monographic level, and it is the only segment of any of those three levels in the record.

**4 INDICATORS**

00

**5 SUBFIELDS**

**5.A.0 NAME**

Segment relationship code.

**5.A.1 DEFN**

A code defining the specific nature of the relationship between the two segments. '02' (A code taken from the list of Vertical Relationship Codes shown in Section 4.12.). Mandatory. Not repeatable.

**5.A.2 REPR**

**5.A.3 USE**

**5.B.0 NAME**

Segment indicator code.

**5.B.1 DEFN**

A code identifying the segment to which the link is being made.

**5.B.2 REPR**

One digit: the value used in the directory entry to identify the fields belonging to that segment, i.e. 0 to 9, A to Z.

**5.B.3 USE**

Mandatory. Not repeatable.

**5.C.0 NAME**

Bibliographic level code.

**5.C.1 DEFN**

The bibliographic level code value relating to the item specified by the segment in which this field resides. this

**5.C.2 REPR**

's' (A code taken from the list of Bibliographic Level Codes shown in Section 4.2.)

**5.C.3 USE**

Optional. Not repeatable.

## EXAMPLES

### Example 1

A segment linkage field links from a secondary segment, for a serial to a segment representing an article in the serial. The segment relationship code is '02' since the segment in which the code occurs is higher in the hierarchy. The segment of the article to which the link is made will be Segment 0. The bibliographic level code of the record representing the article is 'S'. Contents of Field 083:

00@A02@B0@Cs

### Example 2

The relationship in the first example (above) could have been specified in the secondary segment using Field 080, in which case the contents of Field 080 would have been the same:

00@A02@B0@Cs

- 0 NAME SEGMENT LINKAGE FIELD: HORIZONTAL OR CHRONOLOGICAL RELATIONSHIP
- 1 DEFINITION Information to link a segment in the record in which this field occurs to another segment in the record when the relationship between the two segments is horizontal or chronological.
- 2 REPRESENTATION See subfields.
- 3 USE Mandatory. Repeatable.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Segment relationship code.
- 5.A.1 DEFN A code defining the specific nature of the relationship.
- 5.A.2 REPR A code taken from the Horizontal Relationship Codes shown in Section 4.13.
- 5.A.3 USE Mandatory. Not repeatable.
- 5.B.0 NAME Segment indicator code.
- 5.B.1 DEFN A code identifying the segment to which the link is being made.
- 5.B.2 REPR One digit: the value used in the directory entry to identify the fields belonging to that segment, i.e. 0 to 9, A to Z.
- 5.B.3 USE Mandatory. Not repeatable.
- 5.C.0 NAME Bibliographic level code.
- 5.C.1 DEFN The bibliographic level code value relating to the item specified by the segment in which this field resides.
- 5.C.2 REPR A code taken from the list of Bibliographic Level Codes shown in Section 4.2.
- 5.C.3 USE Optional. Not repeatable.

## EXAMPLES

## Example 1

A segment linkage field provides a link to a segment identifying a translated work from a segment identifying the original from which the translation was made. The segment relationship code is '32' since the link is made from the translation to its original. The segment to which the link is made will be Segment 0. The bibliographic level code of the



original work is 'm'. Contents of Field 085:

000A320B00Cm

Example 2

A segment linkage field links a secondary segment that identifies an earlier title of a serial to the primary segment in which the most recent title is described. The most recent title is the target item for the record. The segment relationship code is '22' since the link is made from the former title to the later. The segment to which the link is made will be Segment 0. The bibliographic level code is unnecessary and is omitted, since both segments would be coded 's'. Contents of Field 085:

000A210B0

0 NAME FIELD TO FIELD LINKAGE

1 DEFINITION Information to link two or more fields in the same segment.

2 REPRESENTATION See subfields.

3 USE Optional. Repeatable.

4 INDICATORS 00

5 SUBFIELDS

5.A.0 NAME Identification of field linked from.

5.A.1 DEFN A reference to a field related to the field(s) identified in Subfield C by the relationship denoted by the code in Subfield B.

5.A.2 REPR Five characters consisting of the three digits of the tag of the field referred to, the segment identifier (one character) and the field occurrence identifier (one character).

5.A.3 USE Mandatory. Not repeatable.

5.B.0 NAME Field relationship code.

5.B.1 DEFN A code defining the relationship of the field referred to in Subfield A to each field referred to in Subfield(s) C.

5.B.2 REPR A code taken from the list of Field Linkage Codes shown in Section 4.10.

5.B.3 USE Mandatory. Not repeatable.

5.C.0 NAME Identification of field(s) linked to.

5.C.1 DEFN A reference to one of the fields related to the field identified in Subfield A by the relationship denoted by the code in Subfield B.

5.C.2 REPR See 5.A.2 above.

5.C.3 USE Mandatory. Repeatable for each field which is related to the field identified in Subfield A in the way denoted by the code in Subfield B.

#### EXAMPLES

The following examples show, for each field illustrated, the tag, then the segment identifier and field occurrence identifier, then the indicators and subfields.

#### Example 1

Within a segment, it is desired to link each field representing the name of an author to the field providing that author's affiliation.

The authors (Fields 300) and affiliations (Fields 330) are as follows:

```
300 00 10@AGove@BN.B.  
300 01 10@AHughes@BT.E.  
300 02 10@AMatiushin@BG.D.  
300 03 10@ATurtanov@BN.V.  
330 00 00@AComputer Science Division@BOak  
Ridge National Laboratory@DOak Ridge,  
Tennessee@EUS  
330 01 00@ALibrary of Congress@DWashington,  
D.C.@EUS  
330 02 00@AUSSR State Public Library for  
Science and Technology@DMoscow  
330 03 00@AState Committee of USSR Council of  
Ministers for Science and Technology  
@DMoscow
```

The linking fields (Fields 086) are as follows:

```
086 00 00@A30000@BAA@C33000  
086 01 00@A30001@BAA@C33001  
086 02 00@A30002@BAA@C33002  
086 03 00@A30003@BAA@C33003
```

#### Example 2

A book is published simultaneously in the Switzerland and the United Kingdom. Each issue bears its own ISBN. The agency preparing the record creates one record for both issues, incorporating both ISBN and both publishers in one record.

The book is published in Basel by Karger, and in London by Grune & Stratton. The ISBN for each issue respectively is 3-8055-2319-X, and 0-8089-1192-9. The record consists of one segment, Segment 0.

Contents of ISBN fields, Fields 100:

```
100 00 00@A3-8055-2319-X  
100 01 00@A0-8089-1192-9
```

Contents of publisher fields, Fields 400:

```
400 00 00@ABasel@BKarger@DCH  
400 01 00@ALondon@BGrune and Stratton@DGB
```

Contents of field-to-field linkage fields, Fields 086:

```
086 00 00@A10000@BPN@C40000  
086 01 00@A10001@BPN@C40001
```

- 0 NAME INTERNATIONAL STANDARD BOOK NUMBER (ISBN)
- 1 DEFINITION A number which identifies one title or edition of a title from one specific publisher and is unique to that title or edition, allocated in accordance with the stipulations of ISO 2108 and the ISBN Users' Manual.<sup>5</sup>
- 2 REPRESENTATION See subfields.
- 3 USE Mandatory for all items for which ISBN are available. Repeatable when an item has more than one ISBN, and for each invalid ISBN.
- 4 INDICATORS 00
- 5 SUBFIELD
- 5.A.0 NAME ISBN.
- 5.A.1 DEFN See 1 above.
- 5.A.2 REPR A ten-digit number divided into four parts of variable length, separated by hyphens.
- 5.A.3 USE Mandatory. Not repeatable.
- 5.B.0 NAME Invalid ISBN.
- 5.B.1 DEFN A number on an item having the appearance of an ISBN but known to be incorrect, e.g. already allocated, check digit invalid.
- 5.B.2 REPR In accordance with the practice of the agency preparing the record.
- 5.B.3 USE Optional. Repeatable.
- 5.C.0 NAME Qualification.
- 5.C.1 DEFN A statement added when an item bears more than one ISBN after each ISBN indicating the binding, publisher, price, or other qualifier.
- 5.C.2 REPR In accordance with the practice of the agency preparing the record.
- 5.C.3 USE Optional. Repeatable for more than one category of qualification.

## EXAMPLES

## Example 1

The ISBN on the item is 0-7214-0191-0.  
Contents of Field 100:

00@A0-7214-0191-0

Example 2

The ISBN in the source is 0 19 211523 5.  
Hyphens are inserted instead of spaces.  
Contents of Field 100:

00@A0-19-211523-5

Example 3

The source format provides both the correct ISBN and an invalid ISBN found on the document. 0-7210-1247-7 is correct even though 0-7210-1427-7 is printed on the item. Contents of Field 100, which appears in the record twice:

00@A0-7210-1247-7

00@B0-7210-1427-0

Example 4

The record of a document published simultaneously by publishers in the USA and Britain has two ISBN for one publisher (one from the paperback, the other from the cased edition) and one ISBN for the other publisher. Field 100 contains all the ISBN from the source record with appropriate qualifications. The field appears in the record three times:

00@A0-7099-1913-1@CCased@CCroom Helm

00@A0-8653-1328-8@CPaperback@CPacific Press

00@A0-8653-1327-X@CCased@CPacific Press

0 NAME INTERNATIONAL STANDARD SERIAL NUMBER (ISSN)

1 DEFINITION A number, allocated by the International Serials Data System (ISDS) in accordance with ISO 3297 and the ISDS Manual,<sup>7</sup> which identifies a serial uniquely.

2 REPRESENTATION See subfields.

3 USE Mandatory. Not repeatable.

4 INDICATORS 00

5 SUBFIELD

5.A.0 NAME ISSN.

5.A.1 DEFN See 1 above.

5.A.2 REPR Eight numeric digits including a check digit, appearing as two groups of four digits, separated by a hyphen.

5.A.3 USE Mandatory. Not repeatable.

5.B.0 NAME Invalid ISSN.

5.B.1 DEFN A number on a serial having the appearance of an ISSN but not validated by ISDS.

5.B.2 REPR As on the serial.

5.B.3 USE Optional. Repeatable.

5.C.0 NAME Cancelled ISSN.

5.C.1 DEFN A number assigned to a serial as its ISSN and later cancelled, in accordance with the stipulations of the ISDS Manual.<sup>7</sup>

5.C.2 REPR Eight numeric digits including a check digit, appearing as two groups of four digits, separated by a hyphen.

5.C.3 USE Optional. Repeatable.

## EXAMPLES

Example 1 The ISSN appears in the source as 02627264. The hyphen is inserted. Contents of Field 101:

00@A0262-7264

Example 2 On the first issue of Current psychological research the ISSN is wrongly printed as ISSN 0143-3887. The correct ISSN is 0144-3887. The agency preparing the record inputs the invalid

and the correct ISSN, since the invalid number may be useful when searching for the record with a citation, or part of a citation, in hand. Contents of Field 101:

00@A0144-3887@B0143-3887

Example 3

The Institute of Public Health Engineers used to publish Year book and list of members with ISSN 0141-884X. The title changed to Year's work, list of members & buyer's guide and was given a new ISSN, 0309-3123. The publisher continued the old ISSN on the new publication, so the agency preparing the record included it as an invalid ISSN. Contents of Field 101:

00@A0309-3123@B0141-884X

Example 4

In error, Golf illustrated has been assigned two ISSN. The first one assigned was 0017-1778. A second number, assigned more recently, then cancelled, is 0262-0340. Contents of Field 101:

00@A0017-1778@C0262-0340



- 0 NAME CODEN (For serials)
- 1 DEFINITION A unique, unambiguous code assigned to titles of serials by the International CODEN Service. Although CODEN codes are assigned to certain types of non-serial publications, their use in the CCF is limited to serials.
- 2 REPRESENTATION A code of six alphabetic or alphanumeric characters including a check character. See the International CODEN Directory.<sup>3</sup>
- 3 USE For serials only. Optional. Not repeatable.
- 4 INDICATORS 00
- 5 SUBFIELD
- 5.A.0 NAME CODEN.  
For definition, representation and use, see 1, 2 and 3 above.

## EXAMPLES

Example 1 The CODEN for Annalen der Physik is ANPYA2.  
Contents of Field 102:

00@AANPYA2

Example 2 The CODEN for Journal of Physiology (London) is JPHYA7. Contents of Field 102:

00@AJPHYA7

Example 3 The CODEN for Krebsarzt is KREBAG. Contents of Field 102:

00@AKREBAG

- 0 NAME NATIONAL BIBLIOGRAPHY NUMBER
- 1 DEFINITION A unique number assigned to the record of an item by the national bibliography agency.
- 2 REPRESENTATION In accordance with the practice of the agency assigning the number.
- 3 USE Optional. Repeatable.
- 4 INDICATORS 00
- 5 SUBFIELDS

5.A.0 NAME National bibliography number.  
 5.A.1 DEFN See 1 above.  
 5.A.2 REPR See 2 above.  
 5.A.3 USE Mandatory. Not repeatable.

5.B.0 NAME National bibliographic agency code.  
 5.B.1 DEFN Identification of the national bibliographic agency which has prepared the record.  
 5.B.2 REPR A code taken from the list of Codes for Names of Countries shown in Section 4.7. Additional codes must be assigned for distinguishing legal deposit agencies in countries where there is more than one agency, as described in Section 4.9.  
 5.B.3 USE Optional. Not repeatable.

## EXAMPLES

## Example 1

The National Bibliography Number is recorded in the source as B8209700. The agency is the British National Bibliography, which requires only the code 'GB' to identify it. Contents of Field 110:

00@AB8209700@BGB

FIELD 111

- 0 NAME LEGAL DEPOSIT NUMBER
- 1 DEFINITION A unique number assigned to the item by the agency responsible for legal deposit in a country.
- 2 REPRESENTATION As assigned.
- 3 USE Optional. Repeatable.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Legal deposit number.
- 5.A.1 DEFN See 1 above.
- 5.A.2 REPR See 2 above.
- 5.A.3 USE Mandatory. Not repeatable.
- 5.B.0 NAME Legal deposit agency.
- 5.B.1 DEFN Identification of the legal deposit agency responsible for allocating the legal deposit number in Subfield A.
- 5.B.2 REPR A code taken from the list of Codes for Names of Countries shown in Section 4.7. Additional codes must be assigned for distinguishing legal deposit agencies in countries where there is more than one agency, as described in Section 4.9.
- 5.B.3 USE Optional. Not repeatable.

EXAMPLES

Example 1 An item bears the Tanzanian legal deposit number A68778. There being only one agency in Tanzania, the country code 'TZ' is sufficient to distinguish it. Contents of Field 111:

00@AA68778@BTZ

FIELD 120
-----------

0 NAME	DOCUMENT NUMBER
1 DEFINITION	A number appearing on the item intended for unique identification and usually allocated by the publisher.
2 REPRESENTATION	As on the item, including spaces and punctuation.
3 USE	Optional. Repeatable for each different number on the item.
4 INDICATORS	00
5 SUBFIELDS	
5.A.0 NAME	<u>Document Identification Number.</u>
5.A.1 DEFN	See 1 above.
5.A.2 REPR	See 2 above.
5.A.3 USE	Mandatory. Not repeatable.

5.B.0 NAME	<u>Type of number.</u>
5.B.1 DEFN	Identification of the type of document number in Subfield A.
5.B.2 REPR	In accordance with the practice of the agency preparing the record.
5.B.3 USE	Optional. Not repeatable.

#### EXAMPLES

Example 1      The publisher allocates a sequential number to each publication. This number, 4206, has been recorded in the source format as a miscellaneous number attached to the item. Contents of Field 120:

00@A4206

N.B. The numbers of an item within a series should be entered in Subfield C of Field 480.

Example 2      The source format has a data element entitled Report Number, which contains the number 1756-82. Contents of Field 120:

00@A1756-82@BReport number

- 0 NAME TITLE AND ASSOCIATED STATEMENT(S) OF RESPONSIBILITY
- 1 DEFINITION See subfields.
- 2 REPRESENTATION See subfields.
- 3 USE Mandatory except for serials when key title is given. Repeatable when more than one type of title for an item is recorded, such as the title as on the item and a translated title.

- 4 INDICATORS
- 1: 0
- 2: 0 = Form not specified.  
 1 = As on the item.  
 2 = Modified.  
 3 = Translated.

Indicator position 2 relates to Subfield A only.

## 5 SUBFIELDS

## 5.A.0 NAME

## 5.A.1 DEFN

## 5.A.2 REPR

Title.

Word(s), phrase(s), a character or group(s) of characters normally appearing on the item naming the item or the work contained in it. Three representations are possible depending on indicator position 2.

- 1 = As on the item: in the form and sequence shown on the item, exactly as to wording but not necessarily as to punctuation, capitalization or character set.  
 2 = Modified.  
 3 = Translated.

## 5.A.3 USE

Mandatory. Repeatable when an item contains a number of works each with its own title and has no collective title.

## 5.B.0 NAME

## 5.B.1 DEFN

Statement of responsibility associated with title.

Name(s) or phrase(s) relating to the identification and/or function of any person or corporate bodies responsible for or contributing to the creation of the intellectual or artistic content of a work contained in the item or its realization (including performance).

## 5.B.2 REPR

In the form and sequence shown on the item, exactly as to wording but not necessarily as to punctuation or capitalization. A statement

### 5.B.3 USE

of responsibility (e.g. by Geraldine Levy) can be transliterated. It may include the names of one or more than one person or corporate body. Optional. Repeatable for each statement related to the title found on the item. Fields 300, 310 and 320 are used to record names in a standardized form (e.g. Levy, Geraldine, 1936- ) as distinct from the form appearing in a statement of responsibility.

### 5.L.0 NAME

Language of title.

### 5.L.1 DEFN

The language of the title in Subfield A.

### 5.L.2 REPR

A code taken from the list of Language Codes shown in Section 4.4.

### 5.L.3 USE

Optional.

### 5.S.0 NAME

Script of title.

### 5.S.1 DEFN

The script of the title as entered in the record.

### 5.S.2 REPR

A code taken from the list of Script Codes shown in Section 4.6.

### 5.S.3 USE

Optional. Not repeatable.

## EXAMPLES

### Example 1

The source format does not have any data element corresponding to statement of responsibility. However, the title in this particular source format is always taken from the item. Contents of Field 200:

01@AInstitutional finance and integrated rural development

### Example 2

The record has a number of statements of responsibility. They are entered in repeated Subfields B. Contents of Field 200:

01@AAsterix in Switzerland@Btext by Goscinnny@Bdrawings by Underzo@Btranslated by Anthea Bell and Dereck Hockridge

### Example 3

The item consists of three works by the same author without a common title. Subfield A is repeated. Contents of Field 200:

01@ARomeo and Juliet@AKing Lear@AMacbeth@Bby William Shakespeare

### Example 4

The item consists of two works by different authors without a common title. Subfields A

and B are repeated as required. Contents of Field 200:

01@AHenry Osmond : a novel@Bby Thackery@ABleak House : a novel@Bby Dickens

Example 5

In the source format the item is coded as having a title and two successive subtitles. Contents of Field 200:

01@ALife wish : reincarnation : reality or hoax

Example 6

An item has parallel titles in English, French and German. The first mentioned is entered as the title in the source format and the others are entered in Field 210 - Parallel Title. Contents of Field 200:

01@ASpecification for general requirements for rotating electrical machines@BBritish Standards Institution@Leng

Example 7

The source format records only the translated title in its database when a title is not in English. In this case the use of the language code is recommended since the language of the title is not the same as the language of the item. Contents of Field 200:

03@AProceedings of the 26th Congress on Beekeeping@Leng

Example 8

The agency preparing the record transliterates titles into Roman script, but otherwise makes no modifications. Transliteration is from Cyrillic. Contents of Field 200:

01@ATsvetik-Semitsvetik@BValentin Katayer

Example 9

A title is modified to make it more meaningful. The agency preparing the record enters additional words in square brackets. Contents of Field 200:

02@AEffort of cultural practices [in vineyards] on soil moisture



- 0 NAME KEY TITLE
- 1 DEFINITION The standardized form of title assigned to a serial by the International Serials Data System (ISDS), in accordance with the stipulations of ISO 3297 and the ISDS Manual.<sup>7</sup>
- 2 REPRESENTATION See subfields.
- 3 USE Mandatory for serials. Not repeatable.
- 4 INDICATORS < 00
- 5 SUBFIELDS
- 5.A.0 NAME Key title.
- 5.A.1 DEFN See 1 above.
- 5.A.2 REPR Key title as assigned by ISDS.
- 5.A.3 USE Mandatory. Not repeatable.
- 5.B.0 NAME Abbreviated Key Title.
- 5.B.1 DEFN Key title abbreviated according to the provisions of ISO 4.
- 5.B.2 REPR As assigned by the ISDS.
- 5.B.3 USE Optional when Subfield A is present. Not repeatable.
- 5.L.0 NAME Language of key title.
- 5.L.1 DEFN The language of the key title.
- 5.L.2 REPR A code taken from the list of Language Codes shown in Section 4.4.
- 5.L.3 USE Optional. Not repeatable.
- 5.S.0 NAME Script of title.
- 5.S.1 DEFN The script of the key title as entered in the record.
- 5.S.2 REPR A code taken from the list of Script Codes shown in Section 4.6.
- 5.S.3 USE Optional. Not repeatable.

## EXAMPLES

The key title can appear on the document (usually given in conjunction with the ISSN) or can be taken from the ISDS Register compiled by the International Centre of the International Serials Data System, which is the organization responsible for assigning ISSN and key titles. Punctuation is retained exactly as in the source.

Example 1

The key title is Scientific American. Contents of Field 201:

00@AScientific American

Example 2

The key title includes the name of the issuing body. Contents of Field 201:

00@ACanadian Psychiatric Association journal

Example 3

The names of issuing bodies are included in the key title since they have been added in accordance with ISDS practice. Contents of Field 201:

00@AJoint publication - London & Middlesex Archaeological Society; Surrey Archaeological Society

Example 4

The key title includes the name of the place of publication in order to distinguish the serial from other serials with the same title. Contents of Field 201:

00@AArchitectural review (London)

Example 5

The key title contains the name of the place of publication and the date of first publication. Contents of Field 201:

00@AArchitecture (Paris. 1979)

Example 6

The source format from which a record originates records key titles in their abbreviated form. Contents of Field 201:

00@BMedicina. Supl. (B. Aires)

The full form would be recorded as follows:

00@AMedicina Suplemento. (Buenos Aires)

0 NAME PARALLEL TITLE AND ASSOCIATED STATEMENT(S) OF RESPONSIBILITY

1 DEFINITION See subfields.

2 REPRESENTATION See subfields.

3 USE Optional. Repeatable.

4 INDICATORS  
 1: 0  
 2: 0 = Form not specified.  
 1 = As on the item  
 2 = Modified.

Indicator position 2 relates to Subfield A only.

## 5 SUBFIELDS

5.A.0 NAME Parallel title.

5.A.1 DEFN The title in another language and/or script; or title in another language and/or script presented as an equivalent of the title.

5.A.2 REPR As on the title page, modified and/or transliterated (see indicator 2).

5.A.3 USE Not repeatable. When there is more than one parallel title, each is entered in a separate field.

5.B.0 NAME Statement of responsibility associated with parallel title.

5.B.1 DEFN Name(s) or phrase(s) in the language of the title shown in Subfield A relating to the identification and/or function of any persons or corporate bodies responsible for or contributing to the creation of the intellectual or artistic content of a work contained in the item or its realization (including performance).

5.B.2 REPR In the form and sequence shown on the item, exactly as to wording but not necessarily as to punctuation or capitalization. A statement of responsibility may be transliterated. It can include the names of one or more than one person or corporate body.

5.B.3 USE Repeatable for each parallel statement of responsibility found on the item. Optional.

5.L.0 NAME Language of parallel title.

5.L.1 DEFN The language of the title shown in Subfield A.

- 5.L.2 REPR A code taken from the list of Language Codes shown in Section 4.4.
- 5.L.3 USE Mandatory. Not repeatable.
- 5.S.0 NAME Script of parallel title.
- 5.S.1 DEFN The script of the parallel title.
- 5.S.2 REPR A code taken from the list of Script Codes shown in Section 4.6.
- 5.S.3 USE Optional unless the script is not the script usually associated with the language shown in Subfield L. Not repeatable.

## EXAMPLES

### Example 1

A document has parallel titles in two languages in addition to the title in the first language. The main title is entered in Field 200, the other titles in Field 210. Contents of fields:

Field 200: 01@ABritish standard methods of analysis of fat and fatty oil

Field 210: 01@AMéthodes d'analyse des graisses et huiles fixes@Lfre

Field 210: 01@AUntersuchungsverfahren für Fette und Fettsäuren@Lger

The parallel titles are taken from the document; therefore second indicator is set at '1'. Language codes are added to the parallel titles.

### Example 2

An article in a journal has a title in Spanish with a parallel title in English. The statement of responsibility is given in Field 200, and is not repeated in Field 210. Contents of Fields 200 and 210:

Field 200: 01@AEl barrio, estación de tránsito en el proceso de urbanización@BHeinrich Pachner

Field 210: 01@ANeighbourhoods, transit stations in the urbanization process@Leng

### Example 3

An anthology of poetry has parallel Gaelic text and English translation. The source format permits the use of '... [et al.]' to replace omissions. Contents of Fields 200 and 210:

Field 200: 01@AModern Scottish Gaelic poems :

a bilingual anthology@Bby Sorley Maclean...[et  
al.]@Bedited and introduced by Donald MacAulay

Field 210: 00@ANua-bhàrdachd Ghàidhlig :  
duanaire da-theagach@Ble Somhairle MacGill-  
Eain... [et al.]@Bdeasaichte le roimh-radha  
aig Domhnall MacAmhlaigh@Lgae



FIELD 220

0. NAME

SPINE TITLE

1. DEFINITION

The title appearing on the spine of the item.

2. REPRESENTATION

As on the spine: in the form and sequence shown on the spine, exactly as to wording but not necessarily as to punctuation, capitalization or character set.

3. USE

Optional. Used only when title on spine differs from title (Field 200). Repeatable.

4. INDICATORS

00

5. SUBFIELDS

5.A.0 NAME

Spine title.

5.A.1 DEFN

See 1 above.

5.A.2 REPR

See 2 above.

5.A.3 USE

Mandatory. Not repeatable.

5.L.0 NAME

Language of spine title.

5.L.1 DEFN

The language of the title in Subfield A.

5.L.2 REPR

A code taken from the list of Language Codes shown in section 4.4.

5.L.3 USE

Optional. Not repeatable.

EXAMPLES

Example 1

An item is entitled The pre-Socratic philosophers : a companion to Diels, Fragmente der Vorsokratiker, by Kathleen Freeman. On the spine is the title Companion to the pre-Socratic philosophers. The spine title has been entered in the record since it is the name by which the work is popularly known. Contents of Field 220:

00@ACompanion to the pre-Socratic philosophers.

FIELD 221

0 NAME COVER TITLE

1 DEFINITION The title appearing on the cover of the item.

2 REPRESENTATION As on the cover: in the form and sequence shown on the cover, exactly as to wording but not necessarily as to the punctuation, capitalization or character set.

3 USE Optional. Used only when title on cover differs from title (Field 200). Repeatable.

4 INDICATORS 00

5 SUBFIELDS

5.A.0 Cover title.

5.A.1 DEFN See 1 above.

5.A.2 REPR See 2 above.

5.A.3 USE Mandatory. Not repeatable.

5.L.0 NAME Language of cover title.

5.L.1 DEFN The language of the title in Subfield A.

5.L.2 REPR A code taken from the list of Language Codes shown in Section 4.4.

5.L.3 USE Optional. Not repeatable.

EXAMPLES

Example 1 A pamphlet has the title on the title page A report on the East Sussex County Council campaign to control Dutch elm disease. On the cover is the title Dutch elm disease control. In the source format there is a data element 'Cover Title' under which the title on the cover has been entered. Contents of Field 221:

00@ADutch elm disease control

Example 2 A book entitled Henry Marten and the Long Parliament has a cover title The prisoner of Chepstow Castle. Contents of Field 221:

00@AThe prisoner of Chepstow Castle



FIELD 222

0 NAME ADDED TITLE PAGE TITLE

1 DEFINITION A title appearing on a title page which is not the title page chosen as the basis for the description of the item.

2 REPRESENTATION As on the added title page in the form and sequence shown on the page, exactly as to wording but not necessarily as to punctuation, capitalization or character set.

3 USE Optional. Used only when title on the added title page differs from title (Field 200). Repeatable.

4 INDICATORS 00

5 SUBFIELDS

5.A.0 NAME Added title page title.

5.A.1 DEFN See 1 above.

5.A.2 REPR See 2 above.

5.A.3 USE Mandatory. Not repeatable.

5.L.0 NAME Language title of added title page.

5.L.1 DEFN The language of the title in Subfield A.

5.L.2 REPR A code taken from the list of Language Code shown in Section 4.4.

5.L.3 USE Optional.

EXAMPLES

Example 1 The added title-page title differs from the title proper, so it is entered in Field 222. Contents of Field 222:

00@AA pictorial history of Western Canada

## 0 NAME

## RUNNING TITLE

## 1 DEFINITION

A title or abbreviated title appearing at the head or foot of each page of the item.

## 2 REPRESENTATION

As on the item; in the form and sequence shown on the item, exactly as to wording but not necessarily as to the punctuation, capitalization or character set.

## 3 USE

Optional. Used only when the running title differs from title (Field 200). Repeatable.

## 4 INDICATORS

00

## 5 SUBFIELDS

## 5.A.0 NAME

Running title.

## 5.A.1 DEFN

See 1 above.

## 5.A.2 REPR

See 2 above.

## 5.A.3 USE

Mandatory. Not repeatable.

## 5.L.0 NAME

Language of running title.

## 5.L.1 DEFN

The language of the title of Subfield A.

## 5.L.2 REPR

A code taken from the list of Language Codes shown in Section 4.4.

## 5.L.3 USE

Optional. Not repeatable.

## EXAMPLES

## Example 1

The running title differs from the title; the following running title is entered. Contents of Field 223:

00@The Canadian Red Cross dispatch, 1940-1942

FIELD 230

- 0 NAME OTHER VARIANT TITLE
- 1 DEFINITION Any title appearing on the item which is not identified by another specific tag.
- 2 REPRESENTATION As on the item: in the form and sequence shown on the item, exactly as to wording but not necessarily as to the punctuation, capitalization or character set.

3 USE Optional. Repeatable. May be used to include spine titles, cover titles, added title-page titles, or running titles.

4 INDICATORS 00

5 SUBFIELDS

5.A.0 NAME Other variant title.  
 5.A.1 DEFN See 1 above.  
 5.A.2 REPR See 2 above.  
 5.A.3 USE Mandatory. Not repeatable.

5.L.0 NAME Language of title.  
 5.L.1 DEFN The language of the title in Subfield A.  
 5.L.2 REPR A code taken from the list of Language Codes shown in Section 4.4.  
 5.L.3 USE Optional. Not repeatable.

EXAMPLES

Example 1 The Journal of polymer sciences. Part C, Polymer science is often known as Polymer symposia. This title is noted in the item and is entered as a variant title. Contents of Field 230:

00@APolymer symposia

Example 2 The serial Der Fotohändler is also known as Photohändler. Contents of Field 230:

00@APhotohändler

- 0 NAME UNIFORM TITLE
- 1 DEFINITION The particular title selected by the agency for a work that has appeared under varying titles, for the purposes of cataloguing and/or information retrieval.
- 2 REPRESENTATION In accordance with the practice of the agency preparing the record.
- 3 USE Optional. Repeatable.
- 4 INDICATORS
- 1: 0 = Not specified.
  - 1 = Uniform title is used as primary access point.
  - 2 = Uniform title is used as secondary access point.
  - 3 = Uniform title is not used as an access point.

2: 0

## 5 SUBFIELDS

- 5.A.0 NAME Uniform title.
- 5.A.1 DEFN The uniform title by itself without additional qualifiers such as date, form subheading, language, version, etc.
- 5.A.2 REPR In accordance with the practice of the agency preparing the record.
- 5.A.3 USE Mandatory. Not repeatable.

- 5.B.0 NAME Number of part(s).
- 5.B.1 DEFN When the item consists of one or more numbered parts of the work identified by the uniform title, the number of the part of the work to which the item belongs, and its designation.
- 5.B.2 REPR In accordance with the practice of the agency preparing the record.
- 5.B.3 USE Mandatory. Repeatable.

- 5.C.0 NAME Name of part(s).
- 5.C.1 DEFN When the item consists of a named part or a number of named parts of the whole work identified by the uniform title shown in Subfield A, the name of the part(s) of the work of which the item is an example.
- 5.C.2 REPR In accordance with the practice of the agency preparing the record.
- 5.C.3 USE Mandatory.

5.D.0 NAME  
5.D.1 DEFN

Form subheading.

A term added to the uniform title to distinguish special characteristics of the item.

5.D.2 REPR

In accordance with the practice of the agency preparing the record.

5.D.3 USE

Optional. Repeatable.

5.E.0 NAME

Language of item. (as part of uniform title)

5.E.1 DEFN

The language of the item when required as part of the uniform title.

5.E.2 REPR

In accordance with the practice of the agency preparing the record.

5.E.3 USE

Optional. Repeatable when there is more than one language.

5.F.0 NAME

Version.

5.F.1 DEFN

A word or phrase indicating the version of the work included in item.

5.F.2 REPR

In accordance with the practice of the agency preparing the record.

5.F.3 USE

Optional. Not repeatable.

5.G.0 NAME

Date of version.

5.G.1 DEFN

The date of publication of the work included in the item.

5.G.2 REPR

In accordance with the practice of the agency preparing the record.

5.G.3 USE

Optional. Not repeatable. This date will be different from the date of publication. In any case, the date of publication should still be entered in Field 440.

5.L.0 NAME

Language of uniform title.

5.L.1 DEFN

The language in which the uniform title shown in Subfield A is expressed.

5.L.2 REPR

A code taken from the list of Language Codes shown in Section 4.4.

5.L.3 USE

Optional. Not repeatable.

5.Z.0 NAME

Authority number.

5.Z.1 DEFN

A unique number assigned to a uniform title.

5.Z.2 REPR

As assigned.

5.Z.3 USE

Optional. Not repeatable.

EXAMPLES

Example 1

Some kinds of uniform title serve only to order entries in a catalogue or bibliography, and do not constitute a main heading. For example, some organizations place all laws,



whatever their title, under a made-up title 'Laws....' This example shows the title proper and corporate body. The uniform title would never occur as an access point in an index. Contents of Field 240:

Field 310 10@AUnited Kingdom

Field 240 30@ALaws....@CHalsbury's statutes of England

Example 2 The title of the item is Iliad, Book XXIV [by] Homer, edited by Colin MacLeod. The uniform title according to the practices of the agency preparing the record is Iliad, Book 24. This is used to bring together all items dealing with that one work, some of which may not even mention the Iliad in a prominent place within the title. Contents of Fields 240 and 200:

Field 240 10@AIliad@BBook 24

Field 200 01@AIliad, Book XXIV@B[by]  
Homer@Bedited by Colin MacLeod

Example 3 :

The item is Luke, one book of the Bible, in the version called Today's English Version. According to the practices of the agency preparing the record, the item is entered under the uniform title heading. Contents of Field 240:

00@ABible@EEnglish@CNew Testament@CLuke  
@FToday's English Version

## 0 NAME

EDITION STATEMENT AND ASSOCIATED STATEMENT(S)  
OF RESPONSIBILITY

## 1 DEFINITION

See subfields.

## 2 REPRESENTATION

See subfields.

## 3 USE

Mandatory. Repeatable when an item has more than one edition statement, or when there are parallel edition statements.

## 4 INDICATORS

1: 0

2: 0 = Form not specified.

1 = Form as on the item.

2 = Modified beyond the terms expressed in 5.A.2 and 5.B.2 below.

Indicator position 2 refers to Subfield A.

## 5 SUBFIELDS

## 5.A.0 NAME

Edition statement.

## 5.A.1 DEFN

A word or phrase or group of characters in a formal statement, identifying an item as a member of an edition.

## 5.A.2 REPR

The edition statement is normally given in the terms used in the item. Standard abbreviations for such terms may be used; numbered editions may be converted from script to numeric form. The edition statement normally includes either the word 'edition' (or its equivalent in another language) or a related term such as 'revision', and can describe the physical form of the item (e.g. Braille edition, microfiche edition) or editions differentiated numerically, chronologically, geographically, etc.

## 5.A.3 USE

Mandatory. Not repeatable.

## 5.B.0 NAME

Statement of responsibility associated with edition.

## 5.B.1 DEFN

Name(s) or phrase(s) relating to the identification and/or function of any persons or corporate bodies responsible for or contributing to the creation of the intellectual or artistic content of the particular edition of a work to which the item belongs, the statement of which edition is entered in Subfield A.

## 5.B.2 REPR

In the form and sequence shown on the item, exactly as to wording but not necessarily as to punctuation or capitalization. A statement



5.B.3 USE

of responsibility relating to an edition may be transliterated when the edition statement is transliterated. It can include the names of one or more than one person or corporate body. Fields 300, 310 or 320 are used to record names in other forms distinct from the form appearing in a statement of responsibility. Repeatable for each statement of responsibility associated with the edition of the item. Optional.

5.L.0 NAME

Language of edition statement.

5.L.1 DEFN

The language of the edition statement.

5.L.2 REPR

A code taken from the list of Language Codes shown in Section 4.4.

5.L.3 USE

Optional, Not repeatable.

EXAMPLES

Example 1

The document has on its title page the statement 'Fifth edition revised'. Standard abbreviations are given in the record. Contents of Field 260:

01@A5th ed. rev.

Example 2

The Derbyshire times is published in a number of area editions, one of which is entitled Chesterfield edition. Contents of Field 260:

01@AChesterfield ed.

Example 3

The first edition of Cases and statutes on criminal law is edited by John C. N. Slater. The second edition is edited by A. B. Piternick. Since the second edition has a different editor, and it implies on the title page that she is the editor of that edition only, the edition statement is followed by a statement of responsibility for that edition only. Contents of Field 260:

01@A2nd ed@Bby A.B. Piternick

Example 4

The source format recommends that edition number be stored as an arabic numeral only, so that the data is not related to a particular language, e.g. 12 for 12th ed. Second indicator will be set to '2' since the form of the edition statement does not follow the form described in 5.A.2. Contents of Field 260:

02@A12

FIELD 300

0 NAME

NAME OF PERSON

1 DEFINITION

The name identifying a person responsible for or contributing to the creation of the intellectual or artistic content of a work contained in the item or its realization.

2 REPRESENTATION

In accordance with the practice of the agency preparing the record.

3 USE

Mandatory. Repeatable for the name of each person, when more than one person is responsible for the work.

4 INDICATORS

- 1: 0 = Level of responsibility undefined.  
 1 = Primary responsibility.  
 2 = Alternative responsibility.  
 3 = Secondary responsibility.
- 2: 0 = Source: not specified.  
 1 = Source: authority file of the agency.

5 SUBFIELDS

5.A.0 NAME

Entry element.

5.A.1 DEFN

That part of a person's name by which it primarily would be entered in an ordered list.

5.A.2 REPR

In accordance with the practice of the agency preparing the record.

5.A.3 USE

Mandatory. Not repeatable.

5.B.0 NAME

Other name elements.

5.B.1 DEFN

Other parts of the name excluding entry element, forename, prefixes, suffixes.

5.B.2 REPR

In accordance with the practice of the agency preparing the record.

5.B.3 USE

Mandatory. Not repeatable.

5.C.0 NAME

Additional elements to name.

5.C.1 DEFN

Additional attributes to the name, e.g. honorific titles, words indicating family relationships.

5.C.2 REPR

In abbreviated form, when possible.

5.C.3 USE

Optional. Repeatable, for different kinds of additional elements.

5.D.0 NAME

Date(s).

5.D.1 DEFN

A date or dates relating to the person whose name is entered in the field.

5.D.2 REPR

In accordance with the practice of the agency

5.D.3 USE

preparing the record.  
Optional. Not repeatable.

5.E.0 NAME

Role (Coded).

5.E.1 DEFN

A code designating the function of the person in relation to the item for which that individual is responsible, e.g. editor, compiler.

5.E.2 REPR

A code taken from the list of Role Codes shown in Section 4.8 of CCF.

5.E.3 USE

Optional. Repeatable.

5.F.0 NAME

Role (Non-coded).

5.F.1 DEFN

A word or phrase designating the function of the person in relation to the item for which that individual is responsible.

5.F.2 REPR

In accordance with the practice of the agency preparing the record.

5.F.3 USE

Optional. Repeatable.

5.Z.0 NAME

Authority number.

5.Z.1 DEFN

A unique number assigned to the name heading of a person.

5.Z.2 REPR

As assigned.

5.Z.3 USE

Optional. Not repeatable.

EXAMPLES

Names of persons vary in different countries in the type and number of elements which make up the name, and national usage can differ in the order and form of presentation of those elements. National cataloguing practices can also be very different in their choice of entry element and the form and order of presentation of other name elements. Some bibliographic agencies maintain authority files which include a record for each author with sufficient name details for unique identification. Other agencies may accept the form of the name as it appears on the item. The examples illustrate varying ways of treating personal names in accordance with different cataloguing rules.

Example 1

The source format enters a name as follows: Entry element, the initials of forenames, title(s). For example, Stokes, R.B. Contents of Field 300:

01@AStokes@BR.B.

The first indicator is set to '0' since the source format does not define levels of responsibility. The second indicator is set to

'1' since the data originates from a database which makes use of an authority file for personal names.

#### Example 2

The author Karl Popper is entered, according to different rules for entry, as:

Popper, Sir Karl R. (title precedes forenames)

Popper, Karl R. Sir (title follows forenames)

Popper, K.R., Sir (names stripped to initials, title preceded by comma)

Popper, Sir Karl Raimund (all names used in full)

Popper, Karl R. (title not used)

Each of these appears in Field 300 in one of the following forms. In each case the second indicator is set at '1', showing that an authority file form is used.

01@APopper@CSir@BKarl R.

01@APopper@BKarl R.@CSir

01@APopper@BK.R.@CSir

01@APopper@CSir@BKarl Raimund

01@APopper@BKarl R.

#### Example 3

A number of source formats specify that a name should be entered in the form in which it is usually found on title pages or in reference sources; if necessary for distinguishing purposes, the full forms of the name elements and/or dates or birth and/or death are added. The form of the name on the item is: Smith, Peter C. A cataloguer has added '(Peter Charles)' and the date '1940-'. In this example, the person has the role of editor, and has secondary responsibility. Contents of Field 300:

31@ASmith@BPeter Charles @D1940-@E340@Feditor

#### Example 4

The source format specifies that all the elements of a hyphenated compound surname are regarded as the entry element. Contents of Field 300, assuming level of responsibility undefined, and an authority record number 014678:

01@AMantuth-Bayette@BEunice@Z014678

#### Example 5

Some source formats demand that all the elements of a compound surname even when not

hyphenated are the entry element. C. Day Lewis has a compound surname without a hyphen, and the name is entered as Day Lewis, Cecil. Contents of Field 300, assuming primary responsibility and authority file form:

11@ADay Lewis@BCecil

Example 6

In normal usage, Chinese names are written with the family name first. Because of differing database practices, Mao Zedong (to use one possible rendering of the name into the roman alphabet) appears in some source formats as Mao Zedong, in others as Mao, Zedong. The following are possible alternative contents of Field 300.

00@AMao Zedong  
00@AMao@BZedong

Example 7

An author has an honorific title of nobility which, according to the practices of the agency preparing the record, is placed between the entry element and other name elements. Contents of Field 300:

00@AStanhope@CLady@BHester

Example 8

The source format takes the author's name, Lord Todd, from the item. Since no other name elements are provided, the name is entered in Field 300 as:

00@ATodd@CLord

Example 9

When an author is known only by a pseudonym, this will be the name used as an access point unless it is the practice of the agency preparing the record to use 'Anon' in such cases. Even when the pseudonym is made up of elements which could be construed as 'Surname, Forename', according to some rules the whole name is treated as an entry element. The pseudonym is Pan Painter. Contents of Field 300:

01@APan Painter

Example 10

Two epithets attached to the name are identified separately in the name as shown in the source format. This distinction is retained in the CCF. Contents of Field 300:

00@AAlexandra@CEmpress@CConsort of Nicholas  
II, Emperor of Russia

Example 11

The source format gives two forms of the name: as derived from the item 'Bewley, Lois M.'; and a 'search form' consisting only of forename and initials, 'Bewley, L.M.'. Since the latter form can be derived algorithmically from the first, it is not necessary to include it in the record. The role of the person is given as 'Editor and translator'. Contents of Field 300:

30@ABewley@BLois M.@FEditor and translator



## 0 NAME

## NAME OF CORPORATE BODY

## 1 DEFINITION

The name identifying a corporate body responsible for or contributing to the creation of the intellectual or artistic content of a work contained in the item or its realization. A corporate body is defined as any organization(s) or group of persons identified by a particular name.

## 2 REPRESENTATION

In accordance with the practice of the agency preparing the record.

## 3 USE

Mandatory. Repeatable for the name of each corporate body when more than one corporate body is responsible for the item, or for the name of the corporate body provided in more than one language.

## 4 INDICATORS

- 1: 0 = Level of responsibility undefined.  
 1 = Primary level of responsibility.  
 2 = Alternative level of responsibility.  
 3 = Secondary level of responsibility.
- 2: 0 = Source: not specified.  
 1 = Source: authority file of the agency.

## 5 SUBFIELDS

## 5.A.0 NAME

Entry element.

## 5.A.1 DEFN

That part of the name of the corporate body by which it would be entered in an ordered list.

## 5.A.2 REPR

In accordance with the practice of the agency preparing the record.

## 5.A.3 USE

Mandatory. Not repeatable.

## 5.B.0 NAME

Other parts of name.

## 5.B.1 DEFN

Other parts of the name of the corporate body, e.g. subordinate or superior units, etc. when the corporate body is part of a hierarchical structure.

## 5.B.2 REPR

In accordance with the practice of the agency preparing the record.

## 5.B.3 USE

Mandatory. Repeatable for each part of the name of the corporate body excepting the entry element.

5.C.0 NAME  
5.C.1 DEFN

Qualifier.

A term added to the name of the corporate body in order to provide additional information as an aid to identification, e.g. date(s), type of body.

5.C.2 REPR

In accordance with the practice of the agency preparing the record.

5.C.3 USE

Optional. Repeatable for different kinds of qualifiers.

5.D.0 NAME

Address of corporate body.

5.D.1 DEFN

The postal address of the corporate body.

5.D.2 REPR

According to the conventions for postal addresses in the country of the corporate body.

5.D.3 USE

Optional. Recommended when the corporate body is the distributor as well as being responsible for the item. Not repeatable.

5.E.0 NAME

Country of corporate body.

5.E.1 DEFN

The country where the corporate body is situated.

5.E.2 REPR

A code taken from the list of Country Codes shown in Section 4.7.

5.E.3 USE

Optional. Not repeatable.

5.F.0 NAME

Role (Coded).

5.F.1 DEFN

A code designating the function of the corporate body in relation to the item for which it is responsible.

5.F.2 REPR

A code taken from the list of Role Codes shown in Section 4.8.

5.F.3 USE

Optional. Repeatable.

5.G.0 NAME

Role (Non-coded).

5.G.1 DEFN

A word or phrase designating the function of the corporate body in relation to the item for which it is responsible.

5.G.2 REPR

In accordance with the practice of the agency preparing the record.

5.G.3 USE

Optional. Repeatable.

5.L.0 NAME

Language of entry element.

5.L.1 DEFN

The language of the element in Subfield A.

5.L.2 REPR

A code taken from the list of Language Codes shown in Section 4.4.

5.L.3 USE

Mandatory when the name of the corporate body is given in different language forms and the field is repeated. Otherwise optional.

5.S.0 NAME

Script of entry element.

5.S.1 DEFN

The script of the entry element.



5.S.2 REPR

A code taken from the list of Script Codes shown in Section 4.6.  
Optional.

5.S.3 USE

5.Z.0 NAME

Authority number.

5.Z.1 DEFN

A unique number assigned to the corporate name heading.

5.Z.2 REPR

As assigned.

5.Z.3 USE

Optional. Not repeatable.

## EXAMPLES

The name of a corporate body can appear in varying forms, often in different versions on items produced by the body itself. Cataloguing codes also have different rules for the choice of the entry element and the form and order of other elements of the body's name. Some bibliographic agencies maintain authority files which include a record for each national corporate body identifying the authoritative form of its name. Other agencies may accept the form of the name elements and the order of those elements as they appear on the item. The examples illustrate varying ways of treating corporate body names in accordance with different cataloguing rules.

### Example 1

The source format gives addresses with corporate bodies. Contents of Field 310:

01@APunjab University@DChandigarh 14

### Example 2

The source format enters universities with names incorporating a place name under the place name. Since the body is regarded as part of the entry element, it is included in Subfield A. Contents of Field 310:

01@ALeiden. Rijkuniversiteit

### Example 3

The name of a corporate body needs a qualifier to explain it and distinguish it from other bodies with the same name. Contents of Field 310:

01@ALondonderry, Ireland@CCity

### Example 4

An item includes the statement that it is edited by the Elsevier Editorial Team. Role is entered in non-coded form. The first indicator is set to 3 to indicate secondary responsibility. Contents of Field 310:

Example 5

The practices of the agency preparing the record stipulate that organizations which are a partnership should be treated as a corporate body. The name of the first person of the partnership is inverted (i.e. surname is the entry element) according to the practice of the agency. Subfield B is not used since there are no lower hierarchical levels of the organization mentioned. Contents of Field 310:

01@AWilson, Hugh, and Lewis Womersley  
@COrganization

According to other practices the name of the first partner could be entered in direct order:

01@AHugh Wilson and Lewis Womersley  
@COrganization

Example 6

According to some cataloguing practices, working parties and ad hoc groups are entered under the name of the body to which they are responsible or by which they were established. Contents of Field 310:

01@AAslib@BComputer Applications  
Group@BAcquisitions, Cataloguing and  
Circulation Working Party

## 0 NAME

## NAME OF MEETING

## 1 DEFINITION

The name identifying a meeting responsible for or contributing to the creation of the intellectual or artistic content of a work contained in the item or its realization. Meeting is a generic term for an occasional group; it covers congresses, symposia, diplomatic conferences, festivals, fairs, exhibitions, expeditions, etc.

## 2 REPRESENTATION

In accordance with the practice of the agency preparing the record.

## 3 USE

Mandatory. Repeatable for the name of each meeting when more than one meeting is responsible for the item; or for the name of the meeting provided in more than one language.

## 4 INDICATORS

1: 0 = Level of responsibility undefined.  
1 = Primary responsibility.  
2 = Alternative responsibility.  
3 = Secondary responsibility.

2: 0 = Source: not specified.  
1 = Source: authority file of the agency.

## 5 SUBFIELDS

## 5.A.0 NAME

Entry element.

## 5.A.1 DEFN

The name of the meeting.

## 5.A.2 REPR

In accordance with the practice of the agency preparing the record.

## 5.A.3 USE

Mandatory. Not repeatable.

## 5.B.0 NAME

Other parts of name.

## 5.B.1 DEFN

Other parts of the name of the meeting, e.g. subordinate or superior units when the meeting is part of a hierarchical structure.

## 5.B.2 REPR

In accordance with the practice of the agency preparing the record.

## 5.B.3 USE

Mandatory. Repeatable for each part of the name of the meeting excepting the entry element.

## 5.C.0 NAME

Qualifier.

## 5.C.1 DEFN

A term added to the name of the meeting in order to supply additional information as an aid to identification, e.g. date(s), type of meeting.

## 5.C.2 REPR

In accordance with the practice of the agency

5.C.3 USE	preparing the record. Optional. Repeatable for different kinds of qualifiers.
5.E.0 NAME	<u>Country.</u>
5.E.1 DEFN	The country where the meeting was held.
5.E.2 REPR	A code taken from the list of Language Codes shown in Section 4.7.
5.E.3 USE	Optional. Not repeatable.
5.G.0 NAME	<u>Location of meeting.</u>
5.G.1 DEFN	The name of the place where the meeting was held.
5.G.2 REPR	In accordance with the practice of the agency preparing the record.
5.G.3 USE	Mandatory. Not repeatable.
5.H.0 NAME	<u>Date of meeting (in ISO format).</u>
5.H.1 DEFN	The date or inclusive dates of a meeting.
5.H.2 REPR	The date(s) in formalized form as shown in ISO 2014. In the case of spanning a period, the two dates are set out in full and separated by a hyphen. Any digit may be replaced by a question mark when a date of coverage or approximate date is given. When no month or day is given, the month or day is replaced by '0000'.
5.H.3 USE	Mandatory when Subfield I is not used. Not repeatable.
5.I.0 NAME	<u>Date of meeting (in free format).</u>
5.I.1 DEFN	The date or inclusive dates of a meeting.
5.I.2 REPR	In accordance with the practice of the agency preparing the record.
5.I.3 USE	Mandatory when Subfield H is not used. Not repeatable.
5.J.0 NAME	<u>Number of meeting.</u>
5.J.1 DEFN	A number identifying an individual meeting within a series of numbered meetings of the same name.
5.J.2 REPR	In accordance with the practice of the agency preparing the record.
5.J.3 USE	Mandatory when the meeting belongs to a numbered series. Not repeatable.
5.L.0 NAME	<u>Language of entry element.</u>
5.L.1 DEFN	The language of the entry element in Subfield A.
5.L.2 REPR	A code taken from the list of Language Codes shown in Section 4.4.
5.L.3 USE	Mandatory when the name of the corporate body

is given in different language forms and the field is repeated. Otherwise optional.

5.5.0 NAME Script of entry element.  
5.5.1 DEPN The script of the name of the meeting.  
5.5.2 REPR A code taken from the list of Script Codes shown in Section 4.6.  
5.5.3 USE Optional.

5.2.0 NAME Authority number.  
5.2.1 DEPN A unique number assigned to the name of the meeting.  
5.2.2 REPR As assigned.  
5.2.3 USE Optional. Not repeatable.

## EXAMPLES

Example 1 In the source format, the proceedings of the 3rd United Nations Conference on the Law of the Sea are entered under the heading for the Conference by the agency preparing the record. Contents of Field 320:

11@AUnited Nations Conference on the Law of the Sea@GNew York, et al.@H19730000@J3rd

Example 2 ) The item is the proceedings of the 17th A.N. Richards Symposium held at King of Prussia, Pennsylvania. Two forms of heading are shown here as possible contents of Field 320:

10@AA.N. Richards Symposium@J17th@H19750000  
@GKing of Prussia, PA

10@ARichards (A.N.) Symposium@J17th@I1975  
@GKing of Prussia, Pa

Example 3 In the source format, the second Vatican Council is entered as:

00@AVatican Council@J2@H19620000-19650000

Example 4 The agency preparing the record enters the complete dates when conferences are held. Contents of Field 320:

00@AInternational Symposium on Bibliographic Exchange Formats@GTaormina, Sicily@H19780426-19780428@EIT

FIELD 450

- 0 NAME SERIAL NUMBERING AND DATE
- 1 DEFINITION The numbers and/or dates of coverage of the first and last issues of a serial.
- 2 REPRESENTATION In accordance with the practice of the agency preparing the record.
- 3 USE Optional. Not repeatable. The field may contain serial numbering and/or dates when they are different, either in form or substance, from the date shown in Field 440 'Date of Publication'.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Serial numbering and date.
- 5.A.1 DEFN See 1 above.
- 5.A.2 REPR See 2 above.
- 5.A.3 USE Mandatory. Not repeatable.

EXAMPLES

Example 1 An almanac started in 1931 and is still continuing. It is not numbered, the date serving as a number. Contents of Field 450:

00@A1931-

The volume relating to 1931 has a publication date of 1930. Contents of Field 440:

01@A19300000-

Example 2 A directory was published from 1895 to 1956. The volumes were not numbered. Contents of Field 450:

00@A1895-1956

Example 3 The numbering of a serial starts at volume 16 after a change of title, beginning with issue no. 1. It ends at volume 28, no. 6. The agency has elected not to show the date in Field 450 since the date already appears in Field 440. Contents of Field 450:

00@Avol. 16, no.1-vol.28, no.6

Example 4

The source format records the above example as follows: 16(1) - 28(6). Contents of Field 450:

00@A16(1)-28(6)

Example 5

The source format records both date and numbering in the same field: No. 1-, Oct.1976-  
Contents of Field 450:

00@ANo.1-, Oct.1976-

0 NAME	AFFILIATION
1 DEFINITION	The name and/or address of the organization to which a person associated with the item is affiliated, or the private address of the person.
2 REPRESENTATION	In accordance with the practice of the agency preparing the record.
3 USE	Optional. Repeatable when more than one person is associated with the item, or when one person is affiliated with more than one organization.
4 INDICATORS	00
5 SUBFIELDS	
5.A.0 NAME	<u>Entry element.</u>
5.A.1 DEFN	That part of the name of the affiliation organization by which it is entered or would be entered in an ordered list.
5.A.2 REPR	In accordance with the practice of the agency preparing the record.
5.A.3 USE	Mandatory unless Subfield D contains a private address. Not repeatable.
5.B.0 NAME	<u>Other parts of the name.</u>
5.B.1 DEFN	Other parts of the name of the affiliation organization.
5.B.2 REPR	In accordance with the practice of the agency preparing the record.
5.B.3 USE	Optional. Repeatable for each part of the name of the affiliation organization excepting the entry element.
5.C.0 NAME	<u>Qualifier.</u>
5.C.1 DEFN	A term added to the name of the affiliation organization in order to supply additional information as an aid to identification, e.g. date(s), type of organization.
5.C.2 REPR	In accordance with the practice of the agency preparing the record.
5.C.3 USE	Optional. Repeatable for different kinds of qualifiers.
5.D.0 NAME	<u>Address.</u>
5.D.1 DEFN	The postal address of the affiliation, or the private address of the person associated with



5.D.2 REPR

the item.  
According to the conventions for postal addresses in the country of the corporate body.

5.D.3 USE

Optional. Repeatable.

5.E.0 NAME

Country of affiliation.

5.E.1 DEFN

The country where the affiliation organization is situated.

5.E.2 REPR

A code taken from the list of Codes for Names of Countries shown in Section 4.7.

5.E.3 USE

Optional. Not repeatable.

5.L.0 NAME

Language of affiliation.

5.L.1 DEFN

The language of the affiliation organization.

5.L.2 REPR

A code taken from the list of Language Codes shown in Section 4.4.

5.L.3 USE

Optional.

#### EXAMPLES

Example 1

The author is attached to Southern Methodist University, Computer Sciences Center, Dallas, Texas. Contents of Field 330:

00@ASouthern Methodist University@BComputer  
Sciences Center@DDallas, Texas@EUS

Example 2

The author is a private consultant. The home address is entered in Subfield D of Field 330.

00@D23 rue de l'Yvette 78460 Chevreuse@EFR

Example 3

The name of the country is added as a qualification in Field 330:

00@AInstitute of Social Studies@CThe  
Netherlands@DP.O. Box 90733 2509LS The  
Hague@ENL

#### NOTE ON LINKS

When a record contains a number of names of persons and/or a number of affiliations, each name may be linked to its appropriate affiliation, using Field 082 'Field to Field Linkage'.

FIELD 400

- 0 NAME PLACE OF PUBLICATION AND PUBLISHER
- 1 DEFINITION See subfields.
- 2 REPRESENTATION See subfields.
- 3 USE Mandatory for all items except for component parts within serials. Not repeatable.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Place of publication.
- 5.A.1 DEFN The name of the place or one of the places (usually town or other locality) where the item is published. This can include the state, province or country.
- 5.A.2 REPR In accordance with the practice of the agency preparing the record.
- 5.A.3 USE Mandatory. Repeatable.
- 5.B.0 NAME Name of publisher.
- 5.B.1 DEFN The name of the person or corporate body responsible for publishing the item.
- 5.B.2 REPR The name of the publisher as shown on the item; it may be in a shortened form provided it can be identified without ambiguity.
- 5.B.3 USE Mandatory. Repeatable.
- 5.C.0 NAME Full address of publisher.
- 5.C.1 DEFN The postal address of the publisher.
- 5.C.2 REPR According to the conventions for postal addresses in the country of the publisher.
- 5.C.3 USE Optional. Repeatable.
- 5.D.0 NAME Country of publisher.
- 5.D.2 REPR A code taken from the list of Codes for Names of Countries shown in Section 4.7.
- 5.D.2 USE Optional. Repeatable.

EXAMPLES

Example 1

The place of publication and publisher are recorded in the source format as Amsterdam, North-Holland. Contents of Field 400:

00@AAmsterdam@BNorth-Holland

Example 2

The place of publication and publisher are recorded in the source format as Khemisset, MA, Province de Khemisset. MA is the country code for Morocco. Contents of Field 400:

00@AKhemisset@DMA@BProvince de Khemisset

Example 3

The postal address of the publisher is recorded in addition to the place and name of publisher. Contents of Field 400:

00@ALondon@CCalcutta House, Old Castle Street,  
E1 7NT@BLLRS Publications

Example 4

The source format enters '?' when the name of the publisher or source of publication is unknown. Contents of Field 400:

00@ACoimbra@B?@DPT

Example 5

Two publishers are named on the item. Contents of Field 400:

00@ABudapest@BOMKDK@ALondon@BUNIBID

Example 6

The publisher has offices in more than one place, from which the item is distributed. Contents of Field 400:

00@ALondon@AOxford@AMelbourne@ADelhi@BOxford  
University Press

0. NAME PLACE OF MANUFACTURE AND NAME OF MANUFACTURER
1. DEFINITION See subfields.
2. REPRESENTATION See subfields.
3. USE Optional. Not repeatable.
4. INDICATORS 00
5. SUBFIELDS
- 5.A.0 NAME Place of manufacture.
- 5.A.1 DEFN The name of the place or one of the places (usually town or other locality) where the item is produced or manufactured. This can include the state, province or country.
- 5.A.2 REPR In accordance with the practice of the agency preparing the record.
- 5.A.3 USE Optional. Repeatable.
- 5.B.0 NAME Name of manufacturer.
- 5.B.1 DEFN The name of the person or corporate body manufacturing the item.
- 5.B.2 REPR In accordance with the practice of the agency preparing the record.
- 5.B.3 USE Optional. Repeatable.
- 5.C.0 NAME Full address of manufacturer.
- 5.C.1 DEFN The postal address of the printer.
- 5.C.2 REPR According to the conventions for postal addresses in the country of the manufacturer.
- 5.C.3 USE Optional. Repeatable.
- 5.D.0 NAME Country of manufacture.
- 5.D.1 DEFN The country where the manufacturer is located.
- 5.D.2 REPR A code taken from the list of Codes for Names of Countries shown in Section 4.7.
- 5.D.3 USE Optional. Repeatable.

## EXAMPLES

## Example 1

A book is published in Great Britain, but printed in Turin, Italy; the printer is recorded in the record. Contents of Field 410:

00@ATorino@DIT

Alternatively, in accordance with the practices of the agency preparing the record, it may be recorded as:

00@ATorino, Italia

Example 2

The item states on the title page: 'Printed for St. Just and Pendeen Old Cornwall Society, Redruth, by Redborne Printing Works.' Contents of Field 410:

00@A[Redborne]@BRedborne Printing Works.

Contents of Field 400:

00@ARedruth@BSt. Just and Pendeen Old Cornwall Society

- 0 NAME PLACE AND NAME OF DISTRIBUTOR
- 1 DEFINITION See subfields.
- 2 REPRESENTATION See subfields.
- 3 USE Optional. Repeatable when there is more than one distributor.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Place of distributor.
- 5.A.1 DEFN The name of the place or one of the places (usually town or other locality) where the distributor is situated. This can include the state, province or country.
- 5.A.2 REPR In accordance with the practice of the agency preparing the record.
- 5.A.3 USE Optional. Not repeatable.
- 5.B.0 NAME Name of distributor.
- 5.B.1 DEFN The name of the person or corporate body distributing the item.
- 5.B.2 REPR In accordance with the practice of the agency preparing the record.
- 5.B.3 USE Optional. Repeatable.
- 5.C.0 NAME Full address of distributor.
- 5.C.1 DEFN The postal address of the distributor.
- 5.C.2 REPR According to the conventions for the postal address of the country of the distributor.
- 5.C.3 USE Optional. Repeatable.
- 5.D.0 NAME Country of distributor.
- 5.D.1 DEFN The country where the distributor is located.
- 5.D.2 REPR A code taken from the list of Codes for Names of Countries shown in Section 4.7.
- 5.D.3 USE Optional. Repeatable.

## EXAMPLES

## Example 1

The item states on the title page: 'San Francisco, Editorial Consultants, distributed by Housemans, London.' Contents of Field 420:

00@ALondon@BHousemans

Contents of Field 400:

00@ASan Francisco@BEditorial Consultants

Example 2

An item is published by the Gateway Press, Baltimore and distributed by B.L. Gorbet of Farmington, New Mexico. The country code may be included. Contents of Field 420:

00@AFarmington; N.M.@BB.L. Gorbet@DUS

Contents of Field 400:

00@ABaltimore@BGateway Press@DUS

Example 3

The source format gives 'Uppsala' as the place of publication without a publisher being named. Stockholm is the place of distribution with Almqvist & Wiksell International named as the distributor. Contents of Field 420:

00@ASTockholm@BALmqvist & Wiksell  
International

Example 4

Reports published by the British Library Research & Development Department are available only from the British Library Lending Division. The source format gives the full address of the distributor. Contents of Field 420:

00@ABoston Spa@BB.L.L.D.@CBoston Spa,  
Wetherby, Yorks LS23 7BQ@DGB



## 0 NAME

## DATE OF PUBLICATION

## 1 DEFINITION

Four different types of date of publication are permitted. These are distinguished by indicator position 1, and are defined as follows:

- 1 = DATE OF PUBLICATION: Date or dates appearing on the item indicating when the item was published; in the case of complete serials, dates of first and last issues.
- 2 = DATE OF COVERAGE: Date or dates related to the coverage of the contents (e.g. in statistical reports).
- 3 = DATE OF COPYRIGHT: Date from which copyright is claimed, indicated in the item by 'c'.
- 4 = APPROXIMATE DATE OF PUBLICATION: Date or dates estimated to be the most likely date of publication when no indication of the date appears in the item.

## 2 REPRESENTATION See subfields.

## 3 USE

The use of one of the four dates defined above is mandatory for all items. Repeatable.

## 4 INDICATORS

- 1: 0 = Not specified.
- 1 = Date of publication.
- 2 = Date of coverage.
- 3 = Date of copyright.
- 4 = Approximate date of publication.

2: 0

## 5 SUBFIELDS

## 5.A.0 NAME

Date in formalized form.

## 5.A.1 DEFN

Any of the four types of dates defined above.

## 5.A.2 REPR

The date(s) in formalized form as shown in accordance with ISO 2014. In the case of spanning a period, the two dates are set out in full and separated by a hyphen. Any digit may be replaced by a question mark when a date of coverage or approximate date is given. When no month or day is given, the month or day is replaced by '0000'.

## 5.A.3 USE

Mandatory when the date is convertible to ISO format.

5.B.0 NAME  
5.B.1 NAME  
5.B.2 REPR  
5.B.3 USE

Date in non-formalized form.

Any of the four types of dates defined above. The date as it appears on the item. May be transliterated.

Mandatory when the date cannot be converted into the ISO format, e.g. a non-Gregorian date, dates including such terms as 'Spring', 'Winter'.

## EXAMPLES

### Example 1

The date of publication of a monograph is 1946. '0000' is added. Contents of Field 440:

10@A19460000

### Example 2

The date of publication of a monograph is 1952 or 1953. The last digit of the year is replaced by '?' and the indicator set to '4' to show that the date is uncertain. Contents of Field 440:

40@A195?0000

### Example 3

A report was released in September 1980. Contents of Field 440:

10@A19800900

### Example 4

A monograph is published in 3 volumes. Volume 1 has a date of publication 1965, volumes 2 and 3, 1968. The date of the monograph is therefore 1965-1968. Contents of Field 440:

10@A19650000-19680000

### Example 5

A serial is recorded which began in 1854 and is still continuing. Blanks (represented here by the asterisk '\*') are placed in the end date. Contents of Field 440:

10@A18540000-\*\*\*\*\*

### Example 6

A serial's first issue is dated 1st March 1954; and its last 25th September 1978. Contents of Field 440:

10@A19540301-19780925

### Example 7

The date of a serial issue is September 1982,

but the issues are always published in the middle of the following month. The date is therefore a coverage date and the first indicator is set at '2'. Contents of Field 440:

20@A19810900

Example 8

A monograph contains no date of publication, but there is a copyright date of 1969, which is entered with the first indicator set at '3'. Contents of Field 440:

30@A19690000

Example 9

An article in a newspaper is published on 17th June 1965. Contents of Field 440:

10@A19650617

Example 10

The date of a serial issue is Spring 1978. The date cannot be formalized. Contents of Field 440:

10@BSpring 1978

A formalized date may be included, using March for Spring as an approximation:

10@A19780300@BSpring 1978

Example 11

A serial shows 'Spring 1983' on the title page. It is not apparent when the item was published, but its contents clearly cover January to March 1983. Contents of Field 440:

20@A19830101-19830331

FIELD 441

- 0 NAME DATE OF LEGAL DEPOSIT
- 1 DEFINITION Date on which the item has been received by a legal deposit agency.
- 2 REPRESENTATION Formalized in accordance with ISO 2014. Any digit may be replaced by a question mark when an approximate date is given. When no month or day is given, the month or day is replaced by '0000'.
- 3 USE Optional. Not repeatable.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Date of legal deposit.
- 5.A.1 DEFN See 1 above.
- 5.A.2 REPR See 2 above.
- 5.A.3 USE Mandatory. Not repeatable.

EXAMPLES

Example 1 The date of legal deposit of an item is 16 June 1980. Contents of Field 441:

00@A19800616

FIELD 450

- 0 NAME SERIAL NUMBERING AND DATE
- 1 DEFINITION The numbers and/or dates of coverage of the first and last issues of a serial.
- 2 REPRESENTATION In accordance with the practice of the agency preparing the record.
- 3 USE Optional. Not repeatable. The field may contain serial numbering and/or dates when they are different, either in form or substance, from the date shown in Field 440 'Date of Publication'.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Serial numbering and date.
- 5.A.1 DEFN See 1 above.
- 5.A.2 REPR See 2 above.
- 5.A.3 USE Mandatory. Not repeatable.

EXAMPLES

Example 1 An almanac started in 1931 and is still continuing. It is not numbered, the date serving as a number. Contents of Field 450:

00@A1931-

The volume relating to 1931 has a publication date of 1930. Contents of Field 440:

01@A19300000-

Example 2 A directory was published from 1895 to 1956. The volumes were not numbered. Contents of Field 450:

00@A1895-1956

Example 3 The numbering of a serial starts at volume 16 after a change of title, beginning with issue no. 1. It ends at volume 28, no. 6. The agency has elected not to show the date in Field 450 since the date already appears in Field 440. Contents of Field 450:

00@Avol. 16, no.1-vol.28, no.6

Example 4

The source format records the above example as follows: 16(1) - 28(6). Contents of Field 450:

00@A16(1)-28(6)

Example 5

The source format records both date and numbering in the same field: No. 1-, Oct.1976-  
Contents of Field 450:

00@ANo.1-, Oct.1976-

0 NAME	PHYSICAL DESCRIPTION
1 DEFINITION	Description of the physical attributes of the item.
2 REPRESENTATION	In accordance with the practice of the agency preparing the record.
3 USE	Optional. Not repeatable. Field 490 'Part Statement' is used to indicate the place of an item in relation to its host item.
4 INDICATORS	00
5 SUBFIELDS	
5.A.0 NAME	<u>Number of pieces and designation.</u>
5.A.1 DEFN	An indication of the number of pieces in an item, and/or the number of constituent parts (pages, frames, etc.) of an item consisting of one physical entity.
5.A.2 REPR	In accordance with the practice of the agency preparing the record.
5.A.3 USE	Optional. Not repeatable.
5.B.0 NAME	<u>Other descriptive details.</u>
5.B.1 DEFN	Physical data about an item other than the number of pieces and their description (see Subfield A) or dimensions (see Subfield C).
5.B.2 REPR	In accordance with the practice of the agency preparing the record.
5.B.3 USE	Optional. Not repeatable.
5.C.0 NAME	<u>Dimensions.</u>
5.C.1 DEFN	One or more of the linear measurements (height, width, depth) of an item and/or, in the case of items which require equipment for their use, dimensions relevant to the use of the item.
5.C.2 REPR	In accordance with the practice of the agency preparing the record.
5.C.3 USE	Optional. Not repeatable. All the dimensions are entered in the same subfield.
5.D.0 NAME	<u>Accompanying material.</u>
5.D.1 DEFN	Any item which accompanies the item being described, which is issued at the same time as that item and is intended to be used in conjunction with it.
5.D.2 REPR	In accordance with the practice of the agency preparing the record.



## EXAMPLES

## Example 1

A document consists of 2 volumes which are 25cm in height. Contents of Field 460:

00@A2 vols.@C25cm

## Example 2

A document consists of 257 pages with illustrations. The document is 23cm in height, and there is a set of 4 slides in a pocket. Contents of Field 460:

00@A257p@Bill@C23cm@D4 slides

## Example 3

A document is A4 size and contains 128p numbered A1-A40 and B1-B88. Contents of Field 460:

00@AA1-A40, B1-B88@CA4

## Example 4

A document consists of 192 pages of which 10 are numbered i to x and the rest 1-182. It contains 1 coloured map, 1 coloured portrait and numerous other illustrations most of which are coloured. It is 25cm high. Contents of Field 460:

00@Ax, 182p.@Bill (chiefly col.), 1 col. map, 1 col. port. @C25cm

- 0 NAME SERIES STATEMENT AND ASSOCIATED STATEMENT(S)  
OF RESPONSIBILITY
- 1 DEFINITION See subfields.
- 2 REPRESENTATION See subfields.
- 3 USE Optional. Repeatable when an item belongs to more than one series, or where there is a parallel series title. Alternately, parallel series titles may appear in a single repetition of Subfield A.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Series statement.
- 5.A.1 DEFN A word, phrase, character or group of characters normally appearing on an item identifying a series to which the item belongs, and including any numbering or lettering relating to the ordering of the item within the series and/or sub-series.
- 5.A.2 REPR As on the item: in the form and sequence as shown on the item, exactly as to wording but not necessarily as to punctuation, capitalization or character set.
- 5.A.3 USE Optional. Not repeatable. Series names used as access points are in Field 200.
- 5.B.0 NAME Statement of responsibility associated with series statement.
- 5.B.1 DEFN Name(s) or phrase(s) relating to the identification and/or function of any persons or corporate bodies responsible for or contributing to the creation of the series.
- 5.B.2 REPR In the form and sequence shown on the item exactly as to wording but not necessarily as to punctuation or capitalization. A statement of responsibility may be transliterated. It can include the names of one or more than one person or corporate body.
- 5.B.3 USE Optional. Repeatable for each statement of responsibility found in the series.
- 5.C.0 NAME Part statement.
- 5.C.1 DEFN The number of the item and its designation (e.g. no., vol., part) within the series recorded in Subfield A.
- 5.C.2 REPR As shown on the item except that other

5.C.3 USE

numerals or numbers recorded in words are converted to arabic numerals.  
Mandatory. Not repeatable.

5.D.0 NAME

ISSN.

5.D.1 DEFN

The ISSN of the series entered in Subfield A.

5.D.2 REPR

Eight numerical digits including a check digit.

5.D.3 USE

Optional. Not repeatable.

5.L.0 NAME

Language of title.

5.L.1 DEFN

The language of the title in Subfield A.

5.L.2 REPR

A code taken from the list of Language Codes shown in Section 4.4.

5.L.3 USE

When the title of the series is available in more than one language, the languages of the titles are entered to indicate parallel series titles. Otherwise optional. Not repeatable.

5.S.0 NAME

Script of title.

5.S.1 DEFN

The script of the title in Subfield A.

5.S.2 REPR

A code taken from the list of Script Codes shown in Section 4.6.

5.S.3 USE

Optional. Not repeatable.

EXAMPLES

Example 1

Coin hoards from Roman Britain is an occasional paper of the British Museum (no. 33). The ISSN of the series is 0412-4815. Contents of Field 480:

00@AOccasional paper@BBritish Museum@CNo.  
33@D0412-4815

Example 2

X-ray diffraction topography by B.K. Tanner belongs to two series, both of which are named on the title page. Contents of repeated Fields 480:

00@AInternational series in the science of the solid state@Cvol. 10

00@APergamon international Library

Example 3

Intestinal permeation by M. Burke belongs to two series. Contents of repeated Fields 480:

00@AWorkshop conferences Hoechst@Cvol.4

00@AInternational congress series@CNo. 391

NOTES ON LINKS

A series title used as a key title or access point will appear under title (Field 200) or key title (Field 201) in a separate segment.

This field may appear in a secondary segment describing a monographic series as a serial, linked to the primary segment describing the target item.

- 0 NAME PART STATEMENT
- 1 DEFINITION Data locating a part in relation to a whole item (e.g. details on the location of a component part within a host item, dates specifying the period of time covered by a part).
- 2 REPRESENTATION In accordance with the practice of the agency creating the record.
- 3 USE Mandatory for all items which are component parts, for single volumes within multi-volume monographs, and for single volumes of series. Repeatable.
- 4 INDICATORS 00
- 5 SUBFIELDS
- 5.A.0 NAME Volume/part numeration and designation.
- 5.A.1 DEFN A word, phrase, character or group of characters, normally appearing on a item indicating to which volume, issue, part, etc. of a more inclusive item the item belongs.
- 5.A.2 REPR As on the item, modified, transliterated or translated.
- 5.A.3 USE Mandatory for items treated as component parts unless the limits of the component part are defined by pagination alone. Repeatable for successive levels of volume, issue, etc..
- 5.B.0 NAME Pagination defining a part.
- 5.B.1 DEFN An enumeration of the pages of a component part within the host item.
- 5.B.2 REPR As on the item.
- 5.B.3 USE Mandatory when the pagination is necessary to define the limits of the component part within the host item. Not repeatable.
- 5.C.0 NAME Other identifying data defining a part.
- 5.C.1 DEFN Any data other than volume/part numeration and designation and pagination, which defines a part.
- 5.C.2 REPR As on the item.
- 5.C.3 USE Mandatory when necessary to identify the part in relation to the whole. Not repeatable.

## EXAMPLES

### Example 1

A monograph Molecular connectivity in chemistry and drug research is volume 14 of the series Medicinal Chemistry. A link is made from the segment containing the identification of the series to the primary segment in which the monograph is described. Field 490 will occur in Segment 1:

00@AVol. 14

Within the record for this monograph, there is also a series statement which records the statement of the series exactly as found in the document. Contents of Field 480, which will occur in Segment 0, will be:

00@AMedicinal Chemistry@Cvol. 14

### Example 2

The item being recorded is a contribution in a serial. The record consists of two segments; Segment 0 contains the description of the contribution, and Segment 1 contains the identification of the serial. A linking field provides a link from Segment 1 to Segment 0. Segment 1 contains only two fields: the control number of the serial, and the part statement. The contribution is in vol. 36 on pages 255 to 260. Contents of Field 490 which will occur in Segment 1:

00@AVol. 36@B255-260

### NOTE ON LINKS

When the description of a component part is contained within a separate secondary segment (i.e. the item that contains the component part is the target item of the record), the part statement will be carried in the segment for the component part.

FIELD 500

0 NAME

NOTE

1 DEFINITION

Any information about the item which is not entered elsewhere in the record, and which is included as part of the record.

2 REPRESENTATION

In accordance with the practice of the agency preparing the record.

3 USE

Optional. Repeatable. Notes relating to bibliographic history or the relationship of the item to other bibliographic items are entered in Field 510.

4 INDICATORS

00

5 SUBFIELDS

5.A.0 NAME

Note.

For definition, representation and use, see 1, 2 and 3 above.

EXAMPLES

Any kind of note which does not deal with bibliographic history (Field 510) serial frequency (Field 520) or contents (Field 530) may be entered in Field 500. The following is a selection of notes, as they would appear in Field 500:

Example 1

00@AThesis presented for Ph.D. at University of British Columbia, 1983

Example 2

00@AThesis (Ph.D.) - Harvard University, 1967

Example 3

00@AAAt head of title? Goscinny and Uderzo present an Asterix adventure

Example 4

00@ASeries editor: Ruth Porter

Example 5

00@AText on lining papers

Example 6

00@AParallel text in English and Welsh

Example 7

00@AThe result of a cooperative research project at Simon Fraser University



FIELD 510

- 0 NAME NOTE ON BIBLIOGRAPHIC RELATIONSHIP
- 1 DEFINITION A note describing a bibliographic relationship between one item and another.
- 2 REPRESENTATION In accordance with the practice of the agency preparing the record.
- 3 USE Optional.
- 4 INDICATORS 00
- 5 SUBFIELD

5.A.0 NAME Note.  
For definition, representation and use, see 1, 2 and 3 above.

EXAMPLES

Notes on bibliographic relationships can usually be generated automatically from the data in the linking fields (Fields 080-085) and secondary segments. This may not be possible when the source format does not record links as segments; when the record to which the links are made does not exist; when information additional to that provided in the link is required in the note; or when the note includes a textual quote from the item.

Example 1

The item Index to textile auxiliaries was published in 1980. It was previously published in 1978 under the same title. Contents of Field 510:

00@A Previous ed.: 1978

Example 2

The item Anatomy for students and teachers of physical education was later published as Structural and functional anatomy for students and teachers of physical education. The record of the later work contains a note as follows in Field 510:

00@A Previous ed. published as 'Anatomy for students and teachers of physical education'.  
1970

Example 3

A document is a reprint of a chapter of a book. Contents of Field 510:

Example 4

A document is a reprint from a serial.  
Contents of Field 510:

00@AOriginally published in Slavic Review,  
v.34 (2), Dec.1975

Example 5

The title British Heritage supersedes British History Illustrated. An appropriate note could have been created from a linkage field, but the source format does not record links.  
Contents of Field 510:

00@ASupersedes: British History Illustrated

Example 6

A note is taken from the item itself: the note is entered in quotation marks in the source format to indicate this. Contents of Field 510:

00@A'Reprinted from an unpublished  
experimental edition'

Example 7

The booklet 'MARC: its history and implications' has also been published in the Encyclopedia of Library and Information Science; the note from the title page is quoted in Field 510:

00@A'Based on an article entitled Machine-Readable Cataloguing (MARC) Program which appears in the Encyclopedia of Library and Information Science, volume 17'

FIELD 520

0 NAME SERIAL FREQUENCY NOTE  
1 DEFINITION See subfields.  
2 REPRESENTATION See subfields.  
3 USE Optional. Repeatable when the frequency of the serial has varied during its existence.  
4 INDICATORS 00  
5 SUBFIELDS

5.A.0 NAME Frequency.  
5.A.1 DEFN A note on the frequency with which a serial is published.  
5.A.2 REPR In accordance with the practice of the agency preparing the record.  
5.A.3 USE Mandatory. Not repeatable.  
5.B.0 NAME Dates of frequency.  
5.B.1 DEFN The dates for which the frequency stated in Subfield A are valid.  
5.B.2 REPR In accordance with the practice of the agency preparing the record.  
5.B.3 USE Optional. Not repeatable. Required only when the frequency of a serial changes.

EXAMPLES

Example 1 A serial is published monthly. Contents of Field 520:

00@AMonthly

Example 2 A journal was published monthly from 1940 to 1980 and has been published quarterly since. Contents of repeated Fields 520:

00@AMonthly@B1940-1980

00@AQuarterly@B1981-

FIELD 530

0 NAME CONTENTS NOTE

1 DEFINITION A description or list of the works, parts or pieces contained in the item being described.

2 REPRESENTATION In accordance with the practice of the agency preparing the record.

3 USE Optional. Repeatable.

4 INDICATORS 00

5 SUBFIELDS

5.A.0 NAME Contents note.

5.A.1 DEFN See 1 above.

5.A.2 REPR See 2 above.

5 A.3 USE Mandatory. Not repeatable.

EXAMPLES

Example 1

The record of a book The eleventh Simonon omnibus has the following contents note in Field 530:

00@AContents:- The Venice train; Maigret and the millionaires; The Innocents

Example 2

A document entitled The price of tranquility: the manufacture and use of psychotropic drugs contains two papers. According to the practice of the source format each paper is entered in a separate subfield; in the CCF each may be entered in a separate field. Contents of repeated Fields 530:

00@AThe family doctor's role in psychotropic drug use by Peter A. Parish

00@AThe international pharmaceutical industry, with special reference to psychotropic drugs by S. Lall

Example 3

The above as entered in an alternative format in repeated Fields 530:

00@AParish, Peter A. The family doctor's role in psychotropic drug use

00@ALall, S. The international pharmaceutical industry, with special reference to psychotropic drugs

**FIELD 600**

0 NAME ABSTRACT

1 DEFINITION A brief description of the content of an item. Intended to include informative, indicative, critical or evaluative abstracts, summaries, etc.

2 REPRESENTATION In accordance with the practice of the agency preparing the record.

3 USE Optional. Repeatable.

4 INDICATORS 00

5 SUBFIELDS

5.A.0 NAME Abstract.  
5.A.1 DEFN See 1 above.  
5.A.2 REPR See 2 above.  
5.A.3 USE Mandatory. Not repeatable.

5 L.0 NAME Language of abstract.  
5.L.1 DEFN Identification of the language of the abstract.  
5.L.2 REPR A code taken from the list of Language Codes shown in Section 4.4.  
5.L.3 USE Optional. Not repeatable.

**EXAMPLES**

Example 1 A record for a journal article 'Microcomputers as information dissemination tools' has an abstract taken from the article. Contents of Field 600:

00@APreliminary investigation of a microcomputer as an aid in both local and network information handling indicates that there are major benefits. The system has proven useful both in instructional settings and in approximations of normal library/information centre tasks. Micros can have sufficient capacity for circulation control systems, but adequate software is lacking.

Example 2 IFLA journal summarises contributions in English, French and German. In this example the English and French summaries are taken

from the journal and entered in the record. The source format has a subfield for origin of summary/abstract; this data is added at the end of the field in this example. Contents of repeated Fields 600:

00@AEconomic considerations a doubts about the future role of public libraries are major factors which introduce uncertainty into the future planning of public library buildings at the present time. Discussion of building requirements should follow the debate on policy, not precede it.@Lang

00@ADes considérations économique et des incertitudes sur le rôle futur des bibliothèques publiques sont les facteurs les plus importants de l'indécision dans la future planification actuelle des bâtiments des bibliothèques publiques. La discussion sur les bâtiments devrait suivre et non précéder le débat à venir su leur rôle.@Lfre

0 NAME	CLASSIFICATION SCHEME NOTATION
1 DEFN	A notation assigned to an item according to the provisions of a classification scheme.
2 REPR	In accordance with the classification scheme identified in Subfield B.
3 USE	Optional. Repeatable either for each classification notation, or for each classification system.
4 INDICATORS	00
5 SUBFIELDS	
5.A.0 NAME	<u>Notation.</u>
5.A.1 DEFN	See 1 above.
5.A.2 REPR	See 2 above.
5.A.3 USE	Mandatory. Repeatable.
5.B.0 NAME	<u>Identification of classification scheme.</u>
5.B.1 DEFN	An identification of the classification scheme used in Subfield A.
5.B.2 REPR	In accordance with the practice of the agency preparing the record.
5.B.3 USE	Mandatory. Not repeatable.

## EXAMPLES

Example 1      A document on computer printers is classified 681.327.54'11 according to the Universal Decimal Classification (UDC). Contents of Field 610:

00@A681.327.54'11@BUDC

Example 2      A document on angina, a heart condition, is classified 616.122 according to the 18th edition of the Dewey Decimal Classification scheme (DC18). Contents of Field 610:

00@A616.122@BDC18



## 0 NAME SUBJECT DESCRIPTOR

1 DEFINITION A word, term, name or phrase chosen to express a concept or a combination of concepts which are present in the work contained in the item, in order to facilitate retrieval of the item.

2 REPRESENTATION Subject descriptors can be controlled according to a subject system, e.g. selected from a thesaurus or a list of subject headings, in which case they are in accordance with the practice of the system identified in Subfield B; otherwise they are in accordance with the practice of the agency preparing the record.

3 USE Optional. Repeatable either for each descriptor or subject heading, or for each subject descriptor system (i.e. thesaurus or list of subject headings).

4 INDICATORS 00

## 5 SUBFIELDS

5.A.0 NAME Subject descriptor.  
 5.A.1 DEFN See 1 above.  
 5.A.2 REPR See 2 above.  
 5.A.3 USE Mandatory. Repeatable.

5.B.0 NAME Identification of subject system.  
 5.B.1 DEFN A term or code identifying the subject system, from which the descriptor in Subfield A is taken. Or the term 'None' or its equivalent if no thesaurus or controlled list is used.  
 5.B.2 REPR In accordance with the practice of the agency preparing the record.  
 5.B.3 USE Mandatory. Not repeatable.

## EXAMPLES

Example 1 The following terms are applied to a document:  
 Nigeria. Zaria region. Muslims. Hausa. Women.  
 1900-1950. Contents of Field 620:

00@ANigeria@AZaria region@AMuslims@AHausa  
 @AWomen@A1900-1950

Example 2 The following terms have been applied to a

document in the Zoological Record: Effects  
of/Radioactive pollution/Marine habitat/  
Echinoidea/Arbacea punctulata. Contents of  
Field 620:

00@AEeffects of@ARadioactive pollution@AMarine  
habitat@AEchinoidea@Aarbacea punctulata@BZool.  
Rec.

Example 3

A Library of Congress Subject Heading is  
applied to the document. Contents of Field  
620:

00@AFrance--Description and travel.@BLCSH

Example 4

The above as entered in an alternative format  
in repeated subfields. Contents of Field 620:

00@AFrance@ADescription and travel@BLCSH

#### 4 CODES USED IN THE DATA ELEMENTS

- 4.1 Record status codes
- 4.2 Bibliographic level codes
- 4.3 Character set codes
- 4.4 Language codes
- 4.5 Physical medium codes
- 4.6 Script codes
- 4.7 Codes for names of countries
- 4.8 Role codes
- 4.9 Organization codes
- 4.10 Field linkage codes
- 4.11 National bibliography and legal deposit  
agency codes
- 4.12 Vertical relationship codes
- 4.13 Horizontal relationship codes
- 4.14 Completeness of record codes
- 4.15 Type of material codes

4.1.0 NAME

RECORD STATUS CODES

4.1.1 SOURCE

These codes have been developed for use in the CCF.

4.1.2 FIELDS

Used in character position 5 of the record label.

4.1.3 CODES

a New record

b Replacement record (A record that is intended to replace a previously issued record.) A record with this code takes the place of a record with the same control number issued at an earlier date.

c Deleting record (A record that is intended to cause the removal of a previously distributed record.) A record with this code will cause the removal from a file of a record with the same control number issued at an earlier date.

#### 4.2.0 NAME

#### BIBLIOGRAPHIC LEVEL CODES

#### 4.2.1 SOURCE

These codes have been developed for use in the CCF.

#### 4.2.2 FIELDS

Used in character position 7 of the record label.

#### 4.2.3 CODES

s Serial (A bibliographic item in any medium issued in successive parts, usually having numerical or chronological designations, and intended to be continued indefinitely. Serials include periodicals, annuals (reports, yearbooks, directories, etc.), the journals, memoirs, proceedings, transactions, etc. of societies and monographic series.)

m Single volume monograph (A bibliographic item complete in one physical part; may be a volume of a multi-volume monograph.)

c Multi-volume monograph (A bibliographic item complete or intended to be completed in a finite number of separate parts.)

a Component part (A bibliographic item which for purposes of bibliographic identification or access absolutely requires reference to the document of which it forms a part. Component parts include chapters in monographs, articles in serials, illustrations and maps in printed text, an aria in a music score issued with a sound recording, etc. Also commonly called analytic.)

e Made-up collection (A bibliographic item that is a made-up collection; for example the manuscripts of an individual author.)

#### 4.3.0 NAME

#### CHARACTER SET CODES

#### 4.3.1 SOURCE

These codes are registration numbers taken from the ISO International Register of Coded Character Sets, as described in Section 2.6.

#### 4.3.2 FIELDS

Used in Field 030, Subfields A, B and C.

#### 4.3.3 CODES

These are the most commonly used code sets. Instructions for obtaining other codes are shown under Field 030.

1 Default control functions (ISO 646)

2 International Reference Version graphic set (ISO 646)

67 Additional control functions for bibliographic use (ISO 6630)

37 Basic Cyrillic graphic character set

53 Extension of the Latin alphabet coded character set for bibliographic interchange (ISO 5246-1980)

54 Extension of the coded character set of the Cyrillic alphabet for bibliographic use (DIS 5427-1981)

55 Greek alphabet character set for bibliographic use (ISO 5428-1980)

## 4.4.0 NAME

## LANGUAGE CODES

## 4.4.1 SOURCE

In the absence of a standard code for names of languages, it is assumed that users of the CCF will employ the language codes listed below. The list is taken from the ISDS Manual,<sup>7</sup> which adapted it from the Library of Congress List of Languages and Language Codes.<sup>9</sup>

## 4.4.2 FIELDS

May be used in any field where they can apply. In particular, used in the following fields:

Field 020, Subfield L	Field 040, Subfield A
Field 200, Subfield L	Field 201, Subfield L
Field 210, Subfield L	Field 220, Subfield L
Field 221, Subfield L	Field 222, Subfield L
Field 223, Subfield L	Field 230, Subfield L
Field 240, Subfield L	Field 260, Subfield L
Field 310, Subfield L	Field 320, Subfield L
Field 330, Subfield L	Field 480, Subfield L

## 4.4.4 CODES

Abnak		Aljamia	ajm
USE Algonquian languages (alg)		Amarinya	
		USE Amharic (amh)	
Acholi	ach	Amharic	amh
Acoli		Ancient Greek	
USE Acholi (ach)		USE Greek, Ancient (to 1453) (grc)	
Afghan		Ancient Hebrew	
USE Pushto (pus)		USE Hebrew (heb)	
Afrihili	afh	Anglo-Norman	
Afrikans	afr	USE Romance (Other) (roa)	
Afro-Asiatic (Other)	afa	Anglo-Saxon	
		(ca. 600-1100)	ang
Ainu		Annamese	
USE Miscellaneous (mis)		USE Vietnamese (vie)	
Akan		Anzanite	
USE Niger-Congo (Other) (nic)		USE Elamite (elx)	
Akkadian	akk	Apache	apa
Albanian	alb	Arabic	ara
Aleut	ale	Aramaic	arc
Algonkin		Arapahoe	arp
USE Ojibwa (oji)		Araucanian	arn
Algonquian languages	alg		



Arawak	arw	Bedja	
Armenian	arm	USE Beja (bej)	
Armoric		Beja	bej
USE Breton (bre)		Bella Bella	
Ashanti		USE Wakashan languages (wak)	
USE Niger-Congo (Other) (nic)		Bella Coola	
Assamese	asm	USE Salishan languages (sal)	
Assiniboin		Belorussian	bel
USE Dakota (dak)		Bemba	bem
Assyro-Babylonian		Bengali	ben
USE Akkadian (akk)		Beothuk	
Athapascan languages	ath	USE North American Indian (Other) (nai)	
Avar		Berber languages	ber
USE Avaric (ava)		Bhojpuri	bho
Avaric	ava	Biblical Greek	
Avesta	ave	USE Greek, Ancient (to 1453) (grc)	
Avestan		Biloxi	
USE Avesta (ave)		USE Siouan languages (sio)	
Awadhi	awa	Biluchi	
Aymara	aym	USE Baluchi (bal)	
Azerbaijani	aze	Bishari	
Azeri		USE Beja (bej)	
USE Azerbaijani (aze)		Blackfoot	bla
Aztec		Bohemian	
USE Nahuatl (nah)		USE Czech (cze)	
Baltic (Other)	bat	Braj	bra
Baluchi	bal	Breton	bre
Bamana		Bulgarian	bul
USE Bambara (bam)		Bulgarian, Old	
Bambara	bam	USE Church Slavonic (chu)	
Bantu		Burmese	bur
USE Niger-Congo (Other) (nic)		Bushman	
Bashkir	bak	USE Sub-Saharan African Other) (ssa)	
Basque	baq		

Byelorussian  
USE Belorussian (bel)

Byzantine Greek  
USE Greek, Ancient  
(to 1453) (grc)

Caddo cad

Cambodian cam

Canerese  
USE Kannada (kan)

Carib car

Carrier  
USE Athapaskan languages  
(ath)

Castillian  
USE Spanish (spa)

Catalan cat

Caucasian (Other) cau

Cayuga  
USE Iroquoian languages (iro)

Celtic languages cel

Central American  
Indian (Other) cai

Central Magahi  
USE Magahi (mag)

Cewa  
USE Nyanja (nya)

Chaldean  
USE Aramaic (arc)

Chamorro  
USE Malayo-Polynesian  
(Other) (map)

Chechen che

Cherokee chr

Chewa  
USE Nyanja (nya)

Cheyenne chy

Chibcha chb

Chichewa  
USE Nyanja (nya)

Chichimeca-Jonaz  
USE Otomian languages (oto)

Chinese chi

Chinook Jargon chn

Chipewyan  
USE Athapaskan languages  
(ath)

Chippewa  
USE Ojibwa (oji)

Chiwere  
USE Siouan languages (sio)

Choctaw cho

Chontal of Tabasco  
USE Mayan languages (myn)

Chorti  
USE Mayan languages (myn)

Church Slavic chu

Chuvash chv

CiNyanja  
USE Nyanja (nya)

Classical Greek  
USE Greek, Ancient  
(to 1453) (grc)

Coptic cop

Cornish cor

Cree cre

Creek  
USE Muskogee (mus)

Creoles and Pidgins crp

Croatian  
USE Serbo-Croatian (Roman)  
(scr)

Crow  
USE Siouan languages (sio)

Cushitic cus

Czech	cze	Estonian	est
Dakota	dak	Ethiopic	eth
Danish	dan	Etruscan	
Dano-Norwegian		USE Miscellaneous (mis)	
USE Norwegian (nor)		Ewe	ewe
Delaware	del	Fan	
Demotic		USE Fang (fan)	
USE Egyptian (egy)		Fang	fan
Denca		Faroese	far
USE Dinka (din)		Farsi	
Dinka	din	USE Persian, Modern (per)	
Dogri	doi	Filipino	
Dogrit		USE Tagalog (tag)	
USE Athapascan languages		Finnish	fin
(ath)		Finno-Ugrian (Other)	fiu
Dravidian (Other)	dra	Flemish	
Duala	dua	USE Dutch (dut)	
Dutch (use for Flemish)	dut	Fon	fon
Dutch, middle	dum	Fox	
Efik	efi	USE Algonquian languages	
Egyptian	egy	(alg)	
Elamite	elx	French	fre
English	eng	French, Middle	
English, Middle		(ca. 1500-1700)	frm
(ca. 1100-1400)	enm	French, Old	
English, Old		(ca. 842-1500)	fro
USE Anglo-Saxon		Frisian	fri
(ca 600-1100) (ang)		Ga	gaa
Erse		Gaelic (Irish)	
USE Irish (iri)		USE Irish (iri)	
Eskimo	esk	Gaelic (Scots)	gae
Eskimoan		Galla	gal
USE Eskimo (esk)		Gallegan	
Esperanto	esp	USE Romance (Other) (roa)	
		Ganda	

USE Luganda (lug)		USE Kpelle (kpe)	
Ge'ez		Gujarati	guj
USE Ethiopic (eth)		<del>Gypsy</del>	
Georgian	geo	USE Romany (rom)	
German	ger	Hausa	hau
German, middle high (ca. 1050-1500)	gmh	Hawaiian	haw
German, old high (ca. 750-1050)	goh	Hebrew	heb
Germanic (Other)	gem	Herero	her
Gipsy		Himachali	him
USE Romany (rom)		Hindi	hin
Gondi	gon	Hottentot	
Gothic	got	USE Sub-Saharan African (Other) (ssa)	
Greek, Ancient (to 1453)	grc	Hungarian	hun
Greek, Biblical		Hupa	hup
USE Greek, Ancient (to 1453) (grc)		Iai	
Greek, Byzantine		USE Malayo-Polynesian Other (map)	
USE Greek, Ancient (to 1453) (grc)		Ibidio	
Greek, Classical		USE Efik (efi)	
USE Greek, Ancient (to 1453) (grc)		Icelandic	ice
Greek, Hellenistic		Ilocano	ilo
USE Greek, Ancient (to 1453) (grc)		Iloko	
Greek, Medieval		USE Ilocano (ilo)	
USE Greek, Ancient (to 1453) (grc)		Indic (Other)	inc
Greek, Modern (1453- )	gre	Indo-European (Other)	ine
Greek, Patristic		Indonesian	ind
USE Greek, Ancient (to 1453) (grc)		Interlingua	int
Greenlandic		Iranian (Other)	ira
USE Eskimo (esk)		Irish	iri
Guarani	gua	Irish, Old	
Guerze		USE Indo-European (Other) (ine)	
		Iroquoian languages	iro

Isi-Xosa  
USE Xhosa (xho)

Italian ita

Jacalteca  
USE Mayan languages (myn)

Japanese (use for related  
Japanese languages and  
dialects) jpn

Javanese jav

Javanese, Old  
USE Malayo-Polynesian  
(Other) (map)

Judaeo-Arabic jrb

Judaeo-German  
USE Yiddish (yid)

Judaeo-Persian jpr

Judeao-Spanish  
USE Ladino (lad)

Kachin kac

Kafir  
USE Xhosa (xho)

Kamba kam

Kanarese  
USE Kannada (kan)

Kangri  
USE Dogrib (dog)

Kannada kan

Kanuri kau

Karakalpak kaa

Karen kar

Kashmiri kas

Kaska  
USE Athapascan languages  
(ath)

Kawchottine  
USE Athapascan languages  
(ath)

Kawi

Malayo-Polynesian  
(Other) (mao)

Kazakh kaz

Kechua  
USE Quechua (que)

Kekchi  
USE Mayan languages (myn)

Kewa  
USE Papuan-Australian (Other)  
(paa)

Khasi kha

Khmer  
USE Cambodian (cam)

Khotanese kho

Kiche  
USE Mayan languages (myn)

Kikuyu kik

Kinyarwanda kin

Kirghiz kir

Kirundi  
USE Rundi (run)

Kongo kon

Kongri  
USE Dogri (doi)

Konkani kok

Korean (use for related  
Korean languages and  
dialects) kor

Kokuyon  
USE Athapascan languages  
(ath)

Kpelle kpe

Kru kro

Kurdish kur

Kurukh kru

Kutchin  
USE Athapascan languages  
(ath)

Kutenai kut

Kwakiutl  
USE Athapascan languages  
(ath)

Lacandon Maya  
USE Mayan languages (myn)

Ladin  
USE Rhaeto-Romansh (roh)

Ladino lad

Lahnda lah

Lakota  
USE Dakota (dak)

Lallans  
USE Germanic (Other) (gem)

Lamba lam

Landsmaal  
USE Norwegian (nor)

Langue d'oc (Post-1500) lan

Laotian lao

Lapp lap

Latin lat

Latvian lav

Lettish  
USE Latvian (latv)

Lillooet  
USE Salishan languages (sal)

Lithuanian lit

Lolo (Bantu) lol

Lowland Scots  
USE Germanic (Other) (gem)

Luba lub

Luganda lug

Luiserio lui

Macedonian mac

Madagascan  
USE Malagasy (mla)

Magahi mag

Magahi, Central  
USE Magahi (mag)

Magahi, Northern  
USE Magahi (mag)

Magahi, Southern  
USE Magahi (mag)

Magyar  
USE Hungarian (hun)

Maithili mai

Malagasy mla

Malay may

Malayalam mal

Malayo-Polynesian  
(Other) map

Malecite  
USE Algonquian languages  
(alg)

Maltese mlt

Mandan  
USE Siouan languages (sio)

Mandingo man

Manobo mno

Manx max

Maori mao

Marathi mar

Marwari mwr

Masai mas

Mashona  
USE Shona (sho)

Matlatzinca		Moldavian	mol
USE Otomian languages (oto)		Mole	
Mayan languages	myn	USE Mossi (mos)	
Mazahua		Mongo	
USE Otomian languages (oto)		USE Lolo (Bantu) (lol)	
Mbundu (Benguela district)	umb	Mongol	mon
Medieval Greek		Montagnais (Athapaskan)	
USE Greek, Ancient.		USE Athapaskan languages	
(to 1453) (grc)		(ath)	
Mende	men	Montagnais (Cree)	
Menominee		USE Cree (cre)	
USE Algonquian languages		Mopan Maya	
(alg)		USE Mayan languages (myn)	
Micmac	mic	More	
Middle Dutch		USE Mossi (mos)	
USE Dutch, Middle		Mossi	mos
ca. 1050-1350) (dum)		Multilingual	mul
Middle English		Muskogee	mus
USE English, Middle		Nagpuria	
(ca. 1100-1500) (enm)		USE Bhojpuri (bho)	
Middle French		Nahuatlán	nah
USE French, Middle		Nandi	
(ca. 1500-1700) (frm)		USE Sub-Saharan African	
Middle High German		(Other) (ssa)	
USE German, Middle High		Nano	
(ca. 1050-1500) (gmh)		USE Umbundu (umb)	
Middle Persian		Nascapee	
USE Pahlavi (pal)		USE Cree (cre)	
Middle Scots		Navaho	nav
USE Germanic (Other) (gem)		Neo-Syriac	
Milanese		USE Syriac (syr)	
USE Italian (ita)		Nepali	nep
Miscellaneous	mis	Netherlandic	
Modern Hebrew		USE Dutch (dut)	
USE Hebrew (heb)		Newari	new
Mohawk	moh	Nguna	
Mohegan		USE Malayo-Polynesian	
USE Algonquian languages			
(alg)			



(Other) (map)		Old English USE Anglo-Saxon (ca. 1000-1100) (ang)	
Niger-Congo (Other)	nic	Old French USE French, Old (ca. 842-1500) (fro)	
Nitinat		Old High German USE German, Old High (ca. 750-1050) (goh)	
USE Wakashan languages (wak)		Old Irish Indo-European (Other) (ine)	
Nootka		Old Javanese USE Malayo-Polynesian (Other) (map)	
USE Wakashan languages (wak)		Old Persian USE Persian, Old (ca. 600 B.C.-400 B.C.) (peo)	
North American Indian (Other)	nai	Old Provençal (to 1500) USE (Provençal (to 1500) (pro)	
Northern Magahi		Old Russian USE Slavic (Other) (sla)	
USE Magahi (mag)		Old Swedish USE Germanic (Other) (gem)	
Northern Sotho	nso	Oneida USE Iroquoian languages (iro)	
Norwegian	nor	Onondaga USE Iroquoian languages (iro)	
Ntlakyapamuk		Oriya	ori
USE Salishan languages (sal)		Osage	osa
Nubian	nub	Osmanli USE Ottoman Turkish (Arabic Script) (ota)	
Nyamwezi	nym	Ossetic	oss
Nyanga		Ostiak Samoyed USE Selkup (sel)	
USE Niger-Congo (Other) (nic)		Othomi USE Otomian languages (oto)	
Nyanja	nya	Otomi USE Otomian languages (oto)	
Nyoro	nyo		
Occitan, Modern (post-1500)			
USE Langue d'oc (post-1500) (lan)			
Occitan, Old (to 1500)			
USE Provençal (to 1500) (pro)			
Ocuiltec			
USE Otomian languages (oto)			
Ofogoula			
USE Siouan languages (sio)			
Ojibwa	oji		
Okinagan			
USE Salishan languages (sal)			
Old Bulgarian			
USE Church Slavic (chu)			
Old Church Slavonic			
USE Church Slavic (chu)			

Otomian languages	oto	Polish	pol
Ottawa		Polyglot	
USE Ojibwa (oji)		USE Multilingual (mul)	
Ottoman Turkish (Arabic Script)	ota	Portuguese	por
Pahlavi	pal	Potawatomi	
Pali	pli	USE Algonquian languages (alg)	
Pame		Prakrit	pra
USE Otomian languages (oto)		Provençal (to 1500)	pro
Panjabi	pan	Provençal (post-1500)	
Panjabi (Western)		USE Langue d'oc (post-1500) (lan)	
USE Lahnda (lah)		Provençal, Old (to 1500)	
Papuan-Australian (Other)	paa	USE Provençal (to 1500) (pro)	
Pashto		Punjabi	
USE Pushto (pus)		USE Panjabi (pan)	
Passamaquoddy		Pushto	pus
USE Algonquian languages (alg)		Quechua	que
Patristic Greek		Quiche	
USE Greek, Ancient (to 1453) (grc)		USE Mayan languages (myn)	
Pehlevi		Raeto-Romance	
USE Pahlevi (pal)		USE Rhaeto-Romance (roh)	
Pennsylvania Dutch		Rajasthani	raj
USE Germanic (Other) (gem)		Rhaeto-Romance	roh
Penobscot		Riksmal	
USE Algonquian languages (alg)		USE Norwegian (nor)	
Persian, Middkle		Romance (Other)	roa
USE Pahlavi (pal)		Romanian	rum
Persian, Modern	per	Romansh	
Persian, Old		USE Rhaeto-Romance (roh)	
(ca 600 B.C.-400 B.C.)	peo	Romany	rom
Pidgin English		Ruanda	
USE Creoles and Pidgins (crp)		USE Kinyarwanda (kin)	
Pilipino		Rumanian	
USE Tagalog (tag)		USE Romanian (rum)	
		Rumansh	

USE Rhaeto-Romance (roh)		Serhardic	
Rundi	run	USE Ladino (lad)	
Russian	rus	Serbian	
Russian, Old		USE Serbo-Croatian	
USE Slavic (Other) (sla)		(Cyrillic) (scc)	
Ruthenian		Serbian	
USE Ukrainian (ukr)		USE Serbo-Croatian	
Saka		(Cyrillic) (scc)	
USE Khotanese (kho)		Serbo-Croatian	
Salish		(Cyrillic)	scc
USE Salishan languages (sal)		Serbo-Croatian (Roman)	scr
Salishan languages	sal	Serer	srr
Salteaux		SeSotho Group	
USE Ojibwa (oji)		USE Southern Sotho (sso)	
Samaritan Aramaic	sam	SeSuto	
Sandawe	sad	USE Southern Sotho (sso)	
Sango	sag	Shan	shn
Sanskrit	san	Shona	sho
Santee		Shuswap	
USE Dakota (dak)		USE Salishan languages (sal)	
Sarsi		Siamese	
USE Athapascan languages		USE Thai (tha)	
(ath)		Sidamo	sid
Scots Gaelic		Siksika	
USE Gaelic (Scots) (gae)		USE Blackfoot (bla)	
Sechelt		Sindhi	snd
USE Salishan languages (sal)		Sinhalese	snh
Sechuana		Sino-Tibetan (Other)	sit
USE Tswana (tsw)		Siouan languages	sio
Sekani-Beaver		Sioux	
USE Athapascan languages		USE Dakota (dak)	
(ath)		Slave	
Selkup,	sel	USE Athapascan languages	
Semitic (Other)	sem	(ath)	
Seneca		Slavic (Other)	sla
USE Iroquoian languages (iro)		Slovak	slo

Slovenian	slv	Susu	sus
Sogdian	sog	Swahili	swa
Somali	som	Swedish	swe
Songhai	son	Swedish, Old USE Germanic (Other) (gem)	
Sorbian languages USE Wendic (wen)		Syriac	syr
Sorbin USE Wendic (wen)		Tadzhik USE Tajik (taj)	
Sotho USE Southern Sotho (sso)		Tagalog	tag
Sotho, Northern USE Northern Sotho (nso)		Tagish USE Athapaskan languages (ath)	
Sotho, Southern USE Southern Sotho (sso)		Tahltan USE Athapaskan languages (ath)	
South American Indian (Other)	sai	Tajik	taj
Sothern Magahi USE Magahi (mag)		Tamil	tam
Southern Sotho	sso	Tatar	tar
Spanish	spa	Tchetchen USE Chechen (che)	
Squamish USE Salishan languages (sal)		Telugu	tel
Straits Salish, USE Salishan languages (sal)		Temne	tem
Sub-Saharan African (Other)	ssa	Tereno	ter
Sudanic languages USE Niger-Congo (Other) (nic)		Teton USE Dakota (dak)	
Sukuma	suk	Thai	tha
Sumerian	sux	Thlingchadinne USE Athapaskan languages (ath)	
Sundanese	sun	Thompson USE Salishan languages (sal)	
Sur-silvan USE Rhaeto-Romance (roh)		Tibetan	tib
Susian USE Elamite (elx)		Tigre	tig
		Tigrina	tir
		Timne USE Temne (tem)	

Tlingit	tli	Votian	
		USE Votic (vot)	
Tongan		Votic	vot
USE Malayo-Polynesian			
(Other) (map)			
Tsimshian	tsi	Votish	
		USE Votic (vot)	
Tswana	tsw	Votyak	
		USE Finno-Ugrian	
Turkish	tur	(Other) (fiu)	
Turkmen	tuk	Wakashan languages	wak
Turko-tartaric (Other)	tut	Walamo	wal
Turkoman		Wascho	was
USE Turkmen (tuk)		Welsh	wel
Tuscarora		Wendic	wen
USE Iroquoian languages (iro)			
Tutelo		Wendish	
USE Siouan languages (sio)		USE Wendic (wen)	
Twi	twi	Winnebago	
		USE Siouan languages (sio)	
Tzeltal		Wolof	wol
USE Mayan languages (myn)			
Tzotzil		Xhosa	xho
USE Mayan languages (myn)		Xosa	
Ugaritic	uga	USE Xhosa (xho)	
Uigur	uig	Yankton	
Ukrainian	ukr	USE Dakota (dak)	
Umbunda	umb	Yao (Bantu)	yao
Undetermined	und	Yiddish	yid
Upper Umbugua		Yoruba	yor
USE Athapaskan languages		Yupik	
(ath)		USE Eskimo (esk)	
Urdu	urd	Zapotec	zap
Uzbek	uzb	Zenega	zen
Vedic		Zulu	zul
USE Sanskrit (san)			
Vietnamese	vie		
Vote			
USE Votic (vot)			

4.5.0 NAME PHYSICAL MEDIUM CODES

4.5.1 SOURCE These codes have been developed for use in the CCF.

4.5.2 FIELDS Used in Field 050, Subfield A.

4.5.3 CODES 010 Print on paper

020 Microform

030 Braille

900 Other

#### 4.6.0 NAME

#### SCRIPT CODES

#### 4.6.1 SOURCE

In the absence of any international standard set of codes for names of scripts, the codes shown below, developed for the International Serials Data System, are recommended for use in the CCF.

#### 4.6.2 FIELDS

May be used in any field where they can apply. In particular, used in the following fields:

Field 040, Subfield B	Field 200, Subfield S
Field 201, Subfield S	Field 210, Subfield S
Field 310, Subfield S	Field 320, Subfield S
Field 480, Subfield S	

#### 4.6.3 CODES

a	basic roman
b	roman (extended)
c	Cyrillic
d	Japanese
e	Chinese
f	Arabic
g	Greek
h	Hebrew
i	Thai
j	Devanagari
k	Korean
l	Tamil
z	other



## 4.7.0 NAME

## CODES FOR NAMES OF COUNTRIES

## 4.7.1 SOURCE

ISO 3166.

## 4.7.2 FIELDS

Used in the following fields:

Field 110, Subfield B	Field 111, Subfield B
Field 310, Subfield E	Field 320, Subfield E
Field 330, Subfield E	Field 400, Subfield D
Field 410, Subfield D	Field 420, Subfield D

## 4.7.3 CODES

This list does not constitute an official list of names of countries or other political entities. The name of the entity is given in its short form in English.

Afghanistan	AF	Bouvet Island	BV
Albania	AL	Brazil	BR
Algeria	DZ	British Indian Ocean Territory	IO
American Samoa	AS	British Virgin Islands	VG
Andorra	AD	Brunei	BN
Angola	AO	Bulgaria	BG
Antarctica	AQ	Burma	BU
Antigua	AG	Burundi	BI
Argentina	AR	Byelorussian SSR	BY
Australia	AU	Cameroon	CM
Austria	AT	Canada	CA
Bahamas	BS	Canton and Enderbury Islands	CT
Bahrain	BH	Cape Verde	CV
Bangladesh	BD	Cayman Islands	KY
Barbados	BB	Central African Republic	CF
Belgium	BE	Chad	TD
Belize	BZ	Chile	CL
Benin	BJ	China	CN
Bermuda	BM	Christmas Island	CX
Bhutan	BT	Cocos (Keeling) Islands	CC
Bolivia	BO		
Botswana	BW		

Colombia	CO	Republic	DD
Comoros	KM	Germany, Federal	DE
Congo	CG	Republic	GH
Cook Islands	CK	Ghana	GI
Costa Rica	CR	Gibraltar	GR
Cuba	CU	Greece	GL
Cyprus	CY	Greenland	GD
Czechoslovakia	CS	Grenada	GP
Denmark	DK	Guadeloupe	GU
Djibouti	DJ	Guam	GT
Dominica	DM	Guatemala	GN
Dominican Republic	DO	Guinea	GW
Dronning Maud Land	NQ	Guinea-Bissau	GY
East Timor	TP	Guyana	HT
Ecuador	EC	Haiti	HM
Egypt	EG	Heard and McDonald	HN
El Salvador	SV	Islands	HK
Equatorial Guinea	GQ	Honduras	HU
Ethiopia	ET	Hong Kong	IS
Faeroe Islands	FO	Hungary	IN
Falkland Islands	FK	Iceland	ID
(Malvinas)	FI	India	IR
Fiji	FJ	Indonesia	IQ
Finland	FR	Iran	IE
France	GF	Iraq	IL
French Guiana	PF	Ireland	IT
French Polynesia	GA	Israel	CI
Gabon	GM	Italy	JM
Gambia		Ivory Coast	
German Democratic		Jamaica	

Japan	JP	Mongolia	MN
Johnston Island	JT	Montserrat	MS
Jordan	JO	Morocco	MA
Kampuchea	KH	Mozambique	MZ
Kenya	KE	Namibia	NA
Kiribati	KI	Nauru	NR
Korea, Democratic Republic	KP	Nepal	NP
Korea, Republic of	KR	Netherlands	NL
Kuwait	KW	Netherlands Antilles	AN
Laos	LA	Neutral Zone	NT
Lebanon	LB	New Caledonia	NC
Lesotho	LS	New Zealand	NZ
Liberia	LR	Nicaragua	NI
Libya	LY	Niger	NE
Liechtenstein	LI	Nigeria	NG
Luxembourg	LU	Niue	NU
Macau	MO	Norfolk Island	NF
Madagascar	MG	Norway	NO
Malawi	MW	Oman	OM
Malaysia	MY	Pacific Islands	PC
Maldives	MV	Pakistan	PK
Mali	ML	Panama	PA
Malta	MT	Papua New Guinea	PG
Martinique	MQ	Paraguay	PY
Mauritania	MR	Peru	PE
Mauritius	MU	Philippines	PH
Mexico	MX	Pitcairn Island	PN
Midway Islands	MI	Poland	PL
Monaco	MC	Portugal	PT

Puerto Rico	PR	Switzerland	CH
Qatar	QA	Syria	SY
Reunion	RE	Taiwan	TW
Romania	RO	Tanzania	TZ
Rwanda	RW	Thailand	TH
St. Helena	SH	Togo	TG
St. Kitts-Nevis- Anguilla	KN	Tokelau	TK
Saint Lucia	LC	Tonga	TO
St. Pierre and Miquelon	PM	Trinidad and Tobago	TT
St. Vincent and the Grenadines	VC	Tunisia	TN
Samoa	WS	Turkey	TR
San Marino	SM	Turks and Caicos Islands	TC
Sao Tome and Principe	ST	Tuvalu	TV
Saudi Arabia	SA	Uganda	UG
Senegal	SN	Ukrainian SSR	UA
Seychelles	SC	United Arab Emirates	AE
Sierra Leone	SL	United Kingdom	GB
Singapore	SG	United States	US
Solomon Islands	SB	United States Miscellaneous Pacific Islands	PU
Somalia	SO	United States Virgin Islands	VI
South Africa	ZA	Upper Volta	HV
Spain	ES	Uruguay	UY
Sri Lanka	LK	USSR	SU
Sudan	SD	Vanuatu	VU
Suriname	SR	Vatican State	VA
Svalbard and Jan Mayen Islands	SJ	Venezuela	VE
Swaziland	SZ	Viet Nam	VN
Sweden	SE	Wake Island	WK

Wallis and Futuna  
Islands

WF

Western Sahara

EH

Yemen

YE

Yemen, Democratic

YD

Yugoslavia

YU

Zaire

ZR

Zambia

ZM

#### 4.0.0 NAME

#### ROLE CODES

#### 4.0.1 SOURCE

This list is based on the list used in UNIMARC.<sup>4</sup> In the absence of any international standard code for names of roles, it is recommended for use in the CCF.

#### 4.0.2 FIELDS

Used in Field 300, Subfield M and in Field 310, Subfield P.

#### 4.0.3 CODES

010 Adapter - one who reworks a musical composition, usually for a different medium, or a writer who rewrites novels or stories for a different medium or form of presentation.

020 Author - the person or corporate body chiefly responsible for the creation of the intellectual or artistic content of a work.

080 Author of introduction, etc. - one who is the author of an introduction, preface, foreword, afterword, notes, other critical matter, etc. but who is not the chief author of the work.

100 Bibliographical antecedent - one who is the author of the work upon which the work reflected in the record is based in whole or in part. This code may be appropriate in records for adaptations, indexes, concordances, continuations and sequels by different authors, etc.

220 Compiler - one who produces a collection by selecting and putting together matter from works of various persons or bodies, or from the works of one person or body.

240 Consultant - one who was consulted during the performance of work reported in the item.

260 Copyright holder.

280 Degree-grantor - the body granting the degree for which the thesis or dissertation included in the item was presented.

310 Distributor - an agent or agency that has marketing rights for an item.

330 Dubious author - one to whom the authorship of a work has been dubiously or incorrectly ascribed.

340 Editor - one who prepares for publication a work that is entirely or partly not his own. The editorial work may be either technical or intellectual.

440 Illustrator - a person who conceives and/or executes a design or illustration.

460 Interviewee

470 Interviewer

Joint author - USE Author (020).

540 Monitor/Contractor - a person or organization that supervises the compliance with a contract and is responsible for the report and controls its distribution. May be referred to as grantee, or controlling agency.

550 Opponent - a person solely or partly responsible for opposing a thesis or dissertation.

560 Originator - the author or agency performing the work, i.e. a person or organization associated with the intellectual content of the work.

570 Other - for use whenever a role code in another format has no equivalent in the CCF, or is otherwise not defined in this list.

580 Patent applicant - The person or body that applied for a patent described in the record.

590 Patent inventor - The person who invented the device or process covered by the patent described in the record.

600 Patentee - The person or body that was granted the patent described in the record.

620 Performer of research - the corporate body responsible for performing the research reported in the item. SEE ALSO Research Team Head and Research Team Member.

Project manager - USE Research Team Head (640).

640 Research Team Head - the person who directed the research or managed the project reported in the item. SEE ALSO Performer of Research (620).

650 Research Team Member - a member of a research team responsible for the research reported in the item. SEE ALSO Performer of Research (620).

710 Secretary - the secretary, reporter, redactor, or other person responsible for



expressing the views of a corporate body.

Sponsor/Funder - USE Funder/Sponsor (400).

720 Standards body - the agency responsible for issuing or enforcing a standard.

730 Translator - one who renders from one language into another, or from an older form of a language into the modern form, more or less closely following the original.

770 Writer of accompanying material - the writer of an annex or other significant material which accompanies the item.

4.9.0 NAME ORGANIZATION CODES

4.9.1 SOURCE These codes were developed for use in the CCF.

4.9.2 FIELDS Used in Field 011, Subfield A and Field 020, Subfield A.

4.9.3 CODES It is recommended that for national organizations, this consist of a code taken from the list of Codes for Names of Countries shown in Section 4.7, followed by a code assigned to that organization within its country in accordance with national practices.

4.10.0 NAME FIELD LINKAGE CODES

4.10.1 SOURCE These codes have been developed for the CCF.

4.10.2 FIELDS Used in Field 086, Subfield B.

4.10.3 CODES AA Link between an author and an affiliation.

PP Link between a publisher and a place.

PN Link between an ISBN and a publisher.

TR Link between a field and a transliterated version of that field or a part of that field.

SC Link between a field and another version of that field or part of that field in another script.

OT Other kinds of field to field links.

4.11.0. NAME

NATIONAL BIBLIOGRAPHY AND LEGAL DEPOSIT AGENCY  
CODES

4.11.1 SOURCE

Based on ISO 3166.

4.11.2 FIELDS

Used in Field 110, Subfield B and Field 111,  
Subfield B.

4.11.3. CODES

If there is a single national bibliographic agency with a country, this will consist of a code taken from the list of Codes for Names of Countries shown in Section 4.7. If there is more than one agency, an organization code formulated in accordance with the statement shown in Section 4.9.3 should be used.

4.12.0 NAME VERTICAL RELATIONSHIP CODES

4.12.1 SOURCE These codes have been developed for the CCF.

4.12.2 FIELDS Used in the following fields:

Field 080, Subfield A

Field 081, Subfield A

Field 082, Subfield A

Field 083, Subfield A

4.12.3 CODES

01 The segment in which this code occurs is lower in the hierarchy.

02 The segment in which this code occurs is higher in the hierarchy.

99 Unspecified relationship.

4.13.0 NAME

HORIZONTAL RELATIONSHIP CODES

4.13.1 SOURCE

These codes have been developed for the CCF.

4.13.2 FIELDS

Used in Subfield A of Field 085.

4.13.3 CODES

01 The segment to which the link is being made represents an earlier edition.

12 The segment to which the link is being made represents a later edition.

13 The segments being linked are variant editions.

21 The segment to which the link is being made is a former title of the serial from which the link is being made.

22 The segment to which the link is being made represents a subsequent title.

25 The segment to which the link is being made represents a supplement.

26 The segment from which the link is being made is a supplement of the item represented by the record to which the link is being made.

31 The segment to which the link is being made represents a translation.

32 The segment to which the link is being made represents the original of the translation represented by the segment in which this code occurs.

33 The segment to which the link is being made represents another language edition.

34 The segment to which the link is being made represents an item issued with the item represented by the segment in which this code occurs.

35 The segment to which the link is being made represents an item reviewed in the item represented by the segment in which this code occurs.

36 The segment to which the link is being made represents a review article of the item in the segment of which this code appears.

99 Unspecified relationship.

4.14.0 NAME COMPLETENESS OF RECORD CODES

4.14.1 SOURCE These codes have been developed for use in the CCF.

4.14.2 FIELDS Used in Subfield A of Field 021.

4.14.3 CODES A All mandatory and optional elements provided  
B All mandatory elements only provided.  
C Less than all mandatory elements provided.



4.15.0 NAME TYPE OF MATERIAL CODES

4.15.1 SOURCE These codes have been developed for use in the CCF.

4.15.2 FIELDS Used in Subfield A of Field 060.

4.15.3 CODES Below are presented both general and specific codes, the former being those which end with '00'. The codes are not mutually exclusive; one or more may be used, depending on the practices of the agency.

100 Textual

900 Other

105 Report/technical report

110 Thesis, dissertation

115 Meeting document

120 Periodical

125 Newspaper

130 Annual

135 Patent document

140 Standard

145 Irregular serial

150 Monographic series

## 5. EXAMPLES OF COMPLETE RECORDS

5.1 Introduction

5.2 Serial

5.3 Monograph

5.4 Component part in a serial

5.5 Component part in a monograph

5.6 Monograph with component parts

5.7 Component part in a volume of a multi-  
volume monograph in a series

INTRODUCTION

The following pages display a number of examples of fully coded bibliographic records. They have been chosen to reflect various types of bibliographic entities prepared according to a number of cataloguing and coding practices.

None of these examples should be considered models for standard practice. On the contrary, users of this format should be guided by their own rules of bibliographic description, and, should choose from among the options available in this document those coding practices which best suit their needs.

In the following examples, for purposes of illustration, tags, segment identifiers, and field occurrence identifiers appear before the field to which they refer, rather than in the directory as specified in ISO 2709. Field terminators and record terminators have not been shown at all.

The records shown are based on bibliographic descriptions from real bibliographic organizations. All coding, however, has been provided by the editors, who are grateful to these agencies for permission to use their data.

## 5.2 SERIAL

Bibliographic level: s (shown in character position 7 in the record label).

Tag	Seg Iden	Field Occur	Data Fields
001	0	0	157028
020	0	0	00@BISDS
021	0	0	00@AB
022	0	0	00@A19830120
030	0	0	00@B2
040	0	0	00@Amul
101	0	0	00@A0253-021X
201	0	0	00@ALegislative study - Food and Agriculture Organization of the United Nations
210	0	0	00@AEtudes legislatives - Organisation des Nations Unies pour l'Alimentation et l'Agriculture@Lfre
210	0	1	00@AEstudios Legislativos - Organizacion de las Naciones Unidas para la Agricultura y la Alimentacion@Lspa
400	0	0	00@ARome@BFood and Agriculture Organization of the United Nations
440	0	0	00@A19710000-
520	0	0	00@AIrregular
610	0	0	00@A341.16:63@BUDC

Comments. This example is based on a record distributed by the International Serials Data System. The record contains only one segment, since it describes an entire serial publication.

### 5.3 MONOGRAPH

Bibliographic level:.m (shown in character position 7 in the record label).

Tag	Seg	Field	Data Fields
	Iden	Occur	
001	0	0	A040327
020	0	0	00@AGBINSPEC
021	0	0	00@AB
022	0	0	00@A19830000
030	0	0	00@B2
040	0	0	00@Aeng
100	0	0	00@A0 12 525260 9
200	0	0	00@AOptical fibers
300	0	0	00@Aokoshi@BT.
400	0	0	00@ALondon@BAcademic Press
440	0	0	10@A19820000
460	0	0	00@Axii, 299 p.
600	0	0	00@AThe book deals with various optical waveguides, including optical fiber for communications use. Although there are many versions of optical fiber, only those having axially symmetrical structures (refractive-index distributions) are discussed. The optical and electromagnetic wave aspects of optical fibers are emphasized. Materials, fabrication technologies, applications, and communication-system considerations are described.
610	0	0	00@AA4280M@AA0130K@AA4280S@AB0100@AB4130@BINSPEC Classification Codes
620	0	0	00@AOptical fibers@AOptical communication@ASingle-mode fibers@AOptical communication@ALight scattering@AOptical fiber@AAxially symmetrical structures@AElectromagnetic wave aspects@AFabrication technologies@ACommunication-system considerations@AFiber analysis@ARay theory@AWave theory@AMode theory@AWKB method@APower-series method@AVariational methods@AStaircase approximation@ARefractive-index distributions@AMultimode fibers@ACoupling phenomena@ATransmission characteristics@BNone

Comments. This example is based on a record produced by INSPEC, the International Information Services for the Physics and Engineering Communities, in 1983. The record contains only one segment, since it describes an entire monograph.

#### 5.4 COMPONENT PART IN A SERIAL

Bibliographic level: a (shown in character position 7 in the record label).

Tag	Seg	Field	Data Fields
	Iden	Occur	
001	0	0	011760K
020	0	0	00@AUSCA@BChemical Abstracts
021	0	0	00@AC
022	0	0	00@A19810713
030	0	0	00@B2
040	0	0	00@Aeng
083	1	0	00@A02@B0@Cs
086	0	0	00@A30000@BAA@C33000
086	0	1	00@A30001@BAA@C33000
101	1	0	00@A0044-7447
102	1	0	00@AAMBOC
200	0	0	00@AThe influence of man on the ozone layer; readjusting the estimates
201	1	0	00@AAmbio
300	0	0	00@AIsaksen@BIVar S.
300	0	1	00@ASTordal@BFrode
330	0	0	00@AInstitute of Geophysics@BUniversity of Oslo@DBlinden, Oslo, Norway 3
490	1	0	00@Av. 10, no. 1, 1981@B9-17
610	0	0	00@ACA059001@BCA Subject Sections
620	0	0	00@AAtmosphere, Ozonosphere: (Air pollution effect on)@AAir pollution: (by chlorofluoromethane, Stratosphere ozone depletion of)@AAtmosphere, Stratosphere: (Ozone in, Effect of chlorofluoromethane and other air pollutants on)@BCA General Subject Index
620	0	1	00@AOzone@AAtmosphere@ACHlorofluoromethane @ANitrogen@ACHlorine@BCA keywords

Comments. This example is based on a record created by Chemical Abstracts Service on July 13, 1981. The code in Field 021 warns the recipient that certain fields are not provided, which explains why Fields 030 and 444 are missing from the record. The target item is a journal article. The secondary segment, which records the journal where the article appears, is assigned bibliographic level code 's' in Subfield C of Field 083. The fields appear in strict numerical order, which results in fields relating to the two segments appearing in a single sequence. Field 083 denotes the relationship between the two segments. Field 086 indicates that a relationship exists between the first author (Field 300, occurrence 0) and the affiliation (Field 330).

## 5.5 COMPONENT PART IN A MONOGRAPH

Bibliographic level: a (shown in character position 7 in the record label).

Tag	Seg	Field	Data Fields
Iden	Occur		
001	0	0	NZ1033978
020	0	0	00@BThe ----- Library
021	0	0	00@AA
022	0	0	00@A19830902
030	0	0	00@B2
040	0	0	00@Aeng
200	0	0	00@ADocumentation - Format for bibliographic information interchange on magnetic tape : ISO 2709-1981(E)
490	0	0	00@Bpp. 115-119
620	0	0	00@AComputers@AMachine-readable data@AComputer data.formats@AInternational standards @AStandards@BSHHL
010	1	0	00@A1033759-M
081	1	0	00@A02@B0@Cm
100	1	0	00@A92-67-10058-0
110	1	0	00@A1033759
200	1	0	01@AInformation transfer@BInternational Organization for Standardization
210	1	0	01@ATransfert de l'information@Lfre
260	1	0	01@ASecond edition
310	1	0	10@AInternational Organization for Standardization
400	1	0	00@AGeneva@BInternational Organization for Standardization@AParis@BUnesco
440	1	0	10@A19820000
460	1	0	00@A522 p.@C21 cm
480	1	0	00@AISO Standards Handbook@C1
500	1	0	00@AA French version is also available
610	1	0	00@A002/050:778.14:001.4@BUDC
620	1	0	00@AInformation technology@AData exchange @AInternational standards@AStandards@BSHHL

Comments. This example was produced by a hypothetical library on September 2, 1983. The target item is a single international standard printed in a volume that is a collection of standards. The secondary item (the collection) is coded as a monograph (code 'm' in Subfield C of Field 081) but might be considered a multi-volume monograph (code 'c') by another agency. The French title has been included as a parallel title (Field 210) because it appears on the verso of the title page, although there is no French text in the volume. The series might have been recorded in a separate segment, but the agency preparing this record has not done so.



## 5.6 MONOGRAPH WITH COMPONENT PARTS

Bibliographic level: m (shown in character position 7 in the record label).

Tag	Seg	Field	Data Fields
Iden	Occur		
001	0	0	80-470077
020	0	0	00@AThe National Library of -----
021	0	0	00@AA
022	0	0	00@A19830503
030	0	0	00@B2
040	0	0	00@Aeng
100	0	0	00@A963-592-149-7
200	0	0	01@ATowards a common bibliographic exchange format? : International Symposium on Bibliographic Exchange Formats, Taormina, Sicily, 27-29 April 1978@Borganized by the UNISIST International Centre for Bibliographic Descriptions in cooperation with ICSU-AB, IFLA, and ISO, and sponsored by Unesco@Bproceedings edited by H. Dierickx and A. Hopkinson.
300	0	0	31@ADierickx@BH.
300	0	1	31@AHopkinson@BALan
310	0	0	11@AUNISIST International Centre for Bibliographic Descriptions
310	0	1	31@AUnited Nations Educational, Scientific and Cultural Organization
320	0	0	11@AInternational Symposium on Bibliographic Exchange Formats, Taormina, 1978
400	0	0	00@ABudapest@BOMKDK-TECHNOINFORM@CH-1428 Budapest, P. O. Box 12, Reviczky u. 6@DHU
440	0	0	10@A19780000
460	0	0	00@A214 p.@Bill.@C28 cm
530	0	0	00@Aincludes bibliographical references
610	0	0	00@AZ699.A11693 1978@BLC
610	0	1	00@A025.2'6@BDDC18
620	0	0	00@AExchange of bibliographic information - Congresses@AMachine-readable bibliographic data - Congresses@BLCSH
010	1	0	00@A80-470077-A
080	1	0	00@A01@BQ@Ca
200	1	0	01@AThe communication format in the international system of scientific and technical information of the CMEA countries@B[by] V. Skripkin and V. Kodola
300	1	0	10@ASKripkin@BV.
300	1	1	10@AKodola@BV.
330	1	0	00@AUSSR@BInternational Centre for Scientific and Technical Information@DMoscow@ESU
490	1	0	00@Bpp. 162-171
086	1	1	00@A33010@BAA@C30010
086	1	1	00@A33010@BAA@C30011
010	2	0	00@A80-470077-H

080	2	0	00@A01@B0@Ca
200	2	0	01@AThe exchange format chosen for the international information network for standards and technical regulations (ISONET)@B[by] E. Sutter and E. J. French
300	2	0	10@ASutter@BE.
300	2	1	10@AFrench@BE. J.
330	2	0	00@AAFNOR@DParis@EFR
330	2	1	00@AInternational Organization for Standardization@DGeneva@ECH
490	2	0	00@Bpp. 119-121
086	2	0	00@A33020@BAA@C30020
086	2	1	00@A33021@BAA@C30021
010	3	0	00@A80-470.77-M
080	3	0	00@A01@B0@Ca

Comments. This example was produced by a hypothetical national library on May 3, 1983. The target item is a volume of proceedings of a conference. Three of the papers that form part of the conference have been included in the record as separate segments (coded '1', '2' and '3'). In Segment 1 the field to field links (Fields 086) show that both authors are affiliated with the same institution. In Segment 2 each author is linked (by a Field 086) to a separate institution. Segment 3 contains only a control number, indicating that the record contained in that segment, whose relationship to the target segment is shown in Field 080 (the last field in the record), is found elsewhere in the database.

# 5.7 COMPONENT PART IN A VOLUME OF A MULTI-VOLUME MONOGRAPH WHICH IS IN A SERIES

Bibliographic level: a (shown in character position 7 in the record label).

Tag	Seg	Field	Data Fields
Iden	Occur		
001	0	0	25-943
020	0	0	00@BDOCPAL
021	0	0	11@AA
022	0	0	00@A19790615
030	0	0	00@B2
031	0	0	00@Apor
040	0	0	00@Apor
050	0	0	00@A010
060	0	0	00@A105
200	0	0	00@AImmigracion italiana
300	0	0	00@AMellafe@BRolando
490	0	0	00@B246-280
620	0	0	00@AInmigracion@AAsimilacion de Migrantes
080	1	0	00@A02@B0@Cm
080	1	1	00@A01@B2
086	1	0	00@A49010@BOT@C08011
200	1	0	00@ASao Jose dos Campos. Estado de caso: dinamica populacional, transformacoes socio- economicas, atuacao das instituicoes
260	1	0	02@A1
310	1	0	10@ACentro Brasileiro de Analise e Planeja- mento@DSao Paulo@EBR@F020
400	1	0	00@ASao Paulo@BCentro Brasileiro de Analise e Planejamento
440	1	0	10@A19780000
460	1	0	00@A305@Btbls
480	1	0	00@AVol. 1
490	1	0	00@ACEBRAP. Estudos de Populacao
080	2	0	00@A02@B1@Cc
200	2	0	00@APesquisa Nacional sobre Reprodicao Humana
310	2	0	00@ACentro Brasileiro de Analise e Planejamento @DSao Paulo@EBR@F020
440	2	0	10@A19780000-
460	2	0	00@Btbls. grafs
080	3	0	00@A02@B1@Cs
200	3	0	00@ACEBRAP. Estudos de Populacao

Comments. This examples is based on a record produced by the Latin American Population Documentation System (DOCPAL) in their own format, which is based on the Reference Manual. Since each component part has its own record, there is only one component part in this record, and that is the target item in the record. The component part is in a volume of a multi-volume monograph; that volume has also been given a number in a series. Note that both the records at the multi-volume level (Segment 2)

and the serial level (Segment 3) are linked to the record at the monographic level (Segment 1). In Segment 1 it is necessary to show that volume 1 in Field 480 refers to the volume in relation to the multi-volume monograph, rather than the serial. Therefore, a reciprocal link is established in Segment 1 indicating that the segment links to the multi-volume monograph and a link is made between that linking field and Field 480. The numbering of the monograph within the monographic series is indicated only by means of a series statement (Field 490 in Segment 1).